



Office of the District of Columbia Auditor

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**LETTER REPORT: District of Columbia Agencies'
Compliance with Small Business Enterprise Expenditure
Goals through the 1st Quarter of Fiscal Year 2012**

March 29, 2012

Audit Team:

Lawrence Perry, Deputy Auditor
Laura Hopman, Assistant Deputy Auditor
Allegra McCullough, Senior Analyst
Jacarl Melton, Analyst

Yolanda Branche, D.C. Auditor



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A Report by the Office of the D.C. Auditor
Yolanda Branche, D.C. Auditor



Office of the District of Columbia Auditor

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The Honorable Kwame Brown, Chairman
Council of the District of Columbia
1350 Pennsylvania Avenue, NW, Suite 504
Washington, D.C. 20004

Letter Report: District of Columbia Agencies' Compliance with Small Business Enterprise Expenditure Goals through the 1st Quarter of Fiscal Year 2012

Dear Chairman Brown:

The Office of the District of Columbia Auditor (ODCA) examined and assessed District of Columbia government agencies' compliance with Small Business Enterprise (SBE) expenditure goals through the 1st quarter of fiscal year (FY) 2012 (October 1, 2011 through December 31, 2011).¹

OBJECTIVES, SCOPE, AND METHODOLOGY

The objectives of this examination were to:

1. determine District agencies' SBE expenditures through the 1st quarter of FY 2012; and
2. determine District agencies' compliance with SBE goal establishment and expenditure reporting requirements.²

The examination covered the period October 1, 2011 through December 31, 2011. We did not conduct the examination as an audit as defined by the Government Accountability Office's Government Auditing Standards.

¹ See D.C. Official Code § 2-218.41

² See D.C. Official Code §2-218.53(a) and (b)

In conducting this examination, we reviewed 80 agencies, offices or programs that the Department of Small and Local Business Development (DSLBD) determined were required to (1) procure 50% of their expendable budget for goods and services from SBEs each fiscal year and (2) submit quarterly SBE expenditure reports, as well as an annual report to DSLBD and the Office of the D.C. Auditor.³ For each agency, we reviewed appropriated budgets, expendable budgets, and reported SBE goals. For 78 of the 80 agencies, we reviewed their SBE expenditures using the District's System of Accounting and Reporting (SOAR) and the Office of Contracting and Procurement's Purchase Card Program (P-Card) data. Two of the 80 agencies, Events DC and the University of the District of Columbia (UDC), have independent procurement authority and do not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for Events DC and UDC. We also verified vendors in DSLBD's CBE Online System, reviewed DSLBD's QuickBase Data Tracking System, and interviewed key staff from DSLBD and several District agency liaisons responsible for SBE compliance reporting.

³ DSLBD QuickBase Data Tracking System, as of March 7, 2012

BACKGROUND

The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005, as amended, (the Act) states that “it shall be the goal and responsibility of the Department [of Small and Local Business Development] to stimulate and foster the economic growth and development of businesses based in and serving the District of Columbia, particularly certified business enterprises, with the intended goals of:

- (A) Stimulating and expanding the local tax base of the District of Columbia;
- (B) Increasing the number of viable employment opportunities for District residents; and
- (C) Extending economic prosperity to local business owners, their employees, and the communities they serve.”

The Act tasks DSLBD with providing “advocacy, business development programs, and technical assistance offerings” that will “maximize opportunities for certified business enterprises to participate in:

- (A) The District's contracting and procurement process;
- (B) The District's economic development activities; and
- (C) Federal and private sector business opportunities that occur in the District of Columbia.”⁴

The Act also establishes the following categories of businesses: Local Business Enterprises, Small Business Enterprises, Disadvantaged Business Enterprises, Local Business Enterprises with Principle Offices Located in an Enterprise Zone, Resident-Owned Businesses, Longtime Resident Businesses, Local Manufacturing Enterprises, and Veteran Owned Businesses.

The Act requires each District agency to contract 50% of the dollar volume of its goods and services to SBEs each fiscal year. Additionally, it requires agencies to submit quarterly SBE expenditure reports to DSLBD and the Office of the D.C. Auditor. The Act contains no expenditure requirements for the other categories of businesses.

