



**District of Columbia Agencies'
Compliance with Fiscal Year 2014
Small Business Enterprise Expenditure
Goals through the 3rd Quarter of Fiscal
Year 2014**

September 3, 2014

Audit Team:

Laura Hopman, Assistant Deputy Auditor
Lilai Gebreselassie, Senior Auditor

A Report by the Office of the District of Columbia Auditor
Lawrence Perry, Acting District of Columbia Auditor

The Honorable Phil Mendelson, Chairman
Council of the District of Columbia
1350 Pennsylvania Avenue, NW, Suite 504
Washington, D.C. 20004

Letter Report: District of Columbia Agencies' Compliance with Fiscal Year 2014 Small Business Enterprise Expenditure Goals through the 3rd Quarter of Fiscal Year 2014.

Dear Chairman Mendelson:

The Office of the District of Columbia Auditor (ODCA) examined and assessed District of Columbia government agencies' compliance with fiscal year (FY) 2014 Small Business Enterprise (SBE) expenditure goals through the 3rd quarter of FY 2014 (October 1, 2013 through June 30, 2014).¹

Objectives, Scope and Methodology

The objectives of this examination were to determine:

1. District agencies' FY 2014 SBE expenditures through the 3rd quarter of FY 2014; and
2. District agencies' compliance with SBE goal establishment and expenditure reporting requirements.²

The examination covered the period October 1, 2013 through June 30, 2014. We did not conduct the examination as an audit as defined by the Government Accountability Office's Government Auditing Standards.

In conducting this examination, we reviewed 82 agencies, offices and programs³ that the Department of Small and Local Business Development (DSLBD) determined were required to (1) procure 50 percent of their expendable budget for goods and services from SBEs each fiscal year and (2) submit quarterly SBE expenditure reports, as well as an annual report, to DSLBD and ODCA. We reviewed appropriated budgets, expendable budgets, and reported SBE goals for each agency. We also verified vendors in DSLBD's CBE Online System and reviewed DSLBD's QuickBase data tracking system.

For 76 of the 82 agencies, we reviewed their SBE expenditures using the District's System of Accounting and Reporting (SOAR) and the Office of Contracting and Procurement's Purchase Card (P-Card) data. Six of the 82 agencies do not record expenditures in SOAR, using financial systems independent of SOAR to record their expenditures. As a result, no quarterly

¹ See D.C. Official Code § 2-218.41.

² See D.C. Official Code §2-218.53(a) and (b).

³ DSLBD QuