OFFICE OF THE DISTRICT OF COLUMBIA AUDITOR

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District of Columbia Auditor

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Letter Report: District of Columbia Agencies' Compliance with Small Business Enterprise Expenditure Goals through the 3rd Quarter of Fiscal Year 2010

January 31, 2011

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The Honorable Kwame Brown, Chairman
Council of the District of Columbia
1350 Pennsylvania Avenue, N.W., Suite 504
Washington, D.C. 20004

Letter Report: District of Columbia Agencies' Compliance with Small Business Enterprise Expenditure Goals through the 3rd Quarter of Fiscal Year 2010

Dear Chairman Brown:

Pursuant to the Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005 (the Act), as amended,[1] the Office of the District of Columbia Auditor (Auditor) examined and assessed District of Columbia government agencies' compliance with small business enterprise (SBE) expenditure goals through the 3rd quarter of fiscal year (FY) 2010 (October 1, 2009 through June 30, 2010).[2]

OBJECTIVES, SCOPE, AND METHODOLOGY

The objectives of this examination were to:

1. determine whether District agencies were on target to meet the required goal of procuring 50% of their expendable budgets with SBEs in FY 2010;[3]

2. determine District agencies' compliance with SBE goal establishment and expenditure reporting requirements set forth in the Act;[4] and

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[1] *See* D.C. Official Code § 2-218.53 of the Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005, as amended.

[2] The Auditor's Certified Business Compliance Unit (CBCU) is responsible for monitoring and reporting on compliance matters relating to District agencies' adherence to Small Business Enterprise (SBE) expenditure requirements and the monitoring and tracking expenditures to all Certified Business Enterprises (CBEs) received from District subsidized private development construction projects. The transfer of the CBCU from the Department of Small and Local Business Development (DSLBD) to the Office of the D.C. Auditor on October 1, 2009 provides an independent means of assessing whether District agencies and private developers are meeting the minimum requirements set forth in the Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005, as amended, (the Act) and providing economic development opportunities to District small businesses.

[3] *See* D.C. Official Code §2-218.21(a) of the Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005, as amended.

[4] *See* D.C. Official Code §2-218.53(a) and (b) of the Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005, as amended, which requires agencies to "submit a quarterly report to the Department [DSLBD] and to the District of Columbia Auditor within 30 days after the end of each quarter" and an annual report "within 30 days of the issuance of the Comprehensive Annual Financial Report."

3. assess DSLBD and District agency directors' implementation of recommendations contained in the Auditor's report entitled, "District of Columbia Agencies' Compliance with Small Business Enterprise Expenditure Goals for the 1st and 2nd Quarter of Fiscal Year 2010," issued September 24, 2010.

The examination covered the period October 1, 2009 through June 30, 2010.

In conducting this examination, the Auditor reviewed District agencies' quarterly expenditure reports and verified the vendors and expenditures against data from the District's procurement system (PASS), System of Accounting and Reporting (SOAR), and DSLBD's CBE Business Online system. The Auditor interviewed key staff from DSLBD and several District agency liaisons responsible for SBE compliance reporting during FY 2010.

The examination was conducted as a nonaudit service.[5]

BACKGROUND

The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005, as amended, states that "it shall be the goal and responsibility of the Department [of Small and Local Business Development] to stimulate and foster the economic growth and development of businesses based in and serving the District of Columbia, particularly certified business enterprises, with the intended goals of:

(A) Stimulating and expanding the local tax base of the District of Columbia;
(B) Increasing the number of viable employment opportunities for District residents; and
(C) Extending economic prosperity to local business owners, their employees, and the communities they serve."

The Act also tasks DSLBD with providing "advocacy, business development programs, and technical assistance offerings" that will "maximize opportunities for certified business enterprises to participate in:

(A) The District's contracting and procurement process;
(B) The District's economic development activities; and
(C) Federal and private sector business opportunities that occur in the District of Columbia."[6]

[5] *See* Government Auditing Standards, July 2007 Revision A3.02 and A3.03a, which states in part that Audit organizations in government entities frequently provide nonaudit services that differ from the professional services provided by an accounting or consulting firm to or for the audited entity. The types of nonaudit services are often performed in response to a statutory requirement, at the discretion of the authority of the audit organization, or for a legislative oversight body or an independent external organization and do not impair auditor independence. Examples of these types of services include providing information or data to a requesting party without auditor evaluation or verification of the information or data.

[6] *See* D.C. Official Code §2-218.13(a) of the Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005, as amended.

