OFFICE OF THE DISTRICT OF COLUMBIA AUDITOR
717 14TH STREET N.W., SUITE 900
WASHINGTON, D.C. 20005
TEL. 202-727-3600 • FAX: 202-724-8814

Deborah K. Nichols
District of Columbia Auditor

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Letter Report: District of Columbia Agencies' Compliance with Small Business Enterprise Expenditure Goals for the 1st and 2nd Quarter of Fiscal Year 2010

September 24, 2010

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The Honorable Kwame Brown, Chairperson
Committee on Economic Development
Council of the District of Columbia
1350 Pennsylvania Avenue, NW, Suite 504
Washington, D.C. 20004

Letter Report: District of Columbia Agencies' Compliance with Small Business Enterprise Expenditure Goals for the 1st and 2nd Quarter of Fiscal Year 2010

Dear Chairperson Brown:

Pursuant to the Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005 (the Act), as amended,[1] the Office of the District of Columbia Auditor (Auditor) examined and assessed District of Columbia government agencies' compliance with small business enterprise (SBE) expenditure goals for the 1st and 2nd quarters of fiscal year (FY) 2010 (October 1, 2009 through March 31, 2010).[2]

OBJECTIVES, SCOPE, AND METHODOLOGY

The objectives of this examination were to:

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[1] *See* D.C. Official Code § 2-218.53 of the Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005, as amended.

[2] The Auditor's Certified Business Compliance Unit (CBCU) is responsible for monitoring and reporting on compliance matters relating to District agencies' adherence to Small Business Enterprise (SBE) expenditure requirements and the monitoring and tracking expenditures to all Certified Business Enterprises (CBEs) received from District subsidized private development construction projects. The transfer of the CBCU from the Department of Small and Local Business Development (DSLBD) to the Office of the D.C. Auditor on October 1, 2009 provides an independent means of assessing whether District agencies and private developers are meeting the minimum requirements set forth in the Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005, as amended, (the Act) and providing economic development opportunities to District small businesses.

1. determine whether District agencies are on target to meet the required goal of procuring 50% of their expendable budgets with SBEs in FY 2010;[3]

2. determine District agencies' compliance with SBE goal establishment and expenditure reporting requirements set forth in the Act;[4] and

3. assess DSLBD's administration and management of procedures used to establish District agencies' SBE goals through the 2nd quarter of FY 2010.

The examination covered the period October 1, 2009 through March 31, 2010.

In conducting this examination, the Auditor reviewed District agencies' and DSLBD's goal setting documentation.[5] The Auditor also reviewed District agencies' quarterly expenditure reports and verified the vendors and expenditures against data from the District's procurement system (PASS), System of Accounting and Reporting (SOAR), and DSLBD's CBE Business Online system. The Auditor interviewed key staff from DSLBD and several District agency liaisons responsible for SBE compliance reporting during FY 2010.

The examination was conducted as a nonaudit service.[6]

BACKGROUND

The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005, as amended, states that "it shall be the goal and responsibility of the Department [of

[3] *See* D.C. Official Code §2-218.21(a) of the Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005, as amended.

[4] *See* D.C. Official Code §2-218.53(a) and (b) of the Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005, as amended, which requires agencies to "submit a quarterly report to the Department [DSLBD] and to the District of Columbia Auditor within 30 days after the end of each quarter" and an annual report "within 30 days of the issuance of the Comprehensive Annual Financial Report."

[5] The goal setting documentation included Operating Expense Checklists and Annual Allocation Letters.

[6] *See* Government Auditing Standards, July 2007 Revision A3.02 and A3.03a, which states in part that Audit organizations in government entities frequently provide nonaudit services that differ from the professional services provided by an accounting or consulting firm to or for the audited entity. The types of nonaudit services are often performed in response to a statutory requirement, at the discretion of the authority of the audit organization, or for a legislative oversight body or an independent external organization and do not impair auditor independence. Examples of these types of services include providing information or data to a requesting party without auditor evaluation or verification of the information or data.

Small and Local Business Development] to stimulate and foster the economic growth and development of businesses based in and serving the District of Columbia, particularly certified business enterprises, with the intended goals of:

(A) Stimulating and expanding the local tax base of the District of Columbia;

(B) Increasing the number of viable employment opportunities for District residents; and

(C) Extending economic prosperity to local business owners, their employees, and the communities they serve."

The Act also tasks DSLBD with providing "advocacy, business development programs, and technical assistance offerings" that will "maximize opportunities for certified business enterprises to participate in:

(A) The District's contracting and procurement process;

(B) The District's economic development activities; and

(C) Federal and private sector business opportunities that occur in the District of Columbia."[7]

[7] *See* D.C. Official Code §2-218.13(a) of the Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005, as amended.

