


Date: July 25, 2016

To: Council of the District of Columbia

From: Kathleen Patterson 
District of Columbia Auditor

Subject: Quarterly Activity Report

This report provides information on the activities of the Office of the District of Columbia Auditor (ODCA) for April to June 2016. It includes reports issued, announcements, and the status of current FY 2016 audits and program evaluations. These reports are published on a quarterly basis.

Reports:

[Review of Summer Youth Employment Programs in Eight Major Cities and the District of Columbia](#)

Released: April 21, 2016

Summary: The Council enacted legislation in 2015 directing The Office of the District of Columbia Auditor (ODCA) to “conduct an evaluation of multiple years of the summer youth jobs program to assess whether the program has met and is meeting program objectives.” This report, which reviews the structure, eligibility rules, services, costs, and outcomes of summer youth employment programs in the District of Columbia and eight other large cities, is part of ODCA’s effort to fulfill the Council’s directive and inform discussions of how to make the Marion S. Barry Summer Youth Employment Program (MBSYEP) as effective as possible.

This report makes 10 recommendations to improve the operations and outcomes of the program. ODCA recommends that the Mayor and DOES diversify the program’s funding base by expanding private fundraising efforts and consider seeking additional federal grant funds. The report also highlights the need for more private-sector involvement as a way to help develop more job placements in the private, for-profit sector and help place older youth in permanent, unsubsidized jobs, as other cities have done. The report also urges greater tailoring of program components and activities to meet the diverse needs of youth who enroll in MBSYEP each year. Older youth who are job-ready might benefit from a competitive, private-sector component of the program, based on evidence from such programs in Baltimore, Boston, Los Angeles, and New York City. Chicago’s summer youth employment program provides youth from high-crime neighborhoods with intensive mentoring and other supports, which led to sharp reductions in violent crime arrests, suggesting the potential of services designed explicitly for high-risk youth.

[Fiscal Year 2015 Annual Report on Advisory Neighborhood Commissions](#)

Released: May 19, 2016

Summary: In accordance with D.C. Code § 1-309.13 (d) (1), ODCA submitted the fiscal year (FY) 2015 consolidated Annual Report on Advisory Neighborhood Commissions (ANCs). The report compiles financial activity from quarterly financial reports submitted by ANCs for FY 2015 and information from

the District of Columbia Office of the Chief Financial Officer. Some findings from the report include: FY 2014 ANC expenditures decreased by 27.62 percent from FY 2013 overall; some ANCs lack necessary internal controls; and , individual Commissions reported wide differences in the decisions made in how allotments were spent.

Based on the review of FY 2015 quarterly financial reports and audits of several ANCs, most ANCs made a concerted effort to comply with ANC rules and regulations, Office of the Attorney General opinions and ODCA financial management guidelines. ODCA will continue to monitor the compliance of each ANC with rules, regulations, and laws through a review of quarterly financial reports and audits. ODCA remains committed to promoting and strengthening the financial accountability of each ANC.

[The Department of General Services Failed to Provide Information the DC Council Needed to Make Informed Decisions on the Scope and Cost of Modernizing the Duke Ellington School of the Arts](#)

Released: May 31, 2016

Summary: This audit was conducted pursuant to D.C. Code § 38- 2973.05, which requires the Auditor to monitor compliance with statutes, regulations, and policies regarding the school modernization program.

We found that the cost of a new Duke Ellington School for the Arts has grown from \$71 million to \$178 million without a comprehensive review by the D.C. Council on the location, other cost drivers, and all of the elements required for a performing arts high school. Factors in that growth in budget include location, underground parking, and an unusually high figure for space per student.

ODCA made recommendations designed to bring greater fiscal discipline to the District’s school modernization program, increase transparency, and improve compliance with law, regulation, administrative policies, contracts and best practices. In a written response included in the published report, the Department of General Services (DGS) noted agreement with several recommendations, including seeking multiple program management firms and redrafting the DGS policies and procedures manual. The response states that DGS and DC Public Schools have already taken steps “to enhance planning, accountability and cost controls” in the school modernization program. These include clarifying that DCPS makes major decisions on school construction, DCPS redrafting Education Specifications that are the policy framework for new schools, and hiring additional capacity for project management within DGS.