⁴ See D.C. Official Code §2-218.13(a)

RESULTS OF THE AUDITOR'S EXAMINATION

As of March 7, 2012, according to DSLBD's QuickBase database, 80 agencies had a total FY 2012 reported appropriated budget of \$8,526,512,161 and a total reported expendable budget for goods and services of \$2,727,549,090. Based on the expendable budget of \$2,727,549,090 the FY 2012 SBE expenditure goal for the 80 agencies was \$1,363,774,555.

The 80 agencies' FY 2012 reported appropriated and expendable budgets and reported SBE goals are listed in Appendix I.

1ST QUARTER RESULTS

We found that the 80 agencies, as a whole, spent a total of \$15,508,772 with SBEs during the first quarter of FY 2012 or 1% towards the \$1,363,774,555 total FY 2012 SBE expenditure goal for all of the 80 agencies. SBE expenditures for each of the 80 agencies for the 1st quarter of FY 2012 are listed in Appendix I.

Total expenditures of the 80 agencies on Local Business Enterprises, Small Business Enterprises, Disadvantaged Business Enterprises, Local Business Enterprises with Principle Offices Located in an Enterprise Zone, Resident-Owned Businesses, Longtime Resident Businesses, Local Manufacturing Enterprises, and Veteran Owned Businesses for the 1st quarter of FY 2012 are presented in Appendix II.

To establish FY 2012 SBE goals, DSLBD required each agency to access QuickBase, DSLBD's electronic database containing all budget, SBE goal, and agency-reported SBE expenditure data. DSLBD asked each agency to document, as an exclusion in QuickBase, the items in their appropriated budget that the agency could not reasonably be expected to obtain from SBEs. Upon DSLBD's approval, the QuickBase system subtracted the exclusions from the agency's appropriated budget to determine the agency's expendable budget. To determine the SBE goal, the QuickBase system multiplied the expendable budget by 50%. According to DSLBD's QuickBase database, as of March 7, 2012, 16 of the 80 agencies had not properly documented their exclusions. Therefore, DSLBD calculated the SBE goals of the 16 agencies by multiplying their entire appropriated budget by 50%. The 16 agencies that did not properly document their exclusions are noted in Appendix I.

According to DSLBD's QuickBase database, as of March 5, 2012, 29 of the 80 agencies did not submit the required quarterly expenditure report for the 1st Quarter of FY 2012. The 29 agencies that failed to submit the required expenditure report are listed in Appendix III.

Of the 80 agencies, 3 exceeded their reported annual FY 2012 SBE expenditure goals by the end of the 1st quarter of FY 2012. All 3 agencies exceeded their SBE goals by excessively large amounts, raising questions about the validity of the reported expendable budgets of the agencies. The 3 agencies that exceeded their SBE goals are presented in Table I.

Table I
Agencies that Met or Exceeded Their FY 2012 SBE Expenditure Goal

	Agency	1st Quarter SBE Expenditures	Reported SBE Goal	% of SBE Goal Achieved
1	Disability Compensation Fund	\$40,745	\$158	25,870%
2	Elections and Ethics, Board of	\$78,131	\$16,809	465%
3	Homeland Security and Emergency Management Agency	\$462,199	\$31,774	1,455%
	Total	\$581,075	\$48,741	

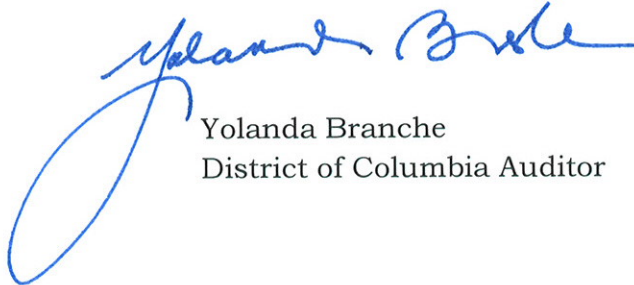
RECOMMENDATIONS

1. Within 30 days of the release of this report, DSLBD should notify (1) the Chairman of the Council Committee responsible for providing oversight of the non-compliant agency, (2) the Chairman of the Council Committee on Small and Local Business Development, and (3) the Mayor that the 29 agencies listed in Appendix III failed to submit the required expenditure report for the 1st quarter of FY 2012.
2. Within 30 days of the release of this report, DSLBD should review the SBE goals, procurement plans, and purchases of the Disability Compensation Fund, the Board of Elections and Ethics, and the Homeland Security and Emergency Management Agency.