RESULTS OF THE AUDITOR'S EXAMINATION

10 District Agencies Are On Target to Meet FY 2010 SBE Expenditure Goals, 39 District Agencies Are Not On Target to Meet FY 2010 SBE Expenditure Goals, and 26 District Agencies Could Not Be Assessed Due to a Lack of Data

D.C. Official Code §2-218.41(a) requires each District agency to "exercise its contracting and procurement authority so as to meet, on an annual basis, the goal of procuring and contracting 50% of the dollar volume of its goods and services, including construction goods and services, to small business enterprises."

The Auditor found that District agencies have expended $35,231,970 towards their FY 2010 SBE expenditure goals through the 2nd quarter of FY 2010. Of the 75 agencies[8] for whom DSLBD attempted to establish a SBE goal: [9]

- 10 agencies are on target to meet or exceed their SBE goal (SBE expenditures through the 2nd quarter represent at least 50% of the agency's FY 2010 SBE goal);
- 39 agencies' SBE expenditures represent less than 50% of the their FY 2010 SBE goal as of the end of the 2nd quarter;
- 21 agencies could not be assessed because the agencies' management had not established a SBE goal as of July 23, 2010; and
- 5 agencies could not be assessed because the agencies' management had not established a SBE goal as of July 23, 2010 and had not submitted SBE expenditure reports, as required by the Act, for the 1st or 2nd quarters of FY 2010.

[8] *See* D.C. Official Code §2-218.02 which defines *agency* as "an agency, department, office, board, or commission of the District of Columbia government."

[9] Several entities in the FY 2010 budget are specifically exempt from CBE requirements and others, such as retirement funds, disability compensation funds, etc, cannot reasonably be expected to meet CBE requirements. *See* D.C. Official Code §2-218.55(a), "Except as provided in subsection (b) of this section, a regional governmental entity shall be exempt from the requirements to the extent that the requirements of this subchapter impact on the regional governmental entity's operations within the territory of a member government other than the District." *See also* D.C. Official Code §2-218.55(b), "The District of Columbia Water and Sewer Authority shall be exempt from the requirements of this subchapter to the extent that the requirements of this subchapter are contrary to procurement regulations promulgated pursuant to statutes establishing the District of Columbia Water and Sewer Authority."

Appendix I presents the Auditor's agency SBE compliance report through the 2nd quarter of FY 2010. The Auditor's findings reflect a failure on the part of District agencies' executive management and DSLBD management to ensure that agency SBE goals are promptly established at the beginning of the fiscal year, vigorously pursued throughout the fiscal year, and met by the end of the fiscal year.

Appendix II presents total District agency expenditures (from all funding sources) on Local Business Enterprises (LBEs), SBEs, Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principal Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), and Longtime Resident Businesses (LRBs) through the 2nd quarter of FY 2010.

Recommendations

1. District agency directors should be more proactive in ensuring that annual SBE goals are promptly established with DSLBD, expenditures are reported quarterly to the Auditor and DSLBD, and SBE goals are met by the end of the fiscal year. Agency directors should request and review a copy of the expenditure report that their agency's point of contact submits to the Auditor and DSLBD each quarter.

2. DSLBD should provide more comprehensive support to District agencies that neglect to establish an annual SBE goal and those agencies that are in danger of not meeting their annual SBE goal. More intensive support activities should include ongoing communications with agencies' appointed liaisons responsible for completing the SBE goal setting process, and assistance in identifying certified SBEs to provide needed products and services.

3. The Council of the District of Columbia's Chairperson of the Committee on Economic Development should convene a hearing at least once per year to gather testimony from agency directors that do not meet their annual SBE goal or provide the data necessary to assess compliance. The Chairperson should also consider recommending that SBE goal attainment be added: (1) as a key performance indicator

in every agency's annual performance plan; and (2) as a component of every agency director's annual personnel performance plan.

26 District Agencies Did Not Submit Documents to DSLBD That Were Necessary to Calculate and Establish the Agency's FY 2010 SBE Goal

D.C. Official Code §2-218.41(a) requires each District agency to "exercise its contracting and procurement authority so as to meet, on an annual basis, the goal of procuring and contracting 50% of the dollar volume of its goods and services, including construction goods and services, to small business enterprises." D.C. Official Code §2-218.41(b) states that "an agency's dollar volume of goods and services to be procured or contracted should be based on the expendable budget of the agency…" D.C. Official Code §2-218.02(9) defines "expendable budget" as "the total budget of an agency, reduced by such funding sources, object classes, objects, and other items as shall be identified by the Mayor through rulemaking."