RESULTS OF THE AUDITOR'S EXAMINATION

14 District Agencies Are On Target to Meet FY 2010 SBE Expenditure Goals, 35 District Agencies Are Not On Target to Meet FY 2010 SBE Expenditure Goals, and 26 District Agencies Could Not Be Assessed Due to a Lack of Data

D.C. Official Code §2-218.41(a) requires each District agency to "exercise its contracting and procurement authority so as to meet, on an annual basis, the goal of procuring and contracting 50% of the dollar volume of its goods and services, including construction goods and services, to small business enterprises."

The Auditor found that District agencies had expended $53,874,799 towards their FY 2010 SBE expenditure goals through the 3rd quarter of FY 2010. Of the 75 agencies[7] for whom DSLBD attempted to establish a SBE goal:[8]

- 14 agencies are on target to meet or exceed their SBE goal (SBE expenditures through the 3rd quarter represent at least 75% of the agency's FY 2010 SBE goal);
- 35 agencies' SBE expenditures represent less than 75% of their FY 2010 SBE goal as of the end of the 3rd quarter;
- 19 agencies could not be assessed because the agencies' management had not established a SBE goal as of July 23, 2010; and
- 7 agencies could not be assessed because the agencies' management had not established a SBE goal as of July 23, 2010 and had not submitted SBE expenditure reports, as required by the Act, for the 1st, 2nd, and/or 3rd quarters of FY 2010.

Appendix I presents the Auditor's agency SBE compliance report through the 3rd quarter of FY 2010. The Auditor's findings, in part, reflect difficulties on the part of some District agencies' executive management and DSLBD management to ensure that agency SBE goals are promptly established at the beginning of the fiscal year, vigorously pursued throughout the fiscal year, and met by the end of the fiscal year. Although 35 agencies have not achieved at least 75% of their SBE goal through the 3rd quarter, the Auditor recognizes that a significant level of agencies' expenditure activities that affect SBE goal attainment may occur in the 4th quarter of the fiscal year. Thus, we expect the 4th quarter SBE expenditures to reflect significantly more agencies reporting the achievement of their SBE goal.

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7 *See* D.C. Official Code §2-218.02 which defines *agency* as "an agency, department, office, board, or commission of the District of Columbia government."

8 Several entities in the FY 2010 budget are specifically exempt from CBE requirements. *See* D.C. Official Code §2-218.55(a), "Except as provided in subsection (b) of this section, a regional governmental entity shall be exempt from the requirements to the extent that the requirements of this subchapter impact on the regional governmental entity's operations within the territory of a member government other than the District." *See also* D.C. Official Code §2-218.55(b), "The District of Columbia Water and Sewer Authority shall be exempt from the requirements of this subchapter to the extent that the requirements of this subchapter are contrary to procurement regulations promulgated pursuant to statutes establishing the District of Columbia Water and Sewer Authority." Other entities, such as retirement funds, disability compensation funds, etc, cannot reasonably be expected to meet CBE requirements.

The Auditor also notes that many agencies faced significant budget cuts in FY 2010, yet they did not update their SBE goals to reflect these reductions. The Auditor recognizes that the static nature of SBE goals may not allow for an entirely fair accounting of expenditures against goals.

Finally, the Auditor found that several agencies already exceeded their SBE goals by an excessively large amount.[9] This indicates a potential problem with the validity of the SBE goal itself and warrants further examination. The Auditor will report on this issue in future reports.

Appendix II presents total District agency expenditures (from all funding sources) on Local Business Enterprises (LBEs), SBEs, Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principal Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), and Longtime Resident Businesses (LRBs) through the 3rd quarter of FY 2010.

In the last compliance report, the Auditor included several recommendations that addressed the neglect of District agencies and DSLBD in ensuring that SBE goals are set and achieved.[10] These recommendations remain unchanged. Later in this report, the Auditor assesses compliance with those recommendations.

7 District Agencies Did Not Submit Required SBE Expenditure Reports for the 3rd Quarter of FY 2010

D.C. Official Code §2-218.53(a) requires that "each agency shall submit a quarterly report to the Department and to the District of Columbia Auditor within 30 days after the end of each quarter, except for the 4th quarter report." When submitting a quarterly report, each agency shall list each expenditure as it appears in the general ledger from the expendable budget of the agency during the quarter. D.C. Official Code §2-218.53(b) requires that each agency must also submit an annual report within 30 days of the issuance of the District's Comprehensive Annual Financial Report (CAFR). D.C. Official Code §2-218.53(c) states: "The Department [DSLBD] shall monitor agency compliance with the reporting requirements of this section."

[9] For example, as of the 3rd quarter of FY 2010, the percentage of SBE expenditures toward SBE goal for: (1) the Department on Disability Services was 1466%; (2) the Public Employee Relations Board was 438%; and (3) the D.C. Taxicab Commission was 1344%.