Other recommendations included:

- Requiring completed “education specifications” for schools before a project is included in the Capital Improvement Plan.
- Greater transparency in decisions such as location.
- Consider additional compatible uses for the new performing arts school to get more efficient use of the new space.

[Review of Marion S. Barry Summer Youth Employment Program Data and Activities](#)

Released: June 2, 2016

Summary: The Council enacted legislation in 2015 directing ODCA to “conduct an evaluation of multiple years of the summer youth jobs program to assess whether the program has met and is

meeting program objectives.” This report summarizes data available on the summer 2015 Marion S. Barry Summer Youth Employment Program (MBSYEP), and is part of ODCA’s effort to fulfill the Council’s directive and inform discussions of how to make the program as effective as possible.

ODCA recommends steps the Department of Employment Services (DOES) should take to strengthen management of the summer program. First, DOES should record all MBSYEP revenues and expenditures under program code 4820 (“Summer Youth Employment Program”) to provide policymakers with an accurate accounting of the resources devoted to the MBSYEP. Second, DOES should finalize its reporting of program participants, activities, and results no later than three months after the end of the summer program in order to provide policymakers with timely information and ensure the accuracy of the data. Third, DOES should work more closely with employers to track the employment outcomes of MBSYEP participants, particularly those in the 22- to 24-year age group who may be entering the work force full-time.

[The District of Columbia Voter File: Compliance with the Law and Best Practices](#)

Released: June 7, 2016

Summary: ODCA performed an evaluation of the Board of Elections’ (BOE) maintenance of the District’s voter registration file as well as the voter registration practices of the BOE and eight District voter registration agencies (VRAs) that are required by law to register eligible voters. ODCA sought to determine whether the agencies comply with relevant federal and District voter registration requirements and if Board policies and procedures reflect best practices in election administration nationwide.

Overall, we found that the District’s voter file contained inaccuracies that could have been prevented if the BOE made additional efforts to comply with federal and local laws designed to ensure proper voter file maintenance. Specifically, we found that the BOE’s compliance efforts lacked effective policies and procedures as well as monitoring and enforcement to ensure the removal of deceased voter records, duplicate voter records, and correct voter records with inaccurate birth years from the District’s voter file, as required. The BOE also could improve its voter file list maintenance by using new information systems and improving its communication with the District’s VRAs.

[The Impact of “Ban the Box” in the District of Columbia](#)

Released: June 10, 2016

Summary: Mandated by the Council, the report reviews the effects the law has had on District employers during its first year of implementation and represents one of the first in-depth studies of a “ban the box” law in the nation, since most such laws are relatively new.

The review found that many of the businesses that responded to our survey reported being unfamiliar with the law. It found 417 complaints against employers filed with the District’s Office of Human Rights (OHR) within the first nine months, resulting in 71 monetary settlements. The research team also found that District agencies increased the share of positions requiring a background check that have been filled by returning citizens. Finally, the review found that the law applies to just 25 percent of the businesses surveyed and that a majority of employers reported minimal impact on their hiring processes. Overall, many District businesses interviewed support the spirit of the law.

Based on these findings, the project team developed five recommendations for the Council and the Mayor to increase awareness of the FCRSA among District employers and returning citizens, improve the implementation of future workplace laws by requiring agencies to conduct significant public outreach campaigns, and encourage further research on the effects of the law on both businesses and returning citizens.

[Review of Sustainable Energy and Energy Assistance Trust Funds](#)

Released: June 20, 2016

Summary: This audit was initiated in response to concerns raised by the Public Service Commission (PSC) in a letter to the D.C. Council. In the June 9, 2015 letter, the PSC asked the Council to reject the transfer of funds, as proposed at the time, from the designated special purpose funds, the Sustainable Energy Trust Fund (SETF) and the Energy Assistance Trust Fund (EATF), into the unrestricted General Fund. The review found that the Council in recent years has repeatedly approved fund transfers, contrary to the intent of the Clean and Affordable Energy Act of 2008. ODCA provided four recommendations to Department of Energy and Environment management to ensure the accuracy of the funds received from utility companies, determine the reasonableness of the assessments issued to fund the EATF, assess SEU performance benchmarks and to ensure that the funds collected for SETF and EATF are used as intended.