To establish FY 2010 SBE goals for each District agency, DSLBD provided 75 agencies with a blank Operating Expense Checklist (OEC) and Annual Allocation Letter (AAL) on February 8, 2010. Agencies were instructed to complete their OEC by filling in their FY 2010 appropriated budget, detailing all costs that could not be obtained from SBEs (such as personnel and fixed costs), and subtracting the excluded costs from the appropriated budget to arrive at their FY 2010 expendable budget.[10] To calculate the SBE goal, each agency's expendable budget was multiplied by 50% in accordance with the requirements set forth in D.C. Official Code §2-218.41(a). Agencies were required to submit their OEC and AAL, signed by the agency director, to DSLBD for review and approval by July 23, 2010.

Table I shows that 25 District agencies did not submit an OEC to DSLBD and 23 District agencies did not submit signed AALs to DSLBD by July 23, 2010. These documents were critical in calculating the agencies' expendable budget and establishing the agencies' annual SBE goal.

[10] The expendable budget in the Operating Expense Checklist was the appropriated budget reduced by funding sources, object classes, objects, and other items that the agency could not reasonably be expected to obtain from SBEs.

Table I
Agencies that Did Not Submit Operating Expense Checklists and/or Annual Allocation Letters to DSLBD by July 23, 2010[11]

	Agency	Operating Expense Checklist (OEC)	Annual Allocation Letter (AAL)
1	Arts and Humanities, Commission on the	Not Submitted	Not Submitted
2	Asian and Pacific Islander Affairs, Office on	Submitted	Not Submitted
3	Attorney General, Office of the	Not Submitted	Not Submitted
4	Chief Financial Officer, Office of the	Not Submitted	Not Submitted
5	Chief Technology Officer, Office of the	Not Submitted	Not Submitted
6	City Administrator, Office of the	Not Submitted	Not Submitted
7	Contract Appeals Board	Not Submitted	Not Submitted
8	Council of the District of Columbia	Not Submitted	Not Submitted
9	Education, Office of the Deputy Mayor for	Not Submitted	Not Submitted
10	Employee Appeals, Office of	Not Submitted	Not Submitted
11	Environment, District Department of the	Not Submitted	Not Submitted
12	Health, Department of	Not Submitted	Not Submitted
13	Health Care Finance, Department of	Not Submitted	Not Submitted
14	Human Rights, Office of	Not Submitted	Not Submitted
15	Human Services, Department of	Not Submitted	Not Submitted
16	Lottery and Charitable Games Control Board, D.C.	Not Submitted	Not Submitted
17	Mental Health, Department of	Not Submitted	Not Submitted
18	Metropolitan Police Department	Not Submitted	Not Submitted
19	Partnership and Grant Services, Office of	Not Submitted	Submitted
20	People's Counsel, Office of the	Not Submitted	Not Submitted
21	Planning & Economic Development, Office of the Deputy Mayor for	Not Submitted	Not Submitted
22	Public Charter Schools, District of Columbia	Not Submitted	Not Submitted
23	Retirement Board, District of Columbia	Not Submitted	Not Submitted
24	Risk Management, Office of[12]	Not Submitted	Not Submitted
25	Secretary, Office of the	Not Submitted	Submitted
26	Unified Communications, Office of	Not Submitted	Submitted

Source: DSLBD

Recommendations

1. DSLBD should develop and adhere to a standard operating procedure in which they notify the Agency Director, the City Administrator, and the Councilmember

[11] This information was provided to the Auditor by DSLBD.
[12] The Office of Risk Management submitted an Operating Expense Checklist and Annual Allocation Letter, but the documents were submitted after July 23, 2010, they were not signed or dated, and the Operating Expense Checklist contained calculation errors.

responsible for oversight of the non-compliant agency within 10 business days of an agency's failure to submit the OEC and AAL by the due date.

2. DSLBD should begin the agency SBE goal setting process not later than November 1st of each fiscal year and complete the process by early January of the same fiscal year.

5 District Agencies Did Not Submit Required SBE Expenditure Reports for the 1st and 2nd Quarter of FY 2010

D.C. Official Code §2-218.53(a) requires that "each agency shall submit a quarterly report to the Department and to the District of Columbia Auditor within 30 days after the end of each quarter, except for the 4th quarter report." When submitting a quarterly report, each agency shall list each expenditure as it appears in the general ledger from the expendable budget of the agency during the quarter. D.C. Official Code §2-218.53(b) requires that each agency must also submit an annual report within 30 days of the issuance of the District's Comprehensive Annual Financial Report (CAFR). D.C. Official Code §2-218.53(c) states: "The Department [DSLBD] shall monitor agency compliance with the reporting requirements of this section."

The Auditor found that the following agencies did not submit one or both quarterly SBE expenditure reports in FY 2010 to the Auditor or DSLBD:

1. Office of the Deputy Mayor for Education,
2. Office of Employee Appeals,
3. Office of the People's Counsel,
4. District of Columbia Public Charter Schools, and
5. District of Columbia Retirement Board.