[10] See Auditor's report entitled "Letter Report: District of Columbia Agencies' Compliance with Small Business Enterprise Expenditure Goals for the 1st and 2nd Quarter of Fiscal Year 2010," issued September 24, 2010.

The Auditor found that the following agencies did not submit a FY 2010 3rd quarter SBE expenditure report to the Auditor or DSLBD:

1. Contract Appeals Board
2. Office of Contracting and Procurement
3. Office of the Deputy Mayor for Education
4. Office of Employee Appeals
5. Office of the People's Counsel
6. District of Columbia Public Charter Schools
7. District of Columbia Retirement Board

This finding indicates non-compliance with the Act and an inability of DSLBD and District agencies' management to ensure that complete SBE expenditure data is collected and reported on a quarterly and annual basis.

In the last compliance report, the Auditor included a recommendation that addressed District agencies neglecting to submit required SBE expenditure reports.[11] This recommendation remains unchanged. Later in this report, the Auditor assesses compliance with this recommendation.

Implementation of Recommendations Made in the Auditor's Report Dated September 24, 2010

On September 24, 2010, the Auditor issued a report entitled, "District of Columbia Agencies' Compliance with Small Business Enterprise Expenditure Goals for the 1st and 2nd Quarter of Fiscal Year 2010." The report contained six recommendations for District agency directors and DSLBD. DSLBD concurred with many of the findings contained in the report and indicated they had taken or were taking corrective actions to resolve noted deficiencies. On December 16, 2010, the Auditor requested that DSLBD provide a written response with supporting documentation to indicate the implementation status of each of the recommendations. On January 7, 2011, DSLBD's Interim Director provided a written response. The status of implementation is as follows:

Recommendation #1: District agency directors should be more proactive in ensuring that annual SBE goals are promptly established with DSLBD, expenditures are reported quarterly to the Auditor and DSLBD, and SBE goals are met by the end of the fiscal year. Agency directors should request and review a copy of the expenditure report that their agency's point of contact submits to the Auditor and DSLBD each quarter.

[11] See Auditor's report entitled "Letter Report: District of Columbia Agencies' Compliance with Small Business Enterprise Expenditure Goals for the 1st and 2nd Quarter of Fiscal Year 2010," issued September 24, 2010.

Status: Implementation in Progress

Details: According to records obtained from DSLBD, the SBE expenditures of 98 District agencies will be monitored in FY 2011. Of those 98, 20 agencies have not been monitored before and the due date for the establishment of their SBE goal was January 21, 2011. Of the remaining 78, DSLBD records indicate that as of January 7, 2011, 19 agencies are delinquent in promptly establishing their SBE expenditure goal although DSLBD requested that the agencies establish their goals no later than August 31, 2010. By February 1, 2011, the Auditor will submit a list of these agencies to the District's City Administrator, the Chairman of the Council of the District of Columbia, and the Council Committee Chairperson under whose jurisdiction the agency falls to follow up on this non-compliance issue during performance and budget hearings.

Furthermore, there were 7 agencies that did not submit a 3rd quarter SBE expenditure report for FY 2010 and 35 agencies that were not on target to meet their FY 2010 SBE expenditure goal. These statistics indicate that District agencies still have a long way to go in ensuring that SBE goals are promptly established, SBE expenditures are reported on time, and SBE goals are met.

Recommendation #2: DSLBD should provide more comprehensive support to District agencies that neglect to establish an annual SBE goal and those agencies that are in danger of not meeting their annual SBE goal. More intensive support activities should include ongoing communications with agencies' appointed liaisons responsible for completing the SBE goal setting process, and assistance in identifying certified SBEs to provide needed products and services.

Status: Implementation in Progress

Details: For FY 2011, DSLBD has made several changes to their processes for setting SBE goals and supporting agencies in the achievement of those goals. First, DSLBD has updated and restructured the forms that agencies are required to complete to establish their annual SBE expenditure goals. Second, if an agency does not submit these completed forms in a timely manner, DLSBD will establish a goal for the agency 30 days after the due date. Third, DSLBD intends to distribute fact sheets and conduct one-on-one counseling sessions with agency points of contact. Fourth, DSLBD has implemented a new procedure for procurement personnel to request listings of Certified Business Enterprises (CBE). This augments an existing procedure that allows agency points of contact to submit requests for vendors that can provide a specific product or service.

Recommendation #3: DSLBD should develop and adhere to a standard operating procedure in which they notify the Agency Director, the City Administrator, and the Councilmember responsible for oversight of the non-compliant agency within 10 business days of an agency's failure to submit the Operating Expense Checklist (OEC) and Annual Allocation Letter (AAL) by the due date.