[Certification of Fiscal Year 2016 Total Local Source General Fund Revenue Estimate \(Net of Dedicated Taxes\) in Support of the District's Issuance of \\$431,815,000 in General Obligation Bonds \(Series 2016A\)](#)

Released: June 24, 2016

Summary: On May 4, 2016, the Office of the Chief Financial Officer (OCFO) requested the Auditor's certification of the Fiscal Year 2016 estimated total local source General Fund Revenue, net of dedicated taxes, in connection with the District's issuance of \$431,815,000 in General Obligation Bonds (Series 2016A) to finance certain approved capital projects in the District's Capital Improvements Plan. This report sets forth the results of the Auditor's analysis of the CFO's FY 2016 local source revenue estimate of \$6,898,020,000.

Based on an analysis of information provided by the Office of the Chief Financial Officer of the District of Columbia, as of June 23, 2016; the assumptions supporting the fiscal year (FY) 2016 revenue estimate; and other relevant economic data, the Auditor concluded that the February 26, 2016, FY 2016 local source revenue (net of Dedicated Taxes) estimate of \$6,898,020,000 appeared to be reasonable and achievable.

[Customer Service Tests of Seven Large Agencies Show Mixed Results](#)

Released: June 24, 2016

Summary: ODCA conducted this review to provide an independent assessment of how District government agencies treat residents, visitors, and employers who request information or assistance. ODCA employees served as testers who sought information or assistance from seven D.C. government agencies with high levels of public interaction: the 311 Operations Division (part of the Office of Unified Communications), Office of the Chief Financial Officer, Department of Consumer and Regulatory Affairs, Metropolitan Police Department, D.C. Public Schools, Department of Human Services, and Department of Public Works.

Although the service provided by D.C. government agencies in response to inquiries by ODCA testers was frequently good to excellent, the agencies did not provide high-quality service on a consistent basis. The report identifies a number of ways that D.C. government agencies can more consistently achieve excellence in customer service: by updating and enforcing customer service standards; including customer service targets and measures in annual agency performance plans and reports; using independent, external assessments of agency customer service; and developing and disseminating templates and other training tools for “front-line” customer service staff. ODCA’s finding that agency staff sometimes responded differently to identical or nearly identical inquiries suggests that more intensive training and sharing of information could yield significant improvements in the quality of customer service.

[The District of Columbia Housing Production Trust Fund: Revenues and Expenditures and 5-City Comparison](#)

Released: June 30, 2016

Summary: ODCA conducted this examination in response to a request from D.C. Councilmember Jack Evans to examine the activity of the D.C. Housing Production Trust Fund (HPTF). This report is a first step in a full audit of the District’s Housing Production Trust Fund with a final report expected later this year. Administered by the Department of Housing and Community Development, the HPTF is a tool used to address the District’s need for affordable housing. Authorized in 1988 by the Council of the District of Columbia, the HPTF provides loans and grants to for-profit and non-profit developers who are seeking to build or preserve existing affordable housing.

The five city-level housing production trust funds featured in this report operate in unique, but comparable real estate markets, and highlight the scope and work of trust funds across the United States. Although the report does not identify a clear set of best practices in the field, in part because of the diversity of work undertaken, it does highlight different practices and their impact, both on the programs themselves and on improving access to housing. The report makes seven recommendations for consideration by the Department of Housing and Community Development (DHCD).

[Subcontracting Requirements for Government-Assisted Projects: A Review of DSLBD’s Compliance Monitoring Function](#)

Released: July 5, 2016

Summary: For each construction project in which the District provides government assistance to a private developer performing work in excess of \$250,000, D.C. law stipulates that at least 35 percent of the dollar volume of the work must be subcontracted to a Small Business Enterprise (SBE). SBEs are one of nine categories of Certified Business Enterprises (CBE) in the District of Columbia.

ODCA examined the process that the Department of Small and Local Business Development (DSLBD) currently employs to manage the program and identified gaps that should be addressed in a redesign of processes. We again recommend that DSLBD design and implement an enforcement mechanism for those developers that do not comply with the law.