CONCLUSION

Based on our examination, we found that 80 agencies spent \$15,508,772 with SBEs toward their collective FY 2012 SBE goal of \$1,363,774,555 through the 1st quarter of FY 2012. The 80 agencies as a whole reached 1% of the total FY 2012 SBE expenditure goal through the 1st quarter of FY 2012. Of the 80 agencies, 3 met or exceeded their FY 2012 expenditure goal, spending \$581,075.

Sincerely,

A handwritten signature in blue ink, appearing to read "Yolanda Branche", with a large, stylized loop at the bottom left.

Yolanda Branche
District of Columbia Auditor

APPENDICES

APPENDIX I

FY 2012 1st Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1st Quarter SBE Expenditures ⁵	Total FY 2012 SBE Expenditures	% of SBE Goal Achieved
1	Administrative Hearings, Office of	\$8,865,000	\$558,592	\$279,296	\$68,855	\$68,855	25%
2	Advisory Neighborhood Commissions ⁶	\$889,414	\$889,414	\$444,707	\$817	\$817	0%
3	Aging, Office on	\$25,445,000	\$6,315,871	\$3,157,935	\$178,076	\$178,076	6%
4	Alcohol Beverage Regulation Administration	\$5,964,010	\$283,930	\$141,965	\$15,815	\$15,815	11%
5	Arts and Humanities, Commission on	\$4,798,246	\$110,794	\$55,397	\$3,100	\$3,100	6%
6	Asian and Pacific Islander Affairs, Office on	\$768,000	\$766,200	\$383,100	\$0	\$0	0%
7	Attorney General for the District of Columbia, Office of the ⁷	\$94,531,748	\$94,531,748	\$47,265,874	\$179,354	\$179,354	0%
8	Auditor, Office of the D.C.	\$4,261,233	\$42,218	\$21,109	\$430	\$430	2%
9	Cable Television, Office of	\$8,524,970	\$324,862	\$162,431	\$3,215	\$3,215	2%
10	Campaign Finance, Office of	\$1,407,024	\$105,050	\$52,525	\$0	\$0	0%
11	Chief Financial Officer, Office of the	\$127,365,574	\$6,705,240	\$3,352,620	\$342,777	\$342,777	10%
12	Chief Medical Examiner, Office of the	\$7,659,000	\$525,058	\$262,529	\$48,903	\$48,903	19%
13	Chief Technology Officer, Office of the	\$70,770,173	\$31,802,115	\$15,901,058	\$399,228	\$399,228	3%

⁵ 1st Quarter SBE expenditures only reflect expenditures made from October 1, 2011 to December 31, 2011 using appropriation year 2012 funds.

⁶ As of March 7, 2012, the agency had not properly documented exclusions in DSLBD's QuickBase database. Therefore, DSLBD calculated the agency's SBE goal by multiplying the agency's entire appropriated budget by 50%.

⁷ Ibid

APPENDIX I

FY 2012 1st Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1st Quarter SBE Expenditures ⁵	Total FY 2012 SBE Expenditures	% of SBE Goal Achieved
14	Child and Family Services Agency	\$265,296,652	\$9,828,883	\$4,914,441	\$145,287	\$145,287	3%
15	City Administrator, Office of the	\$3,283,292	\$22,500	\$11,250	\$2,686	\$2,686	24%
16	Consumer & Regulatory Affairs, Department of	\$26,030,884	\$822,301	\$411,151	\$9,424	\$9,424	2%
17	Contract Appeals Board	\$796,107	\$5,601	\$2,801	\$1,763	\$1,763	63%
18	Contracting and Procurement, Office of	\$8,697,582	\$105,270	\$52,635	\$13,950	\$13,950	27%
19	Corrections, Department of	\$136,287,501	\$6,704,823	\$3,352,412	\$1,295,042	\$1,295,042	39%
20	D.C. Taxicab Commission	\$2,339,000	\$123,192	\$61,596	\$13,440	\$13,440	22%
21	Disability Compensation Fund	\$19,658,000	\$315	\$158	\$40,745	\$40,745	25870%
22	Disability Rights, Office of	\$1,616,156	\$258,936	\$129,468	\$605	\$605	0%
23	Disability Services, Department on	\$91,951,000	\$342,615	\$171,308	\$167,343	\$167,343	98%
24	District of Columbia Public Charter School Board ⁸	\$3,490,000	\$3,490,000	\$1,745,000	\$0	\$0	0%
25	Education, Deputy Mayor for	\$1,912,000	\$437,016	\$218,508	\$104	\$104	0%
26	Elections and Ethics, Board of	\$4,417,635	\$33,619	\$16,809	\$78,131	\$78,131	465%
27	Employee Appeals, Office of ⁹	\$1,359,735	\$1,359,735	\$679,868	\$4,743	\$4,743	1%