Status: Implementation in Progress

Details: In November 2010, according to DSLBD officials, DSLBD created a Compliance Monitoring Program Standard Operating Procedure Manual. DSLBD did not provide a copy of the manual to the Auditor so we cannot confirm the existence of the manual or its contents. However, DSLBD stated that the manual contains a requirement for their Compliance Monitoring Program representative to contact directors and liaisons of non-compliant agencies within five days of the due date for OECs and AALs.

Recommendation #4: DSLBD should begin the agency SBE goal setting process not later than November 1st of each fiscal year and complete the process by early January of the same fiscal year.

Status: Implementation in Progress

Details: For FY 2011, it appears that DSLBD will complete the SBE goal setting process by late January or early February. For FY 2012, DSLBD intends to begin the SBE goal setting process in June 2011 and complete the process by November 2011. The detailed timeline provided by DSLBD is below:

- On the second Monday in June, DSLBD will have an all-hands-on meeting for all District agency liaisons. DSLBD will describe agency SBE goal setting requirements and updates.
- August 31 will be the deadline for agencies to submit their preliminary Operating Expense Checklist (OEC) and Annual Allocation Letter (AAL). DSLBD will contact non-compliant agencies five days after the due date.
- From September 1-15, DSLBD will examine all OECs, specifically the "Other Exclusions" section of the OEC form. DSLBD will provide feedback and recommendations to agencies, as necessary.
- From September 16-30, agencies will have an opportunity to submit final changes to their SBE goals to DSLBD.
- September 24 will be the deadline for agencies to submit their Procurement Award Projection Reports to DSLBD.
- October 1 will be the deadline for agencies to submit their final OECs and AALs with the agency director's signature.
- By November 1, DSLBD will submit a comprehensive report of the SBE goals to the District of Columbia Council, with a copy to the Office of the D.C. Auditor.

Recommendation #5: DSLBD should develop and adhere to a standard operating procedure in which they notify the Agency Director, the City Administrator, and the Councilmember responsible for oversight of the non-compliant agency within 10 business days of an agency's failure to submit quarterly and annual SBE reports by the due date.

Status: Implementation in Progress

Details: Although DSLBD did not provide a copy of a standard operating procedure, they stated that they intend to notify the Agency Director, the City Administrator, and the Councilmember responsible for oversight of the non-compliant agency of an agency's failure to submit quarterly and annual SBE reports by the due date. However, based on DSLBD's response to the Auditor, it appears that they plan to issue these notifications within 30 days of the due date, rather than 10.

Recommendation #6: DLSBD should establish and adhere to a standard operating procedure of reviewing the District's budget each fiscal year to ensure that every agency establishes an annual SBE goal and submits quarterly and annual SBE expenditure reports.

Status: Implementation in Progress

Details: In November 2010, according to DSLBD officials, DSLBD created a Compliance Monitoring Program Standard Operating Procedure Manual. DSLBD did not provide a copy of the manual to the Auditor so we cannot confirm the existence of the manual or its contents. However, DSLBD stated that the manual contains a requirement for DSLBD to review the District government's budget each fiscal year to ensure that all agencies are monitored against requirements to establish an SBE goal and submit SBE expenditure reports.

CONCLUSION

The Auditor's analysis revealed that several District agencies have failed to establish an SBE goal and submit expenditure reports to DSLBD and the Auditor. Furthermore, many District agencies were not on target to meet the required goal of procuring 50% of their expendable budgets with SBEs in FY 2010. However, as stated previously, the Auditor recognizes that a significant level of agencies' expenditure activities that affect SBE goal attainment may occur in the 4th quarter of the fiscal year. Thus, we expect the 4th quarter SBE expenditures to reflect significantly more agencies reporting the achievement of their SBE goal.

DSLBD has continued to make progress in improving the procedures used to establish District agency SBE goals and monitor agency compliance with reporting requirements and SBE goal attainment. The Auditor will continue to monitor this progress to ensure that District agencies do their part in enabling CBEs to thrive.