This finding indicates non-compliance with the Act and a lack of accountability by DSLBD and District agencies' management for ensuring that complete SBE expenditure data is collected and reported on a quarterly and annual basis.

Recommendation

DSLBD should develop and adhere to a standard operating procedure in which they notify the Agency Director, the City Administrator, and the Councilmember responsible for oversight of the non-compliant agency within 10 business days of an agency's failure to submit quarterly and annual SBE reports by the due date.

DSLBD Allowed Agencies to Include Inconsistent Funding Sources in Establishing the Annual SBE Goal

The Auditor found inconsistencies in the source of funds used by agencies to establish the annual SBE goal. Sometimes the appropriated budgets in the agencies' Operating Expense Checklists included only local funds and sometimes they included other non-local funding sources. Because SBE goals were derived from the agencies' appropriated budgets, SBE goals were not consistent. The Act does not specify exactly which funding sources are to be included when establishing SBE goals and tracking and reporting expenditures, however, traditionally, only SBE expenditures from local funds have been counted towards the SBE goal. There are two primary effects of this finding: 1) some agencies are able to count non-local SBE expenditures towards their SBE goals while other agencies cannot, creating inconsistency in the compliance results; and 2) the mixing of funding sources in some agencies' goals significantly complicates the process for tracking agency expenditures.

The following 10 agencies derived their SBE goal from an appropriated budget that included a mix of local and non-local funding sources:

1. Office of the Chief Medical Examiner,
2. Office of Contracting and Procurement,
3. Department of Corrections,
4. D.C. Department of Human Resources,
5. Department of Mental Health,
6. Office of Planning,

7. District of Columbia Public Schools,
8. Department of Real Estate Services,
9. District Department of Transportation, and
10. University of the District of Columbia.

The following 2 agencies derived their SBE goal from an appropriated budget that included only non-local (special purpose revenue) funding sources:

1. Office of Cable Television, and
2. Department of Insurance, Securities, and Banking.

The Auditor will seek an opinion from the Council of the District of Columbia's Office of the General Counsel as to exactly which funding sources should and should not be included in appropriated budgets when calculating SBE goals for District agencies and tracking and reporting agency SBE expenditures. Once the Auditor has obtained the legal opinion from the Office of the General Counsel, a recommendation will be made on how to handle this matter.

DSLBD Did Not Establish SBE Goals for 9 District Entities

D.C. Official Code §2-218.53(a) requires that "each agency shall submit a quarterly report to the Department and to the District of Columbia Auditor within 30 days after the end of each quarter, except for the 4th quarter report." D.C. Official Code §2-218.53(c) requires DSLBD to monitor District agency compliance with quarterly and annual SBE reporting requirements.

The Auditor found that DSLBD did not attempt to establish SBE goals for 9 of the 84 agencies, offices, departments, independent agencies, and charter agencies appearing in the District's FY 2010 Proposed Budget and Financial Plan that received local or special purpose revenue funds from the District.[13] DSLBD did not attempt to establish a SBE goal for the following entities:

1. Corrections Information Council,
2. Criminal Justice Coordinating Council,
3. Office of Finance and Resource Management,
4. Forensic Laboratory Technician Training Program,
5. Office of Justice Grants Administration,
6. Motor Vehicle Theft Prevention Commission,
7. District of Columbia Public Charter School Board,
8. Sentencing and Criminal Code Revision Commission, and
9. Office of Victim Services.

Recommendation

DLSBD should establish and adhere to a standard operating procedure of reviewing the District's budget each fiscal year to ensure that every agency establishes an annual SBE goal and submits quarterly and annual SBE expenditure reports.

[13] Several entities in the FY 2010 budget are specifically exempt from CBE requirements and others, such as retirement funds, disability compensation funds, etc, cannot reasonably be expected to meet CBE requirements. *See* D.C. Official Code §2-218.55(a), "Except as provided in subsection (b) of this section, a regional governmental entity shall be exempt from the requirements to the extent that the requirements of this subchapter impact on the regional governmental entity's operations within the territory of a member government other than the District." *See also* D.C. Official Code §2-218.55(b), "The District of Columbia Water and Sewer Authority shall be exempt from the requirements of this subchapter to the extent that the requirements of this subchapter are contrary to procurement regulations promulgated pursuant to statutes establishing the District of Columbia Water and Sewer Authority."

CONCLUSION

The Auditor's analysis revealed that many District agencies are not on target to meet the required goal of procuring 50% of their expendable budgets with SBEs in FY 2010. Furthermore, several District agencies have failed to establish a SBE goal and submit expenditure reports to DSLBD and the Auditor.