Media Clips:

[Auditor: Customer Service At D.C. Agencies Varies Wildly](#) - Published by DCist, June 28, 2016

[D.C.'s Summer Jobs Program Begins Second Year With Older Youth](#) - Published by The Washington City Paper, June 27, 2016

[D.C. Government's Response to 311 Requests Is Sometimes 'Poor or Non-Existent,' Auditor Finds](#) - Published by The Washington City Paper, June 27, 2016

[Summer jobs program kicks off, but critics still unsure new expansion works](#) - Published by The Washington Post, June 26, 2016

[D.C. Board of Elections: 1,900 Workers Prepared for Tuesday's Democratic Primary](#) - Published by The Washington City Paper, June 13, 2016

[Democrats perpetuate election fraud](#) - Published by The Washington Times, June 13, 2016

[D.C. School Renovations Run \\$107 Million Over Budget](#) - Published by Townhall, June 8, 2016

[Audit Finds Dead People on District Voter Rolls](#) - Published by The Washington City Paper, June 8, 2016

[D.C. Auditor Puts Summer Youth Employment Program Under The Microscope](#) - Published by The Washington City Paper, June 3, 2016

[LISTEN: DC AUDITOR KATHY PATTERSON Discussed How The Price of A DC School Soared From \\$71 Million To \\$178 Million](#) - Published by WMAL DC, June 2, 2016

[Audit Details Cost Overruns at Ellington](#) - Published by The Georgetown Dish, June 1, 2016

[Cost for New Duke Ellington School More Than Doubles, Auditor Says](#) - Published by NBC4, May 31, 2016

[D.C. blows school modernization money](#) - Published by The Washington Times, May 31, 2016

[Which Schools Should Get Modernization Money First?](#) - Published by The Washington City Paper, May 13, 2016

[Where's the accountability for DC Trust's failure?](#) - Published by The Washington Post, April 29, 2016

[What the Auditor has to say about DC's summer youth employment program](#) - Published by Technical.ly, April 28, 2016

[Summer jobs: A Marion Barry legacy](#) - Published by The Washington Times, April 25, 2016

[D.C. Auditor: Summer Youth Employment Program Needs More Private-Sector Involvement, Yearly Independent Evaluation](#) - Published by The Washington City Paper, April 25, 2016

Announcements:

On April 14, 2016, I appeared before the District of Columbia Committee of the Whole to present the fiscal year 2017 proposed budget of the Office of the D.C. Auditor. Read our annual proposed budget testimony [here](#).

On July 6, 2016, I appeared before the Council of the District of Columbia's Committee on Housing and Community Development to discuss Hearing on B21-697 - The Advisory Neighborhood Commissions Omnibus Amendment Act of 2016. Read my testimony [here](#).

Completed and Current Projects:

Item #	Name	Origination	Agency	Purpose	Audit Phase/ Status	Expected Date of Completion
1	DPR Aquatic Center Payment Evaluation	Discretionary	Department of Parks and Recreation (DPR)	To determine whether DPR is collecting aquatic center payments appropriately.	Complete	Report Issued: February 3, 2016
2	RPTAC Audit	D.C. Code § 47-825.01(l)(2)	Real Property Tax Appeal Commission	To determine whether the operations of the Real Property Tax Appeal Commission were efficient, effective, economical, and complied with relevant rules, regulations and laws.	Complete	Report Issued: March 4, 2016
3	Public Private Developer Evaluation	Councilmember Bonds	Public Private Developers	Review the agreements made between various District agencies and developers for two specific development projects. Assess compliance with those agreements.	Reporting	July 2016
4	Events DC Audit	D.C. Code § 10-1203.05(a)	Washington Convention and Sports Authority (Events DC)	To determine whether Events DC and Destination DC are achieving goals	Complete	Report Issued: October 30, 2015
5	DYRS Youth and Family Programs Audit	Risk Based Audit	Department of Youth Rehabilitation Services (DYRS)	To determine whether the DYRS Youth and Family Programs division is achieving goals.	Complete	Report Issued: March 17, 2016
6	MPD Use of Force Evaluation	Discretionary	Metropolitan Police Department (MPD)	Contract review by The Bromwich Group to assess compliance with 2001 MOA with DOJ on police use of force.	Complete	Report Issued: January 28, 2016
7	BOE Voter Files Evaluation	Discretionary	Board of Elections	To determine whether DCBOE's processes for maintaining the voter file are sufficient and appropriate.	Complete	Report Issued: June 7, 2016
8	Audit of Homeless Services Contract	Councilmember Cheh	Homeless programs affiliated with Nonprofit Organizations	To review the TCP management contract to determine redundancy, waste, inefficiency, and inadequate accountability.	Complete	Report Issued: March 9, 2016