Respectfully submitted,

Deborah K. Nichols
District of Columbia Auditor

APPENDICES

APPENDIX I

District Agency Compliance with SBE Goals through Quarter 3 of FY 2010

	Agency	Appropriated Budget in AAL	Expendable Budget in AAL	SBE Goal in AAL	SBE Expenditures[1]	% of SBE Expenditures Spent Towards SBE Goal
1	Administrative Hearings, Office of	$7,004,754	$288,771	$144,386	$42,394	29%
2	Aging, Office on	$16,218,255	$2,102,699	$1,051,350	$803,574	76%
3	Alcoholic Beverage Regulation Administration	$5,486,429	$349,265	$174,633	$70,631	40%
4	Arts and Humanities, Commission on the	No AAL	No AAL	No Goal	$51,054	Cannot Determine
5	Asian and Pacific Islander Affairs, Office on	No AAL	No AAL	No Goal	$13,804	Cannot Determine
6	Attorney General, Office of the	No AAL	No AAL	No Goal	$216,970	Cannot Determine
7	Auditor, Office of the D.C.	$4,443,710	$114,379	$57,190	$23,680	41%
8	Cable Television, Office of	$8,476,858	$603,184	$301,592	$38,930	13%
9	Campaign Finance, Office of	$1,690,071	$136,114	$68,057	$6,184	9%
10	Chief Financial Officer, Office of the	No AAL	No AAL	No Goal	$1,533,556	Cannot Determine
11	Chief Medical Examiner, Office of the	$8,638,441	$342,246	$171,123	$86,729	51%
12	Chief Technology Officer, Office of the	No AAL	No AAL	No Goal	$3,958,837	Cannot Determine
13	Child and Family Services Agency	$194,160,875	$1,026,551	$513,275	$392,282	76%
14	City Administrator, Office of the	No AAL	No AAL	No Goal	$19,415	Cannot Determine
15	Community Affairs, Office of	$3,021,598	$655,082	$327,542	$59,989	18%
16	Consumer and Regulatory Affairs, Department of	$13,257,615	$105,576	$52,788	$9,356	18%
17	Contract Appeals Board	No AAL	No AAL	No Goal	Did Not Report 3rd Quarter Expenditures	Cannot Determine
18	Contracting and Procurement, Office of	$12,569,353	$548,645	$274,322	Did Not Report 3rd Quarter Expenditures	Cannot Determine
19	Corrections, Department of	$139,305,525	$73,535,970	$36,767,985	$516,258	1%
20	Council of the District of Columbia	No AAL	No AAL	No Goal	$171,825	Cannot Determine
21	Disability Rights, Office of	$2,018,587	$564,842	$282,421	$116,413	41%
22	Disability Services, Department on	$62,006,918	$10,500	$5,250	$76,964	1466%
23	Education, Office of the Deputy Mayor for	No AAL	No AAL	No Goal	Did Not Report	Cannot Determine
24	Education, Office of the State Superintendent	$111,990,077	$35,214,590	$17,607,295	$840,405	5%
25	Elections and Ethics, Board of	$5,192,507	$105,769	$52,884	$77,258	146%
26	Employee Appeals, Office of	No AAL	No AAL	No Goal	Did Not Report	Cannot Determine

[1] The Auditor included SBE expenditures from non-local funds only if DSLBD derived the agency's SBE goal from an appropriated budget that included non-local funds.

	Agency	Appropriated Budget in AAL	Expendable Budget in AAL	SBE Goal in AAL	SBE Expenditures[1]	% of SBE Expenditures Spent Towards SBE Goal
27	Employment Services, Department of	$57,215,205	$1,328,678	$664,339	$267,095	40%
28	Environment, District Department of the	No AAL	No AAL	No Goal	$148,572	Cannot Determine
29	Fire and Emergency Medical Services	$187,935,251	$7,738,430	$3,869,215	$1,245,873	32%
30	Health, Department of	No AAL	No AAL	No Goal	$1,327,676	Cannot Determine
31	Health Care Finance, Department of	No AAL	No AAL	No Goal	$620,067	Cannot Determine
32	Homeland Security and Emergency Management Agency	$3,276,521	$159,881	$79,941	$128,673	161%
33	Housing and Community Development, Department of	$10,019,922	$48,934	$24,467	$45,439	186%
34	Human Resources, D.C. Department of	$14,742,360	$2,965,457	$1,482,729	$67,492	5%
35	Human Rights, Office of	No AAL	No AAL	No Goal	$47,017	Cannot Determine
36	Human Services, Department of	No AAL	No AAL	No Goal	$119,780	Cannot Determine
37	Inspector General, Office of the	$17,541,332	$204,157	$102,079	$99,977	98%
38	Insurance, Securities, and Banking, Department of	$16,327,004	$505,889	$252,945	$61,417	24%
39	Latino Affairs, Office on	$3,820,649	$49,413	$24,706	$32,414	131%
40	Lottery and Charitable Games Control Board, D.C.	No AAL	No AAL	No Goal	$1,987,129	Cannot Determine
41	Mayor, Executive Office of the	$5,349,328	$533,265	$266,633	$15,071	6%
42	Mental Health, Department of	No AAL	No AAL	No Goal	$1,320,766	Cannot Determine
43	Metropolitan Police Department	No AAL	No AAL	No Goal	$2,049,840	Cannot Determine
44	Motion Picture and Television Development, Office of	$584,340	$15,010	$7,505	$3,394	45%
45	Motor Vehicles, Department of	$26,524,000	$1,077,987	$538,994	$136,041	25%
46	National Guard, D.C.	$3,365,109	$89,336	$44,668	$5,122	11%
47	Parks and Recreation, Department of	$39,624,896	$5,105,174	$2,552,587	$495,552	19%
48	Partnership and Grant Services, Office of	No OEC included with AAL	No OEC included with AAL	No Goal	$703	Cannot Determine
49	People's Counsel, Office of the	No AAL	No AAL	No Goal	Did Not Report	Cannot Determine
50	Planning and Economic Development, Office of the Deputy Mayor for	$42,059,768	$9,493,015	$4,746,508	$2,761,342	58%
51	Planning, Office of	$8,070,622	$225,220	$112,610	$180,655	160%
52	Police Complaints, Office of	$2,618,345	$274,963	$137,482	$16,682	12%
53	Public Charter Schools, District of Columbia	No AAL	No AAL	No Goal	Did Not Report	Cannot Determine
54	Public Education Facilities Modernization, Office of	$32,199,258	$6,491,367	$3,245,684	$875,138	27%
55	Public Employee Relations Board	$1,004,000	$10,314	$5,157	$22,598	438%
56	Public Library, D.C.	$39,903,546	$2,787,334	$1,393,667	$959,108	69%
57	Public Schools, District of Columbia	$779,574,349	$59,508,167	$29,754,084	$9,071,829	30%