⁸ Ibid

⁹ Ibid

APPENDIX I

FY 2012 1st Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1st Quarter SBE Expenditures ⁵	Total FY 2012 SBE Expenditures	% of SBE Goal Achieved
28	Employment Services, Department of	\$126,071,129	\$366,263	\$183,132	\$7,987	\$7,987	4%
29	Environment, District Department of the	\$85,290,000	\$5,792,121	\$2,896,060	\$177,743	\$177,743	6%
30	Events DC ¹⁰	\$106,528,590	\$14,905,116	\$7,452,558	\$3,767,289	\$3,767,289	51%
31	Finance and Resource Management, Office of ¹¹	\$31,056,301	\$31,056,301	\$15,528,151	\$760	\$760	0%
32	Fire and Emergency Medical Services Department	\$195,422,438	\$4,748,777	\$2,374,389	\$330,530	\$330,530	14%
33	Forensic Laboratory Technician Training Program ¹²	\$1,550,554	\$1,550,554	\$775,277	\$0	\$0	0%
34	General Services, Department of	\$353,885,345	\$147,774,375	\$73,887,188	\$693,283	\$693,283	1%
35	Health and Human Services, Deputy Mayor for ¹³	\$698,000	\$698,000	\$349,000	\$0	\$0	0%
36	Health Care Finance, Department of	\$2,207,645,000	\$387,949,544	\$193,974,772	\$71,756	\$71,756	0%
37	Health, Department of	\$259,211,000	\$28,674,693	\$14,337,346	\$655,207	\$655,207	5%
38	Homeland Security and Emergency Management Agency	\$137,447,730	\$63,548	\$31,774	\$462,199	\$462,199	1455%
39	Housing Finance Agency ¹⁴	\$8,884,000	\$8,884,000	\$4,442,000	\$0	\$0	0%

¹⁰ Events DC has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for Events DC.

¹¹ As of March 7, 2012, the agency had not properly documented exclusions in DSLBD's QuickBase database. Therefore, DSLBD calculated the agency's SBE goal by multiplying the agency's entire appropriated budget by 50%.

¹² Ibid

¹³ Ibid

¹⁴ Ibid

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FY 2012 1st Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1st Quarter SBE Expenditures ⁵	Total FY 2012 SBE Expenditures	% of SBE Goal Achieved
40	Housing and Community Development, Department of	\$144,318,583	\$11,872,604	\$5,936,302	\$103,754	\$103,754	2%
41	Human Resources, D.C. Department of	\$9,871,867	\$1,441,763	\$720,882	\$29,571	\$29,571	4%
42	Human Rights, Office of	\$2,379,000	\$131,756	\$65,878	\$198	\$198	0%
43	Human Services, Department of	\$343,278,000	\$268,278,000	\$134,139,000	\$296,357	\$296,357	0%
44	Inspector General, Office of the	\$15,393,352	\$59,854	\$29,927	\$16,646	\$16,646	56%
45	Insurance, Securities and Banking, Department of	\$17,042,318	\$1,233,939	\$616,970	\$11,118	\$11,118	2%
46	Latino Affairs, Office on ¹⁵	\$2,866,000	\$2,866,000	\$1,433,000	\$950	\$950	0%
47	Lottery & Charitable Games Control Board, D.C.	\$258,000,000	\$10,103,678	\$5,051,839	\$1,358,789	\$1,358,789	27%
48	Mayor, Executive Office of the	\$12,788,389	\$5,059,785	\$2,529,893	\$11,115	\$11,115	0%
49	Medical Liability Captive Insurance Agency	\$3,181,640	\$10,000	\$5,000	\$0	\$0	0%
50	Mental Health, Department of	\$177,651,000	\$21,476,368	\$10,738,184	\$165,134	\$165,134	2%
51	Metropolitan Police Department	\$478,537,000	\$46,381,383	\$23,190,691	\$541,650	\$541,650	2%
52	Motion Picture and Television Development, Office of	\$726,078	\$36,204	\$18,102	\$57	\$57	0%
53	Motor Vehicles, Department of	\$38,295,000	\$1,990,462	\$995,231	\$642,299	\$642,299	65%

