



Office of the District of Columbia Auditor

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District of Columbia Agencies' Compliance with Small Business Enterprise Expenditure Goals through the 3rd Quarter of Fiscal Year 2012

August 20, 2012

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Office of the District of Columbia Auditor

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The Honorable Phil Mendelson, Chairman
Council of the District of Columbia
1350 Pennsylvania Avenue, NW, Suite 504
Washington, D.C. 20004

Letter Report: District of Columbia Agencies' Compliance with Small Business Enterprise Expenditure Goals through the 3rd Quarter of Fiscal Year 2012

Dear Chairman Mendelson:

The Office of the District of Columbia Auditor (ODCA) examined and assessed District of Columbia government agencies' compliance with Small Business Enterprise (SBE) expenditure goals through the 3rd quarter of fiscal year (FY) 2012 (October 1, 2011 through June 30, 2012).¹

OBJECTIVES, SCOPE, AND METHODOLOGY

The objectives of this examination were to:

1. determine District agencies' SBE expenditures through the 3rd quarter of FY 2012; and
2. determine District agencies' compliance with SBE goal establishment and expenditure reporting requirements.²

The examination covered the period October 1, 2011 through June 30, 2012. We did not conduct the examination as an audit as defined by the Government Accountability Office's Government Auditing Standards.

In conducting this examination, we reviewed 80 agencies, offices or programs that the Department of Small and Local Business Development (DSLBD) determined were required to (1) procure 50% of their expendable budget for goods and services from SBEs each fiscal year and (2) submit quarterly SBE expenditure reports, as well as an annual report, to DSLBD and the Office of the D.C. Auditor.³ For each agency

¹ See D.C. Official Code § 2-218.41.

² See D.C. Official Code §2-218.53(a) and (b).

³ DSLBD QuickBase Data Tracking System, as of August 2, 2012.

we reviewed appropriated budgets, expendable budgets, and reported SBE goals. We also verified vendors in DSLBD's CBE Online System, reviewed DSLBD's QuickBase data tracking system, and interviewed key staff from DSLBD and several District agency liaisons responsible for SBE compliance reporting.

For 74 of the 80 agencies, we reviewed their SBE expenditures using the District's System of Accounting and Reporting (SOAR) and the Office of Contracting and Procurement's Purchase Card (P-Card) data. Six of the 80 agencies do not record expenditures in SOAR, using financial systems independent of SOAR to record their expenditures. As a result, no quarterly expenditures could be retrieved from SOAR for these six agencies. Therefore, we were not able to independently verify the submitted expenditure data for the six agencies. The six agencies are listed below:

1. Events DC
2. Housing Finance Agency
3. D.C. Public Charter School Board
4. D.C. Public Charter Schools
5. D.C. Retirement Board
6. The University of the District of Columbia

BACKGROUND

The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005, as amended, (the Act) states that “it shall be the goal and responsibility of the Department [of Small and Local Business Development] to stimulate and foster the economic growth and development of businesses based in and serving the District of Columbia, particularly certified business enterprises, with the intended goals of:

- (A) Stimulating and expanding the local tax base of the District of Columbia;
- (B) Increasing the number of viable employment opportunities for District residents; and
- (C) Extending economic prosperity to local business owners, their employees, and the communities they serve.”

The Act tasks DSLBD with providing “advocacy, business development programs, and technical assistance offerings” that will “maximize opportunities for certified business enterprises to participate in:

- (A) The District's contracting and procurement process;
- (B) The District's economic development activities; and
- (C) Federal and private sector business opportunities that occur in the District of Columbia.”⁴

The Act also establishes the following categories of businesses: Local Business Enterprises (LBEs), Small Business Enterprises, Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs).

The Act requires each District agency to contract 50% of the dollar volume of its goods and services to SBEs each fiscal year. Additionally, it requires agencies to submit quarterly SBE expenditure reports to DSLBD and the Office of the D.C. Auditor. The Act contains no expenditure requirements for LBEs, DBEs, DZEs, ROBs, LRBs, LMEs, or VOBs.

⁴ See D.C. Official Code §2-218.13(a).

To establish FY 2012 SBE goals, DSLBD required each agency to access QuickBase, DSLBD's electronic database containing all budget, SBE goal, and agency-reported SBE expenditure data. DSLBD asked each agency to document, as an exclusion in QuickBase, the items in their appropriated budget that the agency could not reasonably be expected to obtain from SBEs. Upon DSLBD's approval, the QuickBase system subtracted the exclusions from the agency's appropriated budget to determine the agency's expendable budget. To determine the SBE goal, the QuickBase system multiplied the expendable budget by 50%.

RESULTS OF THE AUDITOR'S EXAMINATION

According to DSLBD's QuickBase database as of August 2, 2012, the 80 agencies we assessed had a total FY 2012 reported appropriated budget of \$8,539,870,163 and a total reported expendable budget for goods and services of \$1,873,976,369.⁵ Based on the expendable budget of \$1,873,976,369 the FY 2012 SBE expenditure goal for the 80 agencies was \$936,988,185.

The 80 agencies' FY 2012 reported appropriated and expendable budgets and reported SBE goals are listed in Appendix I.