	Agency	Appropriated Budget in AAL	Expendable Budget in AAL	SBE Goal in AAL	SBE Expenditures[1]	% of SBE Expenditures Spent Towards SBE Goal
58	Public Service Commission	$9,957,532	$448,886	$224,443	$144,839	65%
59	Public Works, Department of	$117,584,731	$117,584,731	$58,792,366	$1,493,685	3%
60	Real Estate Services, Department of	$81,500,000	$38,795,082	$19,397,541	$7,021,872	36%
61	Real Property Assessments and Appeals, Board of	$698,316	$44,000	$22,000	$7,382	34%
62	Retirement Board, District of Columbia	No AAL	No AAL	No Goal	Did Not Report	Cannot Determine
63	Risk Management, Office of	No AAL	No AAL	No Goal	$248	Cannot Determine
64	Secretary, Office of the	No OEC included with AAL	No OEC included with AAL	No Goal	$8,554	Cannot Determine
65	Serve DC	$433,600	$61,818	$30,909	$3,432	11%
66	Small and Local Business Development, Department of	$5,827,918	$145,149	$72,575	$3,535	5%
67	Taxicab Commission, D.C.	$1,212,805	$5,000	$2,500	$33,600	1344%
68	Tenant Advocate, Office of the	$560,068	$5,150	$2,575	$2,082	81%
69	Transportation, District Department of	$107,679,056	$3,312,068	$1,656,034	$2,930,567	177%
70	Unified Communications, Office of	No OEC included with AAL	No OEC included with AAL	No Goal	$306,581	Cannot Determine
71	University of the District of Columbia	$109,464,126	$5,984,002	$2,992,001	$5,351,375	179%
72	Veterans Affairs, Office of	$462,746	$100,857	$50,428	$19,753	39%
73	Washington Convention and Sports Authority	$92,595,044	$14,636,404	$7,318,202	$2,269,502	31%
74	Youth Rehabilitation Services, Department of	$85,016,447	$2,786,860	$1,393,430	$856,477	61%
75	Zoning, Office of	$3,135,902	$716,109	$358,055	$182,344	51%
	TOTAL				**$53,874,799**	

APPENDIX II

Total District Agency Expenditures (All Funding Sources) on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principal Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), and Longtime Resident Businesses (LRBs)[2] through Quarter 3 of FY 2010[3]