Item #	Name	Origination	Agency	Purpose	Audit Phase/ Status	Expected Date of Completion
9	MPD Patrol Services Audit	Risk Based Audit	Metropolitan Police Department (MPD)	To determine whether MPD Patrol Services is achieving goals.	Reporting	August 2016
10	Recommendation Compliance Report	GAGAS	Previously Audited Agencies	To determine whether recommendations issued from FY 2013 to present were implemented.	Complete	Report Issued: January 4, 2016
11	DCPS Capital Improvement Fund Evaluation, FY 2014 (H.D. Cooke)	D.C. Code § 38-2973.05	Department of General Services (DGS)	To determine whether the District met the process, quality, schedule, and cost objectives of the Facilities Master Plan and Capital Improvement Plan and Budget for FY 2014 with a focus on H.D. Cooke.	Complete	Report Issued: July 13, 2016
12	DCPS Food Service Contract Evaluation	Councilmember Cheh	D.C. Public Schools (DCPS)	Review of DCPS' contracts with food service management companies, the decision to outsource food services, and current and historic cost drivers and program operations.	Reporting	August 2016 Management Alert Issued: February 12, 2016
13	Customer Service Testing	Discretionary	311 Call Center, OCFO, DCRA, MPD, DCPS, DHS, and DPW	To test D.C. government agencies' ability to respond to resident inquiries in a timely, knowledgeable, and courteous fashion.	Complete	Report Issued: June 27, 2016
14	DCPS Capital Improvement Fund Evaluation, FY 2015 (Ellington)	D.C. Code § 38-2973.05	Department of General Services (DGS)	To determine whether the District met the process, quality, schedule, and cost objectives of the Facilities Master Plan and Capital Improvement Plan and Budget for FY 2015 with a focus on the Duke Ellington School for the Arts.	Reporting	Report Issued: May 31, 2016
15	UDC Audit	Risk Based Audit	University of the District of Columbia	To determine whether UDC is achieving its goals with a focus on elements of the new strategic plan.	Reporting	September 2016

Item #	Name	Origination	Agency	Purpose	Audit Phase/ Status	Expected Date of Completion
16	Sustainable Energy Trust Fund Audit	Discretionary	District Department of the Environment (DDOE) and the D.C. Sustainable Energy Utility (DCSEU)	Review fund revenues and ensure that funds are used as intended.	Reporting	Report Issued: June 20, 2016
17	DCPS Capital Improvement Fund Evaluation, FY 2016 (Case Studies)	D.C. Code § 38-2973.05	Department of General Services (DGS)	To determine whether the District met the process, quality, schedule, and cost objectives of the Facilities Master Plan and Capital Improvement Plan and Budget for FY 2016 with a focus on three specific schools in varying phases of the capital construction process.	Ongoing	November 2016
18	Office of Administrative Hearings Evaluation	Discretionary	Office of Administrative Hearings (OAH)	10-Year contract review of OAH's performance in providing timely access to administrative justice.	Reporting	September 2016
19	Summer Youth Employment Program Evaluation	Councilmember Silverman	Department of Employment Services (DOES)	Contract review of program data, processes, and internal controls of the District's Summer Youth Employment Program.	Ongoing	Second of three reports; September 2016
20	Summer Youth Employment Program Review	Discretionary	Department of Employment Services (DOES) and sister agencies in other cities	To provide context for the review of the District's Summer Youth Employment Program with data on objectives, activities, and outcomes of summer jobs programs in other cities.	Reporting	First report of three issued: June 2, 2016
21	Housing Production Trust Fund (HPTF) Audit/Evaluation	Councilmember Evans	Department of Housing and Community Development (DHCD)	To assess whether the Housing Production Trust Fund is meeting its objectives.	Ongoing	November 2016 Management Alert Issued: March 15, 2016

Item #	Name	Origination	Agency	Purpose	Audit Phase/ Status	Expected Date of Completion
22	Revenue Audit #1	Discretionary	Revenue source and relevant agency to be determined during survey phase	To determine whether one or more specific revenue sources are being properly assessed and collected.	Not Started	TBD
23	Audit of Vacant/Blighted Properties	Risk Based Audit	Department of Consumer and Regulatory Affairs (DCRA)	To determine whether DCRA is effectively managing vacant and blighted properties by reviewing: the number, location, and type of properties; trends in number of properties; community effects of properties; exemptions from punitive tax rates; enforcement tools; legal actions; and/or interagency coordination.	Ongoing	October 2016
24	Evaluation of Tax Exempt Properties	Discretionary		To review tax exempt properties in the District and compare to the IRS tax exempt listing.	On Hold	TBD
25	PAR Evaluation	D.C. Code § 1-614.14(c)	TBD during survey phase	To identify best and worst case examples of performance measurement for District agencies.	On Hold	TBD
26	Fair Criminal Record Screening Act Audit	D.C. Code § 32-1345(c)	Office of Human Rights (OHR)	To determine progress on hiring of applicants with criminal backgrounds by employers and impact of law on employers.	Ongoing	Report Issued: June 10, 2016
27	Certification of OCFO Revenue Estimate	D.C. Code § 1-206.03	Office of the Chief Financial Officer (OCFO)	To review, analyze and assess the reasonableness and attainability of the CFO's FY 2016 local source revenue estimate, and to determine if the issuance of the proposed general obligation bonds would cause the District to exceed its debt ceiling, as outlined in D.C. Code 1-206.03(b)(1).	Complete	Report Issued: June 24, 2016

Item #	Name	Origination	Agency	Purpose	Audit Phase/ Status	Expected Date of Completion
28	MPD First Amendment Audit	DC Code § 5-333.12(d)(1) and (2)	Metropolitan Police Department (MPD)	To ensure MPD complied with rules, regulations and laws pertaining to MPD investigations concerning first amendment activities and to determine whether MPD implemented recommendations in previous ODCA audit reports.	Not Started	TBD
29	Evaluation of Overtime Payments for District Employees	Discretionary	TBD	To evaluate trends in overtime payments for District employees.	Not Started	TBD
30	Contract Evaluation of Systems Development Processes in the District	Discretionary	Department of Consumer and Regulatory Affairs (DCRA), Office of the Deputy Mayor for Planning and Economic Development (DMPED),	To conduct a case study and evaluate the costs of the DC Business Portal as an example of IT development process.	Ongoing	October 2016
31	BOE HAVA Funding Evaluation	Discretionary	Board of Elections (BOE)	To determine whether HAVA funding was spent appropriately	Not Started	
32	PERAA Recommendation Compliance Review	Discretionary	Deputy Mayor for Education (DME)	To review compliance with the recommendations contained in the PERAA reports	Complete	Report Issued: July 11, 2016
33	Internal Control Review of Various Data Systems	Discretionary	DC Department of Human Resources (DCHR), Child and Family Services (CFSA), Department of Employment Services (DOES)	To review sufficiency and appropriateness of internal control for several District data systems	Ongoing	September 2016

Item #	Name	Origination	Agency	Purpose	Audit Phase/ Status	Expected Date of Completion
34	Events DC Revenue Certification	D.C. Code § 10-1203.05(b)	Washington Convention and Sports Authority (Events DC)	To determine whether FY 2017 projected dedicated tax revenues, operation revenues, and excess reserve will be sufficient to meet the FY 2017 projected expenditures and reserve requirements of Events DC	Complete	Report Issued: July 14, 2016
35	Home Visiting Program and Services Contract Review	Discretionary	Deputy Mayor for Children, Youth and Families	To produce a status report on home visiting programs and services in the District of Columbia, including a baseline on who is served, by which services and programs, at what cost- local and federal- and the extent to which there may be unmet needs in the District.	Ongoing	January 2017
36	Audit of DC government subsidized parking	Discretionary	Department of General Services		Ongoing	TBD