3rd QUARTER RESULTS

We found that the 80 agencies, as a whole, spent a total of \$74,805,761 with SBEs through the 3rd quarter of FY 2012 or 8% towards the \$936,988,185 total FY 2012 SBE expenditure goal for all of the 80 agencies. The FY 2012 1st, 2nd, and 3rd quarter SBE expenditures for each of the 80 agencies are listed in Appendix I.

Total expenditures of the 80 agencies on Local Business Enterprises, Small Business Enterprises, Disadvantaged Business Enterprises, Local Business Enterprises with Principle Offices Located in an Enterprise Zone, Resident-Owned Businesses, Longtime Resident Businesses, Local Manufacturing Enterprises, and Veteran Owned Businesses through the 3rd quarter of FY 2012 are presented in Appendix II.

According to DSLBD's QuickBase database, as of August 2, 2012, 10 of the 80 agencies had not properly documented exclusions to their appropriated budget that they could not reasonably be expected to obtain from SBEs. Therefore, DSLBD calculated the SBE goals of the following 10 agencies by multiplying their entire appropriated budget by 50%:

1. District of Columbia Public Charter School Board
2. Office of Employee Appeals
3. Forensic Laboratory Technician Training Program
4. Deputy Mayor for Health and Human Services
5. Housing Finance Agency
6. D.C. Public Charter Schools
7. Public Employee Relations Board
8. Board of Real Property Assessments and Appeals
9. D.C. Retirement Board
10. Special Education Transportation

⁵ Eleven agencies amended their goals during the 3rd quarter of FY 2012. This report reflects the changes.

According to DSLBD's QuickBase database, as of August 2, 2012, the following 15 agencies did not submit the required quarterly expenditure report for the 3rd quarter of FY 2012:

1. Child and Family Services Agency
2. Office of Disability Rights
3. District of Columbia Public Charter School Board
4. Deputy Mayor for Education
5. Office of Employee Appeals
6. Forensic Laboratory Technician Training Program
7. Deputy Mayor for Health and Human Services
8. Housing Finance Agency
9. Office of Human Rights
10. D.C. Public Charter Schools
11. Public Employee Relations Board
12. D.C. Public Schools
13. Board of Real Property Assessments and Appeals
14. D.C. Retirement Board
15. Special Education Transportation

All agencies that failed to submit the required expenditure reports for the 1st, 2nd, and 3rd quarters of FY 2012 are listed in Appendix III.

We further found that 4 of the 15 agencies that did not submit the required quarterly expenditure report for the 3rd quarter of FY 2012, the Housing Finance Agency, the D.C. Public Charter School Board, D.C. Public Charter Schools, and the D.C. Retirement Board, do not report expenditures through SOAR, leaving the expenditures toward their combined SBE expenditure goal of \$266,332,500 unexamined by either the Auditor or DSLBD. As a result, there is an incomplete presentation of the District's overall SBE goal attainment.

Of the 80 agencies, 14 agencies exceeded their reported annual FY 2012 SBE expenditure goals by the end of the 3rd quarter of FY 2012. Fourteen agencies exceeded their SBE goals through the end of the 3rd quarter by excessively large amounts, raising questions about the validity of the reported expendable budgets of the agencies. The 14 agencies that exceeded their FY 2012 expenditure goal by the end of the 3rd quarter are presented in Table I.

Table I
Agencies that Exceeded Their FY 2012 SBE Expenditure Goal by the 3rd Quarter

	Agency	1 st , 2 nd , and 3 rd Quarter SBE Expenditures	Reported SBE Goal	% of SBE Goal Achieved
1	Alcohol Beverage Regulation Administration	\$174,906	\$141,965	123%
2	City Administrator, Office of the	\$16,399	\$11,250	146%
3	Contracting and Procurement, Office of ⁶	\$122,712	\$60,385	203%
4	D.C. Taxicab Commission	\$63,384	\$61,596	103%
5	Disability Compensation Fund ⁷	\$99,979	\$158	63479%
6	Disability Services, Department of ⁸	\$523,313	\$171,308	305%
7	Elections and Ethics, Board of ⁹	\$369,004	\$16,809	2195%
8	Employment Services, Department of	\$234,203	\$183,132	128%
9	Events DC ¹⁰	\$9,752,529	\$7,452,558	131%
10	Homeland Security and Emergency Management Agency ¹¹	\$2,544,406	\$31,774	8008%
11	Inspector General, Office of the ¹²	\$99,695	\$29,927	333%
12	Motion Picture and Television Development, Office of	\$24,936	\$18,102	138%
13	Motor Vehicles, Department of ¹³	\$4,181,487	\$995,231	420%
14	Risk Management, D.C. Office of ¹⁴	\$41,280	\$8,319	496%
	Total	\$18,248,233	\$9,182,513	

⁶ This agency exceeded its SBE expenditure goal in the 2nd quarter of FY 2012.

⁷ This agency exceeded its SBE expenditure goal in the 1st quarter of FY 2012.

⁸ This agency exceeded its SBE expenditure goal in the 2nd quarter of FY 2012.