	Agency	Expenditures (All Funding Sources)					
		LBE	SBE	DBE	DZE	ROB	LRB
1	Administrative Hearings, Office of	$64,446	$64,446	$28,788	$64,446	$28,788	$0
2	Aging, Office on	$4,545,901	$4,545,901	$0	$4,509,837	$32,089	$3,975
3	Alcoholic Beverage Regulation Administration	$70,631	$70,631	$20,677	$51,643	$50,643	$48,954
4	Arts and Humanities, Commission on the	$59,015	$59,015	$35,962	$18,715	$37,340	$0
5	Asian and Pacific Islander Affairs, Office on	$13,804	$13,804	$10,455	$4,949	$4,949	$2,550
6	Attorney General, Office of the	$673,395	$667,504	$70,347	$116,584	$58,652	$87,298
7	Auditor, Office of the D.C.	$23,680	$23,680	$11,565	$15,999	$7,929	$5,978
8	Cable Television, Office of	$61,525	$38,930	$1,515	$0	$1,515	$25,006
9	Campaign Finance, Office of	$5,152	$5,152	$3,376	$5,152	$0	$0
10	Chief Financial Officer, Office of the	$2,782,370	$2,349,506	$1,408,492	$1,372,044	$887,237	$309,476
11	Chief Medical Examiner, Office of the	$86,729	$86,729	$80,792	$52,652	$0	$42,437
12	Chief Technology Officer, Office of the	$25,231,568	$12,994,874	$23,619,175	$3,319,101	$1,727,639	$871,585
13	Child and Family Services Agency	$516,960	$431,953	$356,080	$351,904	$32,635	$70,309
14	City Administrator, Office of the	$19,415	$19,415	$0	$8,061	$2,245	$6,652
15	Community Affairs, Office of	$59,989	$59,989	$21,672	$36,666	$1,424	$22,030
16	Consumer and Regulatory Affairs, Department of	$1,514,567	$1,514,567	$684,437	$930,235	$617,812	$4,995
17	Contract Appeals Board	$0	$0	$0	$0	$0	$0
18	Contracting and Procurement, Office of	$61,911	$61,911	$43,916	$0	$0	$17,995
19	Corrections, Department of	$601,393	$516,258	$324,044	$308,827	$113,834	$15,009
20	Council of the District of Columbia	$243,825	$243,825	$128,874	$127,156	$18,823	$38,401
21	Disability Rights, Office of	$116,413	$116,413	$0	$0	$116,413	$0
22	Disability Services, Department on	$688,794	$688,794	$320,078	$316,589	$284,027	$44,225
23	Education, Office of the Deputy Mayor for	Did Not Report	Did Not Report	Did Not Report	Did Not Report	Did Not Report	Did Not Report
24	Education, Office of the State Superintendent	$2,367,846	$1,216,261	$1,016,806	$742,441	$440,005	$1,036,144
25	Elections and Ethics, Board of	$116,678	$116,024	$67,072	$46,337	$65,017	$10,829

[2] This table does not include the newly established categories of Veteran-Owned Business Enterprises (VOBs) and Local Manufacturing Business Enterprises (LMBs) because there were not yet any businesses certified in these categories as of June 30, 2010.
[3] The Small, Local and Disadvantaged Business Enterprise Development and Assistance Act of 2005, as amended, establishes the 50% expenditure goal only for SBEs. There is no established expenditure goal for the other categories of certified businesses.