Although DSLBD has worked to improve the procedures used to establish District agency SBE goals, there is more work to be done. DSLBD must ensure that a SBE goal is established for every District agency. Also, a determination must be made as to whether SBE goals should be based solely on local funds or whether other funds should also be included.

Respectfully,

Deborah K. Nichols
District of Columbia Auditor

APPENDICES

District Agency Compliance with SBE Goals through Quarter 2 of FY 2010

	Agency	Appropriated Budget in AAL	Expendable Budget in AAL	SBE Goal in AAL	SBE Expenditures[14]	% of SBE Expenditures Spent Towards SBE Goal
1	Administrative Hearings, Office of	$7,004,754	$288,771	$144,386	$36,583	25%
2	Aging, Office on	$16,218,255	$2,102,699	$1,051,350	$772,672	73%
3	Alcoholic Beverage Regulation Administration	$5,486,429	$349,265	$174,633	$31,677	18%
4	Arts and Humanities, Commission on the	No AAL	No AAL	No Goal	$21,110	Cannot Determine
5	Asian and Pacific Islander Affairs, Office on	No AAL	No AAL	No Goal	$8,490	Cannot Determine
6	Attorney General, Office of the	No AAL	No AAL	No Goal	$130,503	Cannot Determine
7	Auditor, Office of the D.C.	$4,443,710	$114,379	$57,190	$21,188	37%
8	Cable Television, Office of	$8,476,858	$603,184	$301,592	$6,800	2%
9	Campaign Finance, Office of	$1,690,071	$136,114	$68,057	$3,376	5%
10	Chief Financial Officer, Office of the	No AAL	No AAL	No Goal	$632,581	Cannot Determine
11	Chief Medical Examiner, Office of the	$8,638,441	$342,246	$171,123	$55,144	32%
12	Chief Technology Officer, Office of the	No AAL	No AAL	No Goal	$3,880,676	Cannot Determine
13	Child and Family Services Agency	$194,160,875	$1,026,551	$513,275	$43,748	9%
14	City Administrator, Office of the	No AAL	No AAL	No Goal	$12,249	Cannot Determine
15	Community Affairs, Office of	$3,021,598	$655,082	$327,542	$39,313	12%
16	Consumer and Regulatory Affairs, Department of	$13,257,615	$105,576	$52,788	$9,356	18%
17	Contract Appeals Board	No AAL	No AAL	No Goal	$0	Cannot Determine
18	Contracting and Procurement, Office of	$12,569,353	$548,645	$274,322	$61,911	23%
19	Corrections, Department of	$139,305,525	$73,535,970	$36,767,985	$318,029	1%
20	Council of the District of Columbia	No AAL	No AAL	No Goal	$135,870	Cannot Determine
21	Disability Rights, Office of	$2,018,587	$564,842	$282,421	$68,008	24%
22	Disability Services, Department on	$62,006,918	$10,500	$5,250	$31,936	608%
23	Education, Office of the Deputy Mayor for	No AAL	No AAL	No Goal	Did Not Report	Cannot Determine
24	Education, Office of the State Superintendent	$111,990,077	$35,214,590	$17,607,295	$555,754	3%
25	Elections and Ethics, Board of	$5,192,507	$105,769	$52,884	$42,506	80%

[14] The Auditor included SBE expenditures from non-local funds only if DSLBD derived the agency's SBE goal from an appropriated budget that included non-local funds.

	Agency	Appropriated Budget in AAL	Expendable Budget in AAL	SBE Goal in AAL	SBE Expenditures[14]	% of SBE Expenditures Spent Towards SBE Goal
26	Employee Appeals, Office of	No AAL	No AAL	No Goal	Did Not Report	Cannot Determine
27	Employment Services, Department of	$57,215,205	$1,328,678	$664,339	$152,845	23%
28	Environment, District Department of the	No AAL	No AAL	No Goal	$114,343	Cannot Determine
29	Fire and Emergency Medical Services	$187,935,251	$7,738,430	$3,869,215	$791,019	20%
30	Health, Department of	No AAL	No AAL	No Goal	$588,144	Cannot Determine
31	Health Care Finance, Department of	No AAL	No AAL	No Goal	$909,900	Cannot Determine
32	Homeland Security and Emergency Management Agency	$3,276,521	$159,881	$79,941	$111,618	140%
33	Housing and Community Development, Department of	$10,019,922	$48,934	$24,467	$9,859	40%
34	Human Resources, D.C. Department of	$14,742,360	$2,965,457	$1,482,729	$43,484	3%
35	Human Rights, Office of	No AAL	No AAL	No Goal	$21,397	Cannot Determine
36	Human Services, Department of	No AAL	No AAL	No Goal	$113,452	Cannot Determine
37	Inspector General, Office of the	$17,541,332	$204,157	$102,079	$74,497	73%
38	Insurance, Securities, and Banking, Department of	$16,327,004	$505,889	$252,945	$29,898	12%
39	Latino Affairs, Office on	$3,820,649	$49,413	$24,706	$3,800	15%
40	Lottery and Charitable Games Control Board, D.C.	No AAL	No AAL	No Goal	$1,338,975	Cannot Determine
41	Mayor, Executive Office of the	$5,349,328	$533,265	$266,633	$11,467	4%
42	Mental Health, Department of	No AAL	No AAL	No Goal	$833,238	Cannot Determine
43	Metropolitan Police Department	No AAL	No AAL	No Goal	$932,612	Cannot Determine
44	Motion Picture and Television Development, Office of	$584,340	$15,010	$7,505	$2,072	28%
45	Motor Vehicles, Department of	$26,524,000	$1,077,987	$538,994	$63,839	12%
46	National Guard, D.C.	$3,365,109	$89,336	$44,668	$4,579	10%
47	Parks and Recreation, Department of	$39,624,896	$5,105,174	$2,552,587	$225,254	9%
48	Partnership and Grant Services, Office of	No OEC included with AAL	No OEC included with AAL	No Goal	$0	Cannot Determine
49	People's Counsel, Office of the	No AAL	No AAL	No Goal	Did Not Report	Cannot Determine
50	Planning and Economic Development, Office of the Deputy Mayor for	No AAL	No AAL	No Goal	$31,442	Cannot Determine
51	Planning, Office of	$8,070,622	$225,220	$112,610	$102,641	91%
52	Police Complaints, Office of	$2,618,345	$274,963	$137,482	$9,944	7%
53	Public Charter Schools, District of Columbia	No AAL	No AAL	No Goal	Did Not Report	Cannot Determine
54	Public Education Facilities Modernization, Office of	$32,199,258	$6,491,367	$3,245,684	$861,945	27%
55	Public Employee Relations Board	$1,004,000	$10,314	$5,157	$5,301	103%

	Agency	Appropriated Budget in AAL	Expendable Budget in AAL	SBE Goal in AAL	SBE Expenditures[14]	% of SBE Expenditures Spent Towards SBE Goal
56	Public Library, D.C.	$39,903,546	$2,787,334	$1,393,667	$497,689	36%
57	Public Schools, District of Columbia	$779,574,349	$59,508,167	$29,754,084	$5,524,291	19%
58	Public Service Commission	$9,957,532	$448,886	$224,443	$118,640	53%
59	Public Works, Department of	$117,584,731	$117,584,731	$58,792,366	$1,075,128	2%
60	Real Estate Services, Department of	$81,500,000	$38,795,082	$19,397,541	$5,667,903	29%
61	Real Property Assessments and Appeals, Board of	$698,316	$44,000	$22,000	$7,197	33%
62	Retirement Board, District of Columbia	No AAL	No AAL	No Goal	Did Not Report	Cannot Determine
63	Risk Management, Office of	No AAL	No AAL	No Goal	$248	Cannot Determine
64	Secretary, Office of the	No OEC included with AAL	No OEC included with AAL	No Goal	$2,551	Cannot Determine
65	Serve DC	$433,600	$61,818	$30,909	$0	0%
66	Small and Local Business Development, Department of	$5,827,918	$145,149	$72,575	$1,457	2%
67	Taxicab Commission, D.C.	$1,212,805	$5,000	$2,500	$0	0%
68	Tenant Advocate, Office of the	$560,068	$5,150	$2,575	$0	0%
69	Transportation, District Department of	$107,679,056	$3,312,068	$1,656,034	$2,156,771	130%
70	Unified Communications, Office of	No OEC included with AAL	No OEC included with AAL	No Goal	$222,038	Cannot Determine
71	University of the District of Columbia	$109,464,126	$5,984,002	$2,992,001	$4,424,666	148%
72	Veterans Affairs, Office of	$462,746	$100,857	$50,428	$14,011	28%
73	Washington Convention and Sports Authority	$92,595,044	$14,636,404	$7,318,202	$732,244	10%
74	Youth Rehabilitation Services, Department of	$85,016,447	$2,786,860	$1,393,430	$398,618	29%
75	Zoning, Office of	$3,135,902	$716,109	$358,055	$81,474	23%
	TOTAL				**$35,231,970**	

Total District Agency Expenditures (All Funding Sources) on Local Business Enterprises (LBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principal Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), and Longtime Resident Businesses (LRBs)[15] through Quarter 2 of FY 2010[16]

	Agency	Expenditures (All Funding Sources)					
		LBE	SBE	DBE	DZE	ROB	LRB
1	Administrative Hearings, Office of	$37,508	$37,508	$1,850	$37,508	$1,850	$0
2	Aging, Office on	$1,986,041	$1,986,041	$0	$1,950,552	$32,089	$3,400
3	Alcoholic Beverage Regulation Administration	$31,677	$31,677	$7,474	$25,892	$24,892	$23,203
4	Arts and Humanities, Commission on the	$21,436	$21,436	$9,490	$12,743	$10,021	$0
5	Asian and Pacific Islander Affairs, Office on	$8,490	$8,490	$5,491	$988	$988	$2,200
6	Attorney General, Office of the	$370,918	$370,918	$30,246	$29,529	$29,529	$42,949
7	Auditor, Office of the D.C.	$21,188	$21,188	$11,565	$14,736	$5,998	$5,417
8	Cable Television, Office of	$6,800	$6,800	$0	$0	$0	$0
9	Campaign Finance, Office of	$3,376	$3,376	$3,376	$3,376	$0	$0
10	Chief Financial Officer, Office of the	$1,281,300	$1,207,839	$444,574	$490,552	$582,725	$155,117
11	Chief Medical Examiner, Office of the	$55,144	$55,144	$49,746	$43,004	$0	$35,158
12	Chief Technology Officer, Office of the	$18,370,060	$12,023,854	$17,203,457	$2,350,949	$1,163,830	$710,607
13	Child and Family Services Agency	$80,830	$80,830	$42,390	$66,385	$18,741	$11,023
14	City Administrator, Office of the	$12,249	$12,249	$0	$3,499	$1,990	$4,049
15	Community Affairs, Office of	$39,313	$39,313	$20,248	$19,065	$0	$20,248
16	Consumer and Regulatory Affairs, Department of	$841,199	$841,199	$368,836	$604,398	$280,102	$1,935
17	Contract Appeals Board	$0	$0	$0	$0	$0	$0
18	Contracting and Procurement, Office of	$61,911	$61,911	$43,916	$0	$0	$17,995
19	Corrections, Department of	$385,212	$318,029	$209,742	$192,687	$60,483	$3,679
20	Council of the District of Columbia	$207,870	$207,870	$110,058	$104,697	$8,025	$36,132
21	Disability Rights, Office of	$68,008	$68,008	$0	$0	$68,008	$0
22	Disability Services, Department on	$401,313	$401,313	$139,767	$171,359	$132,335	$30,924

[15] This table does not include the newly established categories of Veteran-Owned Business Enterprises (VOBs) and Local Manufacturing Business Enterprises (LMBs) because there were not yet any businesses certified in these categories as of March 31, 2010.

[16] The Small, Local and Disadvantaged Business Enterprise Development and Assistance Act of 2005, as amended, establishes the 50% expenditure goal only for SBEs. There is no established expenditure goal for the other categories of certified businesses.