¹⁵ Ibid

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FY 2012 1st Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1st Quarter SBE Expenditures ⁵	Total FY 2012 SBE Expenditures	% of SBE Goal Achieved
54	National Guard, D.C.	\$8,264,444	\$91,551	\$45,776	\$5,169	\$5,169	11%
55	Parks and Recreation, Department of	\$35,802,000	\$1,714,308	\$857,154	\$22,429	\$22,429	3%
56	People's Counsel, Office of the	\$5,447,184	\$570,009	\$285,005	\$56,214	\$56,214	20%
57	Planning and Economic Development, Office of the Deputy Mayor for	\$16,218,505	\$2,061,100	\$1,030,550	\$115,376	\$115,376	11%
58	Planning, Office of	\$24,725,906	\$347,167	\$173,583	\$17,824	\$17,824	10%
59	Police Complaints, Office of	\$2,051,138	\$45,122	\$22,561	\$3,034	\$3,034	13%
60	Public Charter Schools, D.C. ¹⁶	\$489,953,000	\$489,953,000	\$244,976,500	\$0	\$0	0%
61	Public Employee Relations Board ¹⁷	\$950,866	\$950,866	\$475,433	\$1,500	\$1,500	0%
62	Public Library, District of Columbia	\$36,518,000	\$4,079,215	\$2,039,608	\$307,718	\$307,718	15%
63	Public Safety and Justice, Deputy Mayor for ¹⁸	\$22,715,649	\$22,715,649	\$11,357,825	\$3,518	\$3,518	0%
64	Public Schools, D.C. ¹⁹	\$826,943,000	\$826,943,000	\$413,471,500	\$1,498,075	\$1,498,075	0%
65	Public Service Commission	\$10,375,515	\$458,667	\$229,334	\$2,249	\$2,249	1%
66	Public Works, Department of	\$125,807,000	\$10,346,844	\$5,173,422	\$204,404	\$204,404	4%

¹⁶ Ibid

¹⁷ Ibid

¹⁸ Ibid

¹⁹ Ibid

APPENDIX I

FY 2012 1st Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1st Quarter SBE Expenditures ⁵	Total FY 2012 SBE Expenditures	% of SBE Goal Achieved
67	Real Property Assessments and Appeals, Board of ²⁰	\$1,631,000	\$1,631,000	\$815,500	\$7,953	\$7,953	1%
68	Retirement Board, D.C. ²¹	\$30,338,000	\$30,338,000	\$15,169,000	\$0	\$0	0%
69	Risk Management, D.C. Office of	\$2,727,602	\$16,637	\$8,319	\$1,427	\$1,427	17%
70	Secretary, Office of the	\$2,905,017	\$1,998,017	\$999,009	\$6,263	\$6,263	1%
71	Small and Local Business Development, Department of	\$5,264,071	\$319,107	\$159,554	\$3,044	\$3,044	2%
72	Special Education Transportation ²²	\$91,260,000	\$91,260,000	\$45,630,000	\$18,045	\$18,045	0%
73	State Superintendent of Education, Office of the	\$399,490,000	\$29,316,351	\$14,658,176	\$163,184	\$163,184	1%
74	Tenant Advocate, Office of the	\$1,923,771	\$256,723	\$128,362	\$2,956	\$2,956	2%
75	Transportation, Department of	\$128,121,000	\$19,227,329	\$9,613,665	\$95,187	\$95,187	1%
76	Unified Communications, Office of	\$40,090,000	\$10,570,645	\$5,285,322	\$39,462	\$39,462	1%
77	University of the District of Columbia ²³	\$160,468,655	\$7,652,636	\$3,826,318	\$278,673	\$278,673	7%
78	Veterans' Affairs, Office of	\$373,000	\$27,077	\$13,539	\$0	\$0	0%
79	Youth Rehabilitation Services, Department of	\$107,177,000	\$4,371,169	\$2,185,585	\$274,645	\$274,645	13%

²⁰ Ibid

²¹ Ibid

²² Ibid

²³ Ibid

²³ UDC has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for UDC.