	Agency	Expenditures (All Funding Sources)					
		LBE	SBE	DBE	DZE	ROB	LRB
26	Employee Appeals, Office of	Did Not Report	Did Not Report	Did Not Report	Did Not Report	Did Not Report	Did Not Report
27	Employment Services, Department of	$583,063	$380,314	$257,555	$237,779	$365,151	$66,806
28	Environment, District Department of the	$486,471	$459,621	$33,880	$355,899	$248,836	$0
29	Fire and Emergency Medical Services	$4,860,121	$4,196,347	$3,180,905	$2,845,686	$548,199	$652,524
30	Health, Department of	$5,198,484	$4,604,191	$3,024,737	$1,782,551	$800,953	$822,462
31	Health Care Finance, Department of	$1,649,524	$1,649,524	$63,378	$1,537,389	$36,694	$828,696
32	Homeland Security and Emergency Management Agency	$308,087	$308,087	$135,988	$157,636	$272,964	$20,252
33	Housing and Community Development, Department of	$1,568,248	$1,568,248	$1,511,060	$1,501,925	$1,475,113	$36,102
34	Human Resources, D.C. Department of	$67,492	$67,492	$53,690	$21,125	$11,655	$12,142
35	Human Rights, Office of	$58,690	$58,690	$32,555	$35,487	$24,216	$20,331
36	Human Services, Department of	$511,415	$511,415	$388,967	$338,237	$265,534	$60,999
37	Inspector General, Office of the	$134,933	$104,862	$66,016	$66,277	$39,371	$7,152
38	Insurance, Securities, and Banking, Department of	$84,997	$61,417	$42,425	$19,440	$35,128	$6,777
39	Latino Affairs, Office on	$32,414	$32,414	$31,718	$18,798	$28,960	$0
40	Lottery and Charitable Games Control Board, D.C.	$2,368,694	$1,987,129	$386,299	$365,001	$38,606	$1,622,141
41	Mayor, Executive Office of the	$15,071	$15,071	$8,139	$1,784	$1,722	$6,931
42	Mental Health, Department of	$14,275,107	$4,371,878	$831,682	$12,602,097	$1,494,203	$1,672,148
43	Metropolitan Police Department	$2,441,996	$2,425,525	$710,321	$1,516,171	$504,327	$323,010
44	Motion Picture and Television Development, Office of	$3,394	$3,394	$0	$2,644	$0	$1,070
45	Motor Vehicles, Department of	$344,749	$291,283	$223,081	$137,585	$69,382	$43,793
46	National Guard, D.C.	$5,122	$5,122	$5,122	$0	$4,366	$0
47	Parks and Recreation, Department of	$3,778,636	$3,671,968	$2,228,461	$2,025,506	$2,177,720	$82,005
48	Partnership and Grant Services, Office of	$30,956	$30,956	$29,000	$1,880	$29,000	$76
49	People's Counsel, Office of the	Did Not Report	Did Not Report	Did Not Report	Did Not Report	Did Not Report	Did Not Report
50	Planning and Economic Development, Office of the Deputy Mayor for	$8,295,373	$5,430,320	$5,207,985	$5,069,702	$3,918,623	$2,711,184
51	Planning, Office of	$297,724	$297,724	$126,309	$137,473	$85,062	$64,290
52	Police Complaints, Office of	$16,682	$16,682	$5,928	$14,432	$3,678	$6,136
53	Public Charter Schools, District of Columbia	Did Not Report	Did Not Report	Did Not Report	Did Not Report	Did Not Report	Did Not Report
54	Public Education Facilities Modernization, Office of	$16,548,826	$15,759,074	$8,458,238	$10,457,095	$8,610,132	$5,840,879
55	Public Employee Relations Board	$22,598	$22,598	$0	$709	$709	$21,890
56	Public Library, D.C.	$10,312,580	$8,110,643	$3,313,334	$4,059,847	$2,684,345	$3,891,291
57	Public Schools, District of Columbia	$9,648,297	$9,642,681	$3,003,566	$5,440,217	$4,757,703	$1,587,631
58	Public Service Commission	$167,792	$144,839	$137,502	$103,183	$6,275	$2,587

	Agency	Expenditures (All Funding Sources)					
		LBE	SBE	DBE	DZE	ROB	LRB
59	Public Works, Department of	$6,071,003	$2,533,656	$5,357,883	$5,403,627	$1,463,624	$117,986
60	Real Estate Services, Department of	$35,379,963	$29,167,156	$22,681,140	$25,200,703	$16,924,182	$4,695,914
61	Real Property Assessments and Appeals, Board of	$7,382	$7,382	$499	$4,702	$948	$2,180
62	Retirement Board, District of Columbia	Did Not Report	Did Not Report	Did Not Report	Did Not Report	Did Not Report	Did Not Report
63	Risk Management, Office of	$248	$248	$0	$0	$0	$248
64	Secretary, Office of the	$8,554	$8,554	$1,089	$1,101	$356	$7,465
65	Serve DC	$11,918	$11,918	$6,718	$0	$5,200	$6,718
66	Small and Local Business Development, Department of	$3,535	$3,535	$0	$3,535	$3,535	$2,725
67	Taxicab Commission, D.C.	$33,600	$33,600	$26,358	$7,242	$33,600	$3,751
68	Tenant Advocate, Office of the	$2,082	$2,082	$1,586	$496	$0	$496
69	Transportation, District Department of	$68,729,901	$5,370,229	$6,198,193	$28,251,270	$2,628,630	$63,799,932
70	Unified Communications, Office of	$840,226	$612,520	$817,577	$471,086	$295,336	$480
71	University of the District of Columbia	$6,983,353	$6,802,052	$5,408,312	$5,151,680	$180,865	$266,019
72	Veterans Affairs, Office of	$19,753	$19,753	$14,279	$3,680	$16,073	$1,954
73	Washington Convention and Sports Authority	$13,326,718	$13,326,718	$9,487,326	$12,045,120	$9,109,928	$460,276
74	Youth Rehabilitation Services, Department of	$958,961	$868,633	$718,548	$696,995	$148,485	$94,025
75	Zoning, Office of	$241,015	$172,749	$147,141	$158,609	$138,884	$65,925
	TOTAL	**$256,661,753**	**$151,075,879**	**$112,618,612**	**$140,652,739**	**$64,017,287**	**$92,601,249**