⁹ This agency exceeded its SBE expenditure goal in the 1st quarter of FY 2012.

¹⁰ This agency exceeded its SBE expenditure goal in the 2nd quarter of FY 2012.

¹¹ This agency exceeded its SBE expenditure goal in the 1st quarter of FY 2012.

¹² This agency exceeded its SBE expenditure goal in the 2nd quarter of FY 2012.

¹³ Ibid.

¹⁴ Ibid.

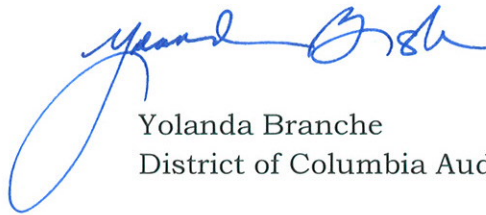
RECOMMENDATIONS

1. Within 30 days of the release of this report, DSLBD should notify (1) the Chairman of the Council Committee responsible for providing oversight of the non-compliant agency, (2) the Chairman of the Council Committee on Small and Local Business Development, and (3) the Mayor that the 15 agencies listed in this report failed to submit the required expenditure report for the 3rd quarter of FY 2012.
2. Within 30 days of the release of this report, DSLBD should review the SBE goals, procurement plans, and purchases of the 14 agencies that exceeded their FY 2012 goals by excessively large amounts by the end of the 3rd quarter of FY 2012.
3. Within 30 days of the release of this report, DSLBD should review the status of the 4 agencies that did not submit reports and do not record expenditures in SOAR to determine the best method for accurately capturing their expenditures.

CONCLUSION

Based on our examination, we found that 80 agencies collectively spent \$74,805,761 with SBEs through the 3rd quarter of FY 2012 or 8% of the cumulative reported SBE goal of \$936,988,185. Of the 80 agencies, 14 agencies exceeded their FY 2012 expenditure goal by the end of the 3rd quarter, spending a combined total of \$18,248,233.

Sincerely,

A handwritten signature in blue ink, appearing to read "Yolanda Branche", with a large, stylized loop on the left side.

Yolanda Branche
District of Columbia Auditor

APPENDIX I

FY 2012 1st, 2nd, and 3rd Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	Total 1 st , 2 nd & 3 rd Quarter FY 2012 SBE Expenditures ¹⁵	% of SBE Goal Achieved
1	Administrative Hearings, Office of	\$8,865,000	\$558,592	\$279,296	\$68,855	\$67,139	\$96,022	\$232,016	83%
2	Advisory Neighborhood Commissions, Office of	\$889,414	\$3,962	\$1,981	\$817	\$320	\$0	\$1,137	57%
3	Aging, Office on	\$25,445,000	\$6,315,871	\$3,157,935	\$178,076	\$752,252	\$820,989	\$1,751,317	55%
4	Alcohol Beverage Regulation Administration	\$5,964,010	\$283,930	\$141,965	\$15,815	\$59,722	\$99,369	\$174,906	123%
5	Arts and Humanities, Commission on	\$4,798,246	\$110,794	\$55,397	\$3,100	\$5,460	\$11,571	\$20,132	36%
6	Asian and Pacific Islander Affairs, Office on	\$768,000	\$8,615	\$4,308	\$0	\$1,312	\$1,235	\$2,546	59%
7	Attorney General for the District of Columbia, Office of the	\$94,531,748	\$2,629,378	\$1,314,689	\$179,354	\$241,011	\$336,794	\$757,159	58%
8	Auditor, Office of the D.C.	\$4,261,233	\$42,218	\$21,109	\$430	\$4,162	\$1,445	\$6,037	29%
9	Cable Television, Office of	\$8,524,970	\$324,862	\$162,431	\$3,215	\$28,530	\$6,253	\$37,998	23%
10	Campaign Finance, Office of	\$1,407,024	\$71,050	\$35,525	\$0	\$0	\$4,644	\$4,644	13%
11	Chief Financial Officer, Office of the	\$127,365,574	\$6,705,240	\$3,352,620	\$342,777	\$1,445,680	\$555,888	\$2,344,344	70%

¹⁵ Total 1st, 2nd, and 3rd quarter FY 2012 SBE expenditures only reflect expenditures made from October 1, 2011 to June 30, 2012 using appropriation year 2012 funds.