APPENDIX I

FY 2012 1st Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1st Quarter SBE Expenditures ⁵	Total FY 2012 SBE Expenditures	% of SBE Goal Achieved
80	Zoning, Office of	\$2,567,588	\$386,915	\$193,458	\$22,198	\$22,198	11%
	TOTAL	\$8,526,512,161	\$2,727,549,090	\$1,363,774,555	\$15,508,772	\$15,508,772	

APPENDIX II

Total Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs) for the 1st Quarter of FY 2012

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
1	Administrative Hearings, Office of	\$68,855	\$68,855	\$8,895	\$68,030	\$5,695	\$629	\$0	\$0
2	Advisory Neighborhood Commissions	\$817	\$817	\$0	\$0	\$0	\$817	\$0	\$0
3	Aging, Office on	\$178,076	\$178,076	\$176,360	\$177,010	\$0	\$1,066	\$0	\$0
4	Alcohol Beverage Regulation Administration	\$15,815	\$15,815	\$10,841	\$3,556	\$3,307	\$6,644	\$0	\$0
5	Arts and Humanities, Commission on	\$3,100	\$3,100	\$2,922	\$448	\$448	\$0	\$0	\$0
6	Asian and Pacific Islander Affairs, Office on	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
7	Attorney General for the District of Columbia, Office of the	\$187,823	\$179,354	\$149,638	\$32,199	\$18,488	\$14,666	\$0	\$3,410
8	Auditor, Office of the D.C.	\$430	\$430	\$0	\$430	\$430	\$0	\$0	\$0
9	Cable Television, Office of	\$16,921	\$3,215	\$0	\$1,130	\$1,130	\$0	\$0	\$0
10	Campaign Finance, Office of	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
11	Chief Financial Officer, Office of the	\$471,833	\$342,777	\$427,560	\$247,643	\$122,867	\$24,072	\$0	\$0
12	Chief Medical Examiner, Office of the	\$48,903	\$48,903	\$37,730	\$48,903	\$0	\$17,248	\$0	\$0
13	Chief Technology Officer, Office of the	\$2,977,497	\$399,228	\$2,888,189	\$91,537	\$297,105	\$31,285	\$0	\$0
14	Child and Family Services Agency	\$204,128	\$145,287	\$124,108	\$58,943	\$9,279	\$3,184	\$0	\$0

APPENDIX II

Total Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs) for the 1st Quarter of FY 2012

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
15	City Administrator, Office of the	\$2,686	\$2,686	\$1,674	\$1,674	\$1,674	\$1,012	\$0	\$0
16	Consumer & Regulatory Affairs, Department of	\$9,424	\$9,424	\$5,791	\$4,763	\$959	\$2,823	\$0	\$0
17	Contract Appeals Board	\$1,763	\$1,763	\$0	\$1,763	\$1,763	\$0	\$0	\$0
18	Contracting and Procurement, Office of	\$13,950	\$13,950	\$12,628	\$12,212	\$3,400	\$2,446	\$0	\$0
19	Corrections, Department of	\$1,322,915	\$1,295,042	\$395,127	\$431,641	\$329,025	\$27,874	\$0	\$0
20	D.C. Taxicab Commission	\$13,440	\$13,440	\$11,993	\$8,915	\$6,270	\$0	\$0	\$0
21	Disability Compensation Fund	\$40,745	\$40,745	\$0	\$0	\$40,745	\$0	\$0	\$0
22	Disability Rights, Office of	\$605	\$605	\$211	\$211	\$211	\$395	\$0	\$0
23	Disability Services, Department on	\$169,081	\$167,343	\$60	\$160,839	\$145,307	\$89	\$0	\$0
24	District of Columbia Public Charter School Board	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
25	Education, Deputy Mayor for	\$104	\$104	\$104	\$0	\$0	\$0	\$0	\$0
26	Elections and Ethics, Board of	\$78,341	\$78,131	\$74,476	\$30,215	\$7,032	\$25,039	\$0	\$1,871
27	Employee Appeals, Office of	\$4,743	\$4,743	\$0	\$0	\$0	\$4,743	\$0	\$0
28	Employment Services, Department of	\$216,116	\$7,987	\$214,607	\$5,943	-\$535	\$2,044	\$0	\$0