	Agency	Expenditures (All Funding Sources)					
		LBE	SBE	DBE	DZE	ROB	LRB
23	Education, Office of the Deputy Mayor for	Did Not Report	Did Not Report	Did Not Report	Did Not Report	Did Not Report	Did Not Report
24	Education, Office of the State Superintendent	$1,468,549	$841,126	$673,000	$534,697	$263,972	$614,119
25	Elections and Ethics, Board of	$42,974	$42,506	$16,745	$36,212	$13,396	$468
26	Employee Appeals, Office of	Did Not Report	Did Not Report	Did Not Report	Did Not Report	Did Not Report	Did Not Report
27	Employment Services, Department of	$451,787	$249,039	$201,547	$153,420	$320,968	$24,389
28	Environment, District Department of the	$207,109	$201,079	$13,060	$169,956	$123,977	$0
29	Fire and Emergency Medical Services	$3,179,438	$2,640,160	$2,291,596	$1,808,812	$396,395	$348,215
30	Health, Department of	$1,565,942	$1,565,942	$38,802	$1,499,296	$22,580	$802,865
31	Health Care Finance, Department of	$2,833,372	$2,575,736	$1,456,060	$1,129,253	$457,237	$448,488
32	Homeland Security and Emergency Management Agency	$226,150	$226,150	$101,220	$104,288	$223,069	$1,065
33	Housing and Community Development, Department of	$1,466,810	$1,466,810	$1,436,071	$1,415,307	$1,412,331	$18,667
34	Human Resources, D.C. Department of	$43,484	$43,484	$38,201	$12,432	$11,655	$4,356
35	Human Rights, Office of	$26,661	$26,661	$15,483	$20,906	$13,473	$9,061
36	Human Services, Department of	$380,111	$380,111	$335,410	$305,351	$234,888	$31,787
37	Inspector General, Office of the	$90,249	$73,794	$49,939	$43,932	$36,511	$6,891
38	Insurance, Securities, and Banking, Department of	$50,033	$29,898	$21,849	$9,248	$18,656	$2,025
39	Latino Affairs, Office on	$3,800	$3,800	$3,800	$0	$3,800	$0
40	Lottery and Charitable Games Control Board, D.C.	$1,720,540	$1,338,975	$365,991	$260,921	$10,554	$1,097,110
41	Mayor, Executive Office of the	$11,467	$11,467	$4,535	$1,784	$1,277	$6,931
42	Mental Health, Department of	$8,116,304	$2,150,159	$522,330	$7,366,935	$710,884	$753,269
43	Metropolitan Police Department	$1,123,030	$1,123,030	$458,044	$756,244	$305,463	$67,810
44	Motion Picture and Television Development, Office of	$2,072	$2,072	$0	$1,322	$0	$910
45	Motor Vehicles, Department of	$110,001	$110,001	$59,778	$43,284	$23,744	$31,377
46	National Guard, D.C.	$4,579	$4,579	$4,579	$0	$4,366	$0
47	Parks and Recreation, Department of	$1,836,695	$1,751,206	$806,603	$1,318,781	$1,040,749	$52,989
48	Partnership and Grant Services, Office of	$1,253	$1,253	$0	$1,253	$0	$0
49	People's Counsel, Office of the	Did Not Report	Did Not Report	Did Not Report	Did Not Report	Did Not Report	Did Not Report
50	Planning and Economic Development, Office of the Deputy Mayor for	$4,244,402	$1,738,046	$1,637,648	$1,487,246	$1,500,361	$2,344,226
51	Planning, Office of	$214,014	$214,014	$120,969	$67,711	$41,302	$27,479
52	Police Complaints, Office of	$9,944	$9,944	$3,678	$9,944	$3,678	$3,360
53	Public Charter Schools, District of Columbia	Did Not Report	Did Not Report	Did Not Report	Did Not Report	Did Not Report	Did Not Report
54	Public Education Facilities Modernization, Office of	$12,498,135	$12,067,080	$4,887,901	$6,874,919	$6,192,149	$5,473,921

	Agency	Expenditures (All Funding Sources)					
		LBE	SBE	DBE	DZE	ROB	LRB
55	Public Employee Relations Board	$5,301	$5,301	$0	$482	$482	$4,819
56	Public Library, D.C.	$5,811,482	$4,568,989	$1,200,835	$1,911,507	$1,153,531	$1,635,920
57	Public Schools, District of Columbia	$6,043,164	$6,040,610	$1,910,372	$3,474,511	$3,043,701	$710,505
58	Public Service Commission	$140,068	$118,640	$118,640	$80,859	$0	$0
59	Public Works, Department of	$4,043,558	$1,732,476	$3,615,880	$3,616,600	$1,128,838	$57,808
60	Real Estate Services, Department of	$15,733,573	$13,504,934	$10,388,196	$10,607,010	$6,319,116	$3,102,690
61	Real Property Assessments and Appeals, Board of	$7,197	$7,197	$499	$4,590	$836	$2,108
62	Retirement Board, District of Columbia	Did Not Report	Did Not Report	Did Not Report	Did Not Report	Did Not Report	Did Not Report
63	Risk Management, Office of	$248	$248	$0	$0	$0	$248
64	Secretary, Office of the	$2,551	$2,551	$1,000	$1,101	$267	$1,551
65	Serve DC	$3,285	$3,285	$3,285	$0	$0	$3,285
66	Small and Local Business Development, Department of	$1,457	$1,457	$0	$1,457	$1,457	$975
67	Taxicab Commission, D.C.	$29,238	$29,238	$26,358	$2,879	$29,238	$765
68	Tenant Advocate, Office of the	$0	$0	$0	$0	$0	$0
69	Transportation, District Department of	$43,664,148	$4,211,446	$3,625,566	$16,220,476	$2,195,718	$41,461,933
70	Unified Communications, Office of	$463,203	$462,723	$440,554	$371,990	$223,500	$480
71	University of the District of Columbia	$5,154,441	$5,015,667	$4,392,250	$3,977,059	$101,105	$165,502
72	Veterans Affairs, Office of	$14,011	$14,011	$10,491	$1,726	$12,286	$0
73	Washington Convention and Sports Authority	$10,715,566	$10,715,566	$8,090,893	$10,434,267	$7,900,199	$194,242
74	Youth Rehabilitation Services, Department of	$434,992	$410,774	$266,149	$278,283	$112,757	$61,112
75	Zoning, Office of	$87,318	$87,318	$27,369	$76,286	$60,320	$37,734
	TOTAL	**$158,575,300**	**$95,625,297**	**$68,385,403**	**$82,616,460**	**$38,092,392**	**$60,717,241**