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	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	Total 1 st , 2 nd & 3 rd Quarter FY 2012 SBE Expenditures ¹⁵	% of SBE Goal Achieved
12	Chief Medical Examiner, Office of the	\$7,659,000	\$525,058	\$262,529	\$48,903	\$20,062	\$79,583	\$148,548	57%
13	Chief Technology Officer, Office of the	\$70,770,173	\$31,802,115	\$15,901,058	\$399,228	\$1,017,170	\$549,934	\$1,966,332	12%
14	Child and Family Services Agency	\$265,296,652	\$4,799,059	\$2,399,529	\$145,287	\$195,570	\$141,736	\$482,592	20%
15	City Administrator, Office of the	\$3,283,292	\$22,500	\$11,250	\$2,686	\$4,748	\$8,965	\$16,399	146%
16	Consumer & Regulatory Affairs, Department of	\$26,030,884	\$822,301	\$411,151	\$9,424	\$43,865	\$124,783	\$178,072	43%
17	Contract Appeals Board	\$796,107	\$5,601	\$2,801	\$1,763	\$882	\$80	\$2,725	97%
18	Contracting and Procurement, Office of	\$8,697,582	\$120,770	\$60,385	\$13,950	\$64,149	\$44,613	\$122,712	203%
19	Corrections, Department of	\$136,287,501	\$6,704,823	\$3,352,412	\$1,295,042	\$441,302	\$540,332	\$2,276,676	68%
20	D.C. Taxicab Commission	\$2,339,000	\$123,192	\$61,596	\$13,440	\$30,837	\$19,107	\$63,384	103%
21	Disability Compensation Fund	\$19,658,000	\$315	\$158	\$40,745	\$58,379	\$855	\$99,979	63479%
22	Disability Rights, Office of	\$1,616,156	\$258,936	\$129,468	\$605	\$489	\$1,536	\$2,631	2%
23	Disability Services, Department on	\$91,951,000	\$342,615	\$171,308	\$167,343	\$182,624	\$173,346	\$523,313	305%

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24	District of Columbia Public Charter School Board ¹⁶	\$3,490,000	\$3,490,000	\$1,745,000	N/A	N/A	N/A	N/A	N/A
25	Education, Deputy Mayor for	\$1,912,000	\$437,016	\$218,508	\$104	\$2,243	\$1,314	\$3,661	2%
26	Elections and Ethics, Board of	\$4,417,635	\$33,619	\$16,809	\$78,131	\$254,425	\$36,447	\$369,004	2195%
27	Employee Appeals, Office of ¹⁷	\$1,359,735	\$1,359,735	\$679,868	\$4,743	\$2,660	\$11,579	\$18,982	3%
28	Employment Services, Department of	\$126,071,129	\$366,263	\$183,132	\$7,987	\$100,937	\$125,278	\$234,203	128%
29	Environment, District Department of the	\$85,290,000	\$17,640,406	\$8,820,203	\$177,743	\$203,351	\$166,010	\$547,104	6%
30	Events DC ¹⁸	\$106,528,590	\$14,905,116	\$7,452,558	\$3,767,289	\$3,891,973	\$2,093,267	\$9,752,529	131%
31	Finance and Resource Management, Office of	\$35,980,374	\$114,623	\$57,311	\$760	\$2,728	\$3,217	\$6,705	12%
32	Fire and Emergency Medical Services Department	\$195,422,438	\$4,748,777	\$2,374,389	\$330,530	\$578,881	\$482,002	\$1,391,413	59%
33	Forensic Laboratory Technician Training Program ¹⁹	\$1,550,554	\$1,550,554	\$775,277	\$0	\$0	\$0	\$0	0%

¹⁶ As of August 2, 2012, the agency had not properly documented exclusions in DSLBD's QuickBase database. Therefore, DSLBD calculated the agency's SBE goal by multiplying the agency's entire appropriated budget by 50%.

¹⁷ Ibid.

¹⁸ Events DC has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for Events DC.

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34	General Services, Department of	\$353,885,345	\$147,774,375	\$73,887,188	\$693,283	\$5,958,391	\$6,682,550	\$13,334,224	18%
35	Health and Human Services, Deputy Mayor for ²⁰	\$698,000	\$698,000	\$349,000	\$0	\$0	\$0	\$0	0%
36	Health Care Finance, Department of	\$2,207,645,000	\$387,949,544	\$193,974,772	\$71,756	\$161,217	\$162,517	\$395,491	0%
37	Health, Department of	\$259,211,000	\$28,623,861	\$14,311,931	\$655,207	\$836,578	\$845,897	\$2,337,683	16%
38	Homeland Security and Emergency Management Agency	\$137,447,730	\$63,548	\$31,774	\$462,199	\$976,094	\$1,106,113	\$2,544,406	8008%
39	Housing Finance Agency ²¹	\$8,884,000	\$8,884,000	\$4,442,000	N/A	N/A	N/A	N/A	N/A
40	Housing and Community Development, Department of	\$144,318,583	\$11,872,604	\$5,936,302	\$103,754	\$958,392	\$61,684	\$1,123,830	19%
41	Human Resources, D.C. Department of	\$12,244,226	\$1,441,763	\$720,882	\$29,571	\$244,247	\$365,357	\$639,175	89%
42	Human Rights, Office of	\$2,379,000	\$131,756	\$65,878	\$198	\$11,503	\$6,735	\$18,436	28%
43	Human Services, Department of	\$343,278,000	\$268,278,000	\$134,139,000	\$296,357	\$261,513	\$270,624	\$828,494	1%

¹⁹ As of August 2, 2012, the agency had not properly documented exclusions in DSLBD's QuickBase database. Therefore, DSLBD calculated the agency's SBE goal by multiplying the agency's entire appropriated budget by 50%.

²⁰ Ibid.

²¹ Ibid.