APPENDIX II

Total Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs) for the 1st Quarter of FY 2012

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
29	Environment, District Department of the	\$225,794	\$177,743	\$73,776	\$77,750	\$114,255	\$5,859	\$0	\$0
30	Events DC ²⁴	\$3,775,988	\$3,767,289	\$1,017,213	\$2,937,208	\$134,496	\$85,444	\$0	\$0
31	Finance and Resource Management, Office of	\$760	\$760	\$0	\$0	\$0	\$760	\$0	\$0
32	Fire and Emergency Medical Services Department	\$330,530	\$330,530	\$176,179	\$300,661	\$54,100	\$151,320	\$0	\$0
33	Forensic Laboratory Technician Training Program	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
34	General Services, Department of	\$1,004,491	\$693,283	\$107,333	\$532,995	\$341,242	\$334,446	\$0	\$0
35	Health and Human Services, Deputy Mayor for	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
36	Health Care Finance, Department of	\$170,621	\$71,756	\$108,428	\$58,730	\$71,756	\$0	\$0	\$0
37	Health, Department of	\$1,050,236	\$655,207	\$571,194	\$478,291	\$97,525	\$116,043	\$0	\$0
38	Homeland Security and Emergency Management Agency	\$555,960	\$462,199	\$444,706	\$455,914	\$115,530	\$3,005	\$0	\$0
39	Housing Finance Agency	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
40	Housing and Community Development, Department of	\$103,754	\$103,754	\$83,659	\$93,722	\$82,716	\$15,095	\$0	\$0
41	Human Resources, D.C. Department of	\$29,571	\$29,571	\$29,458	\$19,948	\$1,450	\$8,173	\$0	\$0

²⁴ Events DC has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for Events DC.

APPENDIX II

Total Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs) for the 1st Quarter of FY 2012

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
42	Human Rights, Office of	\$198	\$198	\$0	\$198	\$198	\$0	\$0	\$0
43	Human Services, Department of	\$330,149	\$296,357	\$120,684	\$193,067	\$86,160	\$64,894	\$0	\$0
44	Inspector General, Office of the	\$16,646	\$16,646	\$5,198	\$9,342	\$2,532	\$5,045	\$0	\$0
45	Insurance, Securities and Banking, Department of	\$11,118	\$11,118	\$3,786	\$181	\$2,042	\$7,332	\$0	\$0
46	Latino Affairs, Office on	\$950	\$950	\$950	\$950	\$950	\$0	\$0	\$0
47	Lottery & Charitable Games Control Board, D.C.	\$1,358,789	\$1,358,789	\$40,139	\$150,259	\$55,237	\$1,185,411	\$0	\$0
48	Mayor, Executive Office of the	\$11,115	\$11,115	\$3,058	\$1,988	\$2,053	\$7,414	\$0	\$0
49	Medical Liability Captive Insurance Agency	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
50	Mental Health, Department of	\$172,149	\$165,134	\$61,687	\$82,283	\$14,785	\$26,833	\$0	\$0
51	Metropolitan Police Department	\$1,523,588	\$541,650	\$1,158,600	\$478,694	\$140,477	\$48,296	\$0	\$0
52	Motion Picture and Television Development, Office of	\$57	\$57	\$57	\$57	\$57	\$0	\$0	\$0
53	Motor Vehicles, Department of	\$691,904	\$642,299	\$52,751	\$8,752	\$49,605	\$8,110	\$0	\$0
54	National Guard, D.C.	\$5,169	\$5,169	\$5,169	\$0	\$0	\$5,169	\$0	\$0
55	Parks and Recreation, Department of	\$23,374	\$22,429	\$15,169	\$20,674	\$14,673	\$2,700	\$0	\$0

APPENDIX II

Total Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs) for the 1st Quarter of FY 2012