Item #	Name	Origination	Agency	Purpose	Audit Phase/ Status	Expected Date of Completion
ANC REVIEWS AND REPORTS						
37	ANC Annual Report	D.C. Code § 1-309.13(d)(1)	Advisory Neighborhood Commissions (ANC)	To present a consolidated annual report of the financial activity of all Advisory Neighborhood Commissions regarding the expenditure of District funds and quarterly financial reports that were submitted to the Office of the District of Columbia Auditor for FY 2014.	Complete	Report Issued: December 11, 2015
38	Review of Quarterly ANC Reports, Allotment: Q1 FY16 Report: Q3 FY15	D.C. Code § 1-309.13	Advisory Neighborhood Commissions (ANC)	Review quarterly reports submitted by ANCs and, once verified, authorize the release of quarterly allotments.	Complete	Allotments Issued
39	ANC Security Fund Review	D.C. Code § 1-309.14(f)	Advisory Neighborhood Commissions (ANC)	To present the Security Fund Annual Financial Report of the Advisory Neighborhood Commission for FY 2015.	Complete	Report Issued: December 9, 2015
40	Review of Quarterly ANC Reports, Allotment: Q2 FY16 Report: Q4 FY15	D.C. Code § 1-309.13	Advisory Neighborhood Commissions (ANC)	Review quarterly reports submitted by ANCs and, once verified, authorize the release of quarterly allotments.	Complete	Allotments Issued
41	Audit of Selected ANC Expenditure Categories	DC Code § 1-309.13(d)(1)	Advisory Neighborhood Commissions (ANC)	To determine whether ANC Commissioners administered and operated ANC financial accounts in accordance with applicable District laws and regulations from FY 2009 - Q1 FY 2012.	On Hold	TBD

Item #	Name	Origination	Agency	Purpose	Audit Phase/ Status	Expected Date of Completion
42	ANC Annual Report	D.C. Code § 1-309.13(d)(1)	Advisory Neighborhood Commissions (ANC)	To present a consolidated annual report of the financial activity of all Advisory Neighborhood Commissions regarding the expenditure of District funds and quarterly financial reports that were submitted to the Office of the District of Columbia Auditor for FY 2015.	Complete	May 19,2016
43	Review of Quarterly ANC Reports, Allotment: Q3 FY16 Report: Q1 FY16	D.C. Code § 1-309.13	Advisory Neighborhood Commissions (ANC)	Review quarterly reports submitted by ANCs and, once verified, authorize the release of quarterly allotments.	Completed	Allotments Issued
44	Review of Quarterly ANC Reports, Allotment: Q4 FY16 Report: Q2 FY16	D.C. Code § 1-309.13	Advisory Neighborhood Commissions (ANC)	Review quarterly reports submitted by ANCs and, once verified, authorize the release of quarterly allotments.	Ongoing	August 2016
CBE COMPLIANCE REPORTS						
45	Developer CBE Compliance Review	D.C. Code § 1-301.183	Department of Small and Local Business Development (DSLBD)	To evaluate DSLBD's process for tracking the progress of developers in reaching CBE expenditure goals.	Complete	Report Issued: July 5, 2016
46	Agency SBE Compliance Review, Quarter 1 FY 2016	D.C. Code § 1-301.184	Department of Small and Local Business Development (DSLBD)	To determine the FY 2016 SBE expenditures of District agencies through the 1st quarter of FY 2016 and to determine the compliance of District agencies with SBE goals and expenditure reporting requirements.	Complete	Report Issued: March 16, 2016

Item #	Name	Origination	Agency	Purpose	Audit Phase/ Status	Expected Date of Completion
47	Agency SBE Compliance, FY 2015	D.C. Code § 2-218.53 and § 1-301.184	Department of Small and Local Business Development (DSLBD)	To determine whether District agencies met the required goal of procuring 50% of agency expendable budgets with SBEs in FY 2015 and to determine the compliance of District agencies with SBE goals and expenditure reporting requirements.	Complete	Report Issued: March 31, 2016
48	Agency SBE Compliance Evaluation, Quarters 2 and 3 FY 2016	DC Code § 1-301.184	Department of Small and Local Business Development (DSLBD)	To determine the FY 2016 SBE expenditures of District agencies through the 3rd quarter of FY 2016 and to determine the compliance of District agencies with SBE goals and expenditure reporting requirements.	Not Started	August 2016