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44	Inspector General, Office of the	\$15,393,352	\$59,854	\$29,927	\$16,646	\$60,154	\$22,896	\$99,695	333%
45	Insurance, Securities and Banking, Department of	\$17,042,318	\$1,233,939	\$616,970	\$11,118	\$23,704	\$5,065	\$39,888	6%
46	Latino Affairs, Office on	\$2,866,000	\$148,574	\$74,287	\$950	\$6,988	\$10,823	\$18,761	25%
47	Lottery & Charitable Games Control Board, D.C.	\$258,000,000	\$10,103,678	\$5,051,839	\$1,358,789	\$2,249,755	\$1,160,408	\$4,768,952	94%
48	Mayor, Executive Office of the	\$12,788,389	\$542,184	\$271,092	\$11,115	\$26,688	\$27,508	\$65,310	24%
49	Medical Liability Captive Insurance Agency	\$3,181,640	\$10,000	\$5,000	\$0	\$690	\$0	\$690	14%
50	Mental Health, Department of	\$177,651,000	\$21,476,368	\$10,738,184	\$165,134	\$378,262	\$385,489	\$928,885	9%
51	Metropolitan Police Department	\$484,133,944	\$11,405,070	\$5,702,535	\$541,650	\$1,104,246	\$1,028,247	\$2,674,143	47%
52	Motion Picture and Television Development, Office of	\$726,078	\$36,204	\$18,102	\$57	\$18	\$24,861	\$24,936	138%
53	Motor Vehicles, Department of	\$38,295,000	\$1,990,462	\$995,231	\$642,299	\$1,656,787	\$1,882,401	\$4,181,487	420%
54	National Guard, D.C.	\$8,264,444	\$91,551	\$45,776	\$5,169	\$6,261	\$101	\$11,531	25%
55	Parks and Recreation, Department of	\$35,802,000	\$1,714,308	\$857,154	\$22,429	\$70,738	\$245,052	\$338,219	39%

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56	People's Counsel, Office of the	\$5,447,184	\$570,009	\$285,005	\$56,214	\$41,293	\$45,186	\$142,692	50%
57	Planning and Economic Development, Office of the Deputy Mayor for	\$16,218,505	\$2,061,100	\$1,030,550	\$115,376	\$322,049	\$65,238	\$502,664	49%
58	Planning, Office of	\$24,725,906	\$326,797	\$163,398	\$17,824	\$33,963	\$36,516	\$88,303	54%
59	Police Complaints, Office of	\$2,051,138	\$45,122	\$22,561	\$3,034	\$9,566	\$5,583	\$18,183	81%
60	Public Charter Schools, D.C. ²²	\$489,953,000	\$489,953,000	\$244,976,500	N/A	N/A	N/A	N/A	N/A
61	Public Employee Relations Board ²³	\$950,866	\$950,866	\$475,433	\$1,500	\$4,674	\$2,292	\$8,466	2%
62	Public Library, District of Columbia	\$36,518,000	\$4,079,215	\$2,039,608	\$307,718	\$756,287	\$633,976	\$1,697,980	83%
63	Public Safety and Justice, Deputy Mayor for	\$22,715,649	\$18,884,351	\$9,442,176	\$3,518	\$2,711	\$5,375	\$11,604	0%
64	Public Schools, D.C.	\$826,943,000	\$154,438,301	\$77,219,151	\$1,498,075	\$1,735,185	\$2,819,791	\$6,053,052	8%
65	Public Service Commission	\$10,375,515	\$458,667	\$229,334	\$2,249	\$42,740	\$75,695	\$120,683	53%
66	Public Works, Department of	\$125,807,000	\$10,346,844	\$5,173,422	\$204,404	\$841,407	\$391,027	\$1,436,838	28%

²² Ibid.

²³ Ibid.

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67	Real Property Assessments and Appeals, Board of ²⁴	\$1,631,000	\$1,631,000	\$815,500	\$7,953	\$6,517	\$1,069	\$15,539	2%
68	Retirement Board, D.C. ²⁵	\$30,338,000	\$30,338,000	\$15,169,000	N/A	N/A	N/A	N/A	N/A
69	Risk Management, D.C. Office of	\$2,727,602	\$16,637	\$8,319	\$1,427	\$29,803	\$10,050	\$41,280	496%
70	Secretary, Office of the	\$2,905,017	\$1,998,017	\$999,009	\$6,263	\$17,808	\$68,297	\$92,369	9%
71	Small and Local Business Development, Department of	\$6,006,708	\$298,730	\$149,365	\$3,044	\$5,084	\$5,524	\$13,653	9%
72	Special Education Transportation ²⁶	\$91,260,000	\$91,260,000	\$45,630,000	\$18,045	\$226,281	\$190,378	\$434,704	1%
73	State Superintendent of Education, Office of the	\$399,490,000	\$29,316,351	\$14,658,176	\$163,184	\$357,663	\$481,837	\$1,002,684	7%
74	Tenant Advocate, Office of the	\$1,923,771	\$256,723	\$128,362	\$2,956	\$2,985	\$9,817	\$15,759	12%
75	Transportation, Department of	\$128,121,000	\$3,386,657	\$1,693,329	\$95,187	\$237,520	\$248,778	\$581,485	34%
76	Unified Communications, Office of	\$40,090,000	\$10,570,645	\$5,285,322	\$39,462	\$62,365	\$60,132	\$161,960	3%

²⁴ Ibid.