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
56	People's Counsel, Office of the	\$58,543	\$56,214	\$0	\$55,410	\$2,441	\$16,659	\$0	\$0
57	Planning and Economic Development, Office of the Deputy Mayor for	\$115,376	\$115,376	\$100,206	\$115,376	\$100,496	\$0	\$0	\$0
58	Planning, Office of	\$17,824	\$17,824	\$6,296	\$11,513	\$4,237	\$683	\$0	\$2,194
59	Police Complaints, Office of	\$3,034	\$3,034	\$3,034	\$1,218	\$3,034	\$0	\$0	\$0
60	Public Charter Schools, D.C.	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
61	Public Employee Relations Board	\$1,500	\$1,500	\$0	\$303	\$303	\$1,197	\$0	\$0
62	Public Library, District of Columbia	\$307,718	\$307,718	\$188,626	\$266,953	\$9,522	\$16,365	\$0	\$0
63	Public Safety and Justice, Deputy Mayor for	\$3,518	\$3,518	\$3,422	\$235	\$235	\$96	\$0	\$0
64	Public Schools, D.C.	\$1,498,075	\$1,498,075	\$612,757	\$996,950	\$586,065	\$342,792	\$0	\$0
65	Public Service Commission	\$2,249	\$2,249	\$79	\$548	\$0	\$0	\$0	\$0
66	Public Works, Department of	\$403,246	\$204,404	\$103,951	\$239,690	\$65,748	\$118,578	\$0	\$0
67	Real Property Assessments and Appeals, Board of	\$7,953	\$7,953	\$2,664	\$2,494	\$2,494	\$4,859	\$0	\$0
68	Retirement Board, D.C.	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0

APPENDIX II

Total Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs) for the 1st Quarter of FY 2012

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
69	Risk Management, D.C. Office of	\$1,427	\$1,427	\$1,427	\$1,267	\$1,267	\$0	\$0	\$0
70	Secretary, Office of the	\$6,263	\$6,263	\$2,753	\$2,753	\$2,753	\$2,550	\$0	\$0
71	Small and Local Business Development, Department of	\$3,044	\$3,044	\$714	\$0	\$714	\$2,330	\$0	\$0
72	Special Education Transportation	\$51,137	\$18,045	\$18,045	\$18,045	\$10,475	\$0	\$0	\$0
73	State Superintendent of Education, Office of the	\$192,729	\$163,184	\$146,707	\$46,245	\$121,389	\$10,967	\$0	\$0
74	Tenant Advocate, Office of the	\$2,956	\$2,956	\$0	\$2,956	\$0	\$2,956	\$0	\$0
75	Transportation, Department of	\$161,704	\$95,187	\$130,967	\$14,008	\$64,836	\$10,701	\$0	\$0
76	Unified Communications, Office of	\$289,564	\$39,462	\$283,592	\$39,462	\$2,500	\$3,472	\$0	\$0
77	University of the District of Columbia ²⁵	\$285,795	\$278,673	\$190,652	\$217,651	\$26,436	\$42,231	\$0	\$0
78	Veterans' Affairs, Office of	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
79	Youth Rehabilitation Services, Department of	\$295,975	\$274,645	\$225,951	\$252,524	\$75,793	\$42,707	\$0	\$0
80	Zoning, Office of	\$29,206	\$22,198	\$10,892	\$17,054	\$16,563	\$4,929	\$0	\$1,260
	TOTAL	\$21,179,858	\$15,508,772	\$10,654,843	\$9,592,324	\$3,473,740	\$2,871,497	\$0	\$8,735

²⁵ UDC has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for UDC.

APPENDIX III

Agencies That Failed to Submit the 1st Quarter FY 2012 Expenditure Report, as of March 5, 2012

	Agency
1	Advisory Neighborhood Commissions
2	Asian and Pacific Islander Affairs, Office on
3	Auditor, Office of the D.C.
4	Cable Television, Office of
5	Chief Medical Examiner, Office of the
6	Contract Appeals Board
7	Contracting and Procurement, Office of
8	D.C. Taxicab Commission
9	Disability Rights, Office of
10	District of Columbia Public Charter School Board
11	Education, Deputy Mayor for
12	Employee Appeals, Office of
13	Finance and Resource Management, Office of
14	Forensic Laboratory Technician Training Program
15	Health and Human Services, Deputy Mayor for
16	Health Care Finance, Department of
17	Housing Finance Agency
18	Latino Affairs, Office on
19	Motion Picture and Television Development, Office of
20	Parks and Recreation, Department of
21	Public Charter Schools, D.C.
22	Public Employee Relations Board
23	Public Safety and Justice, Deputy Mayor for
24	Public Schools, D.C.
25	Real Property Assessments and Appeals, Board of
26	Retirement Board, D.C.
27	Special Education Transportation
28	Tenant Advocate, Office of the
29	Transportation, Department of