²⁵ Ibid.

²⁶ Ibid.

APPENDIX I

FY 2012 1st, 2nd, and 3rd Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	Total 1 st , 2 nd & 3 rd Quarter FY 2012 SBE Expenditures ¹⁵	% of SBE Goal Achieved
77	University of the District of Columbia ²⁷	\$160,468,655	\$7,652,636	\$3,826,318	\$278,673	\$2,812,819	\$40,559	\$3,132,051	82%
78	Veterans' Affairs, Office of	\$373,000	\$27,077	\$13,539	\$0	\$234	\$0	\$234	2%
79	Youth Rehabilitation Services, Department of	\$106,898,990	\$2,965,159	\$1,482,580	\$274,645	\$421,734	\$457,161	\$1,153,540	78%
80	Zoning, Office of	\$2,567,588	\$386,915	\$193,458	\$22,198	\$75,641	\$68,763	\$166,602	86%
	TOTAL	\$8,539,870,163	\$1,873,976,369	\$936,988,185	\$15,508,774	\$32,741,420	\$26,555,567	\$74,805,761²⁸	

SOURCE: QuickBase, SOAR, P-Card, and CBE Online data as of August 2, 2012. All numbers are rounded to the nearest dollar.

²⁷ The University of the District of Columbia has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for the University of the District of Columbia.

²⁸ \$74,805,761 represents 8% of the FY 2012 SBE expenditure goal of \$936,988,185.

APPENDIX II

Total Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs) for the 1st, 2nd, and 3rd Quarters of FY 2012

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
1	Administrative Hearings, Office of	\$232,016	\$232,016	\$28,380	\$210,952	\$107,816	\$45,240	\$0	\$0
2	Advisory Neighborhood Commissions, Office of	\$1,137	\$1,137	\$0	\$0	\$0	\$1,137	\$0	\$0
3	Aging, Office on	\$1,751,317	\$1,751,317	\$1,744,634	\$1,745,284	\$0	\$5,548	\$0	\$0
4	Alcohol Beverage Regulation Administration	\$174,906	\$174,906	\$51,023	\$123,092	\$122,269	\$121,893	\$0	\$0
5	Arts and Humanities, Commission on	\$20,132	\$20,132	\$18,817	\$14,275	\$14,275	\$0	\$0	\$0
6	Asian and Pacific Islander Affairs, Office on	\$2,546	\$2,546	\$2,461	\$2,461	\$0	\$0	\$0	\$0
7	Attorney General for the District of Columbia, Office of the	\$784,685	\$757,159	\$581,804	\$135,432	\$89,625	\$80,303	\$0	\$27,116
8	Auditor, Office of the D.C.	\$6,037	\$6,037	\$0	\$5,215	\$1,493	\$4,349	\$0	\$0
9	Cable Television, Office of	\$110,407	\$37,998	\$7,042	\$31,947	\$28,887	\$0	\$0	\$0
10	Campaign Finance, Office of	\$4,644	\$4,644	\$4,644	\$4,644	\$4,644	\$0	\$0	\$0
11	Chief Financial Officer, Office of the	\$3,328,442	\$2,344,344	\$1,571,074	\$2,407,941	\$861,520	\$442,020	\$0	\$1,178
12	Chief Medical Examiner, Office of the	\$148,548	\$148,548	\$125,758	\$124,007	\$0	\$54,381	\$0	\$0
13	Chief Technology Officer, Office of the	\$10,765,282	\$1,966,332	\$8,068,636	\$3,135,116	\$1,697,454	\$124,236	\$0	\$58,836
14	Child and Family Services Agency	\$733,390	\$482,592	\$407,377	\$326,187	\$74,816	\$24,907	\$0	\$0

APPENDIX II

Total Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs) for the 1st, 2nd, and 3rd Quarters of FY 2012

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
15	City Administrator, Office of the	\$16,399	\$16,399	\$9,223	\$9,631	\$9,575	\$6,464	\$0	\$0
16	Consumer & Regulatory Affairs, Department of	\$178,072	\$178,072	\$138,929	\$135,828	\$146,912	\$124,486	\$0	\$0
17	Contract Appeals Board	\$2,725	\$2,725	\$0	\$2,725	\$2,645	\$80	\$0	\$0
18	Contracting and Procurement, Office of	\$122,712	\$122,712	\$58,787	\$95,520	\$46,103	\$52,206	\$0	\$0
19	Corrections, Department of	\$2,435,500	\$2,276,676	\$845,444	\$981,734	\$609,154	\$237,575	\$0	\$0
20	D.C. Taxicab Commission	\$63,384	\$63,384	\$48,819	\$38,603	\$23,359	\$3,810	\$0	\$0
21	Disability Compensation Fund	\$99,979	\$99,979	\$0	\$0	\$99,979	\$0	\$0	\$0
22	Disability Rights, Office of	\$2,631	\$2,631	\$2,237	\$2,237	\$1,811	\$395	\$0	\$0
23	Disability Services, Department on	\$617,866	\$523,313	\$73,552	\$516,515	\$442,767	\$9,011	\$0	\$0
24	District of Columbia Public Charter School Board	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
25	Education, Deputy Mayor for	\$3,661	\$3,661	\$3,661	\$2,413	\$2,689	\$0	\$0	\$0
26	Elections and Ethics, Board of	\$369,582	\$369,004	\$227,647	\$101,438	\$81,056	\$242,100	\$0	\$2,848
27	Employee Appeals, Office of	\$18,982	\$18,982	\$0	\$324	\$324	\$14,657	\$0	\$4,001
28	Employment Services, Department of	\$922,268	\$234,203	\$542,047	\$350,016	\$149,110	\$215,929	\$0	\$3,680

APPENDIX II

Total Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs) for the 1st, 2nd, and 3rd Quarters of FY 2012

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
29	Environment, District Department of the	\$667,076	\$547,104	\$290,411	\$340,914	\$369,205	\$32,749	\$0	\$0
30	Events DC ²⁹	\$9,776,759	\$9,752,529	\$1,823,075	\$7,077,565	\$1,136,206	\$288,014	\$0	\$0
31	Finance and Resource Management, Office of	\$6,705	\$6,705	\$2,510	\$2,617	\$291	\$4,088	\$0	\$0
32	Fire and Emergency Medical Services Department	\$1,392,024	\$1,391,413	\$595,378	\$1,141,272	\$221,024	\$455,968	\$0	\$0
33	Forensic Laboratory Technician Training Program	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
34	General Services, Department of	\$15,264,266	\$13,334,224	\$6,288,247	\$9,437,182	\$5,275,397	\$3,161,506	\$327,816	\$137,820
35	Health and Human Services, Deputy Mayor for	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
36	Health Care Finance, Department of	\$887,166	\$395,491	\$458,132	\$287,714	\$175,999	\$136,551	\$0	\$0
37	Health, Department of	\$3,972,334	\$2,337,683	\$1,380,371	\$2,352,299	\$580,412	\$1,067,973	\$0	\$0
38	Homeland Security and Emergency Management Agency	\$2,846,556	\$2,544,406	\$2,356,316	\$2,518,806	\$412,389	\$8,400	\$0	\$2,940
39	Housing Finance Agency	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
40	Housing and Community Development, Department of	\$1,126,830	\$1,123,830	\$1,060,762	\$1,086,995	\$1,055,650	\$53,022	\$0	\$0

²⁹ Events DC has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for Events DC.

APPENDIX II

Total Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs) for the 1st, 2nd, and 3rd Quarters of FY 2012

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
41	Human Resources, D.C. Department of	\$714,888	\$639,175	\$434,767	\$588,312	\$14,893	\$57,015	\$0	\$0
42	Human Rights, Office of	\$18,436	\$18,436	\$10,480	\$693	\$18,026	\$2,725	\$0	\$0
43	Human Services, Department of	\$880,129	\$828,494	\$311,090	\$536,552	\$206,187	\$165,627	\$0	\$0
44	Inspector General, Office of the	\$99,695	\$99,695	\$41,539	\$73,333	\$22,876	\$17,729	\$0	\$0
45	Insurance, Securities and Banking, Department of	\$39,888	\$39,888	\$27,586	\$22,328	\$24,047	\$12,301	\$0	\$0
46	Latino Affairs, Office on	\$18,761	\$18,761	\$16,032	\$6,712	\$6,082	\$2,729	\$0	\$0
47	Lottery & Charitable Games Control Board, D.C.	\$4,768,952	\$4,768,952	\$123,610	\$421,175	\$58,418	\$4,269,459	\$0	\$0
48	Mayor, Executive Office of the	\$67,093	\$65,310	\$21,902	\$22,313	\$12,332	\$35,952	\$0	\$0
49	Medical Liability Captive Insurance Agency	\$690	\$690	\$0	\$0	\$0	\$690	\$0	\$0
50	Mental Health, Department of	\$945,764	\$928,885	\$290,848	\$588,370	\$279,666	\$110,700	\$0	\$0
51	Metropolitan Police Department	\$4,647,014	\$2,674,143	\$2,132,308	\$2,715,196	\$665,503	\$245,388	\$0	\$16,009
52	Motion Picture and Television Development, Office of	\$24,936	\$24,936	\$17,119	\$7,874	\$75	\$0	\$0	\$0
53	Motor Vehicles, Department of	\$4,298,766	\$4,181,487	\$359,555	\$501,412	\$426,593	\$56,516	\$0	\$0
54	National Guard, D.C.	\$11,531	\$11,531	\$11,430	\$5,446	\$0	\$6,085	\$0	\$0

APPENDIX II

Total Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs) for the 1st, 2nd, and 3rd Quarters of FY 2012

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
55	Parks and Recreation, Department of	\$339,164	\$338,219	\$195,849	\$265,163	\$187,812	\$80,615	\$0	\$0
56	People's Counsel, Office of the	\$145,021	\$142,692	\$18,329	\$123,560	\$11,739	\$24,314	\$0	\$0
57	Planning and Economic Development, Office of the Deputy Mayor for	\$502,664	\$502,664	\$410,029	\$449,798	\$379,213	\$12,153	\$0	\$0
58	Planning, Office of	\$88,303	\$88,303	\$27,050	\$41,593	\$24,115	\$14,441	\$0	\$12,717
59	Police Complaints, Office of	\$18,183	\$18,183	\$11,638	\$15,678	\$12,063	\$425	\$0	\$0
60	Public Charter Schools, D.C.	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
61	Public Employee Relations Board	\$8,466	\$8,466	\$0	\$543	\$543	\$7,923	\$0	\$0
62	Public Library, District of Columbia	\$1,697,980	\$1,697,980	\$929,762	\$1,519,587	\$304,234	\$132,191	\$0	\$13,137
63	Public Safety and Justice, Deputy Mayor for	\$11,604	\$11,604	\$9,819	\$6,631	\$2,130	\$1,676	\$0	\$0
64	Public Schools, D.C.	\$6,053,052	\$6,053,052	\$1,309,827	\$3,762,785	\$2,240,432	\$2,465,129	\$0	\$0
65	Public Service Commission	\$120,683	\$120,683	\$73,232	\$93,766	\$104	\$13,290	\$0	\$0
66	Public Works, Department of	\$2,169,814	\$1,436,838	\$497,058	\$1,500,388	\$377,096	\$966,532	\$0	\$0
67	Real Property Assessments and Appeals, Board of	\$15,539	\$15,539	\$6,587	\$6,970	\$6,970	\$7,969	\$0	\$0
68	Retirement Board, D.C.	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A

APPENDIX II

Total Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs) for the 1st, 2nd, and 3rd Quarters of FY 2012

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
69	Risk Management, D.C. Office of	\$41,280	\$41,280	\$1,427	\$38,174	\$1,267	\$39,853	\$0	\$0
70	Secretary, Office of the	\$97,369	\$92,369	\$5,465	\$13,939	\$33,619	\$17,376	\$0	\$0
71	Small and Local Business Development, Department of	\$13,653	\$13,653	\$1,514	\$800	\$1,904	\$12,549	\$0	\$0
72	Special Education Transportation	\$601,306	\$434,704	\$49,004	\$403,193	\$19,141	\$31,511	\$0	\$0
73	State Superintendent of Education, Office of the	\$2,165,583	\$1,002,684	\$969,645	\$1,165,469	\$1,065,542	\$776,871	\$0	\$0
74	Tenant Advocate, Office of the	\$15,759	\$15,759	\$2,608	\$13,151	\$0	\$5,730	\$0	\$0
75	Transportation, Department of	\$817,477	\$581,485	\$247,408	\$220,787	\$128,639	\$118,079	\$48,987	\$0
76	Unified Communications, Office of	\$842,439	\$161,960	\$656,228	\$321,755	\$36,027	\$6,559	\$0	\$0
77	University of the District of Columbia ³⁰	\$3,182,617	\$3,132,051	\$904,265	\$2,541,275	\$211,299	\$1,821,402	\$0	\$15,756
78	Veterans' Affairs, Office of	\$234	\$234	\$0	\$234	\$0	\$234	\$0	\$0
79	Youth Rehabilitation Services, Department of	\$1,221,949	\$1,153,540	\$891,858	\$999,926	\$382,543	\$182,117	\$0	\$0
80	Zoning, Office of	\$200,066	\$166,602	\$50,616	\$139,604	\$112,775	\$87,106	\$0	\$11,183

³⁰ The University of the District of Columbia has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for the University of the District of Columbia.

APPENDIX II

Total Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs) for the 1st, 2nd, and 3rd Quarters of FY 2012

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
	TOTAL	\$95,763,714	\$74,805,761	\$39,884,680	\$53,883,387	\$21,118,763	\$18,827,957	\$376,803	\$307,220

SOURCE: SOAR, P-Card, and CBE Online data as of August 2, 2012. All numbers are rounded to the nearest dollar.

APPENDIX III

Agencies That Failed to Submit the 1st, 2nd and/or 3rd Quarter FY 2012 Expenditure Report, as of August 2, 2012

	Agency	1 st Quarter Report Received	2 nd Quarter Report Received	3 rd Quarter Report Received
1	Child and Family Services Agency	Yes	Yes	No
2	Disability Rights, Office of	No	Yes	No
3	District of Columbia Public Charter School Board	No	No	No
4	Education, Deputy Mayor for	No	No	No
5	Employee Appeals, Office of	No	No	No
6	Forensic Laboratory Technician Training Program	No	No	No
7	Health and Human Services, Deputy Mayor for	No	No	No
8	Housing Finance Agency	No	No	No
9	Human Rights, Office of	Yes	Yes	No
10	Public Charter Schools, D.C.	No	No	No
11	Public Employee Relations Board	No	No	No
12	Public Schools, D.C.	Yes	Yes	No
13	Real Property Assessments and Appeals, Board of	No	No	No
14	Retirement Board, D.C.	No	No	No
15	Special Education Transportation	No	No	No
16	Tenant Advocate, Office of the	No	Yes	Yes

SOURCE: DSLBD's QuickBase database as of August 2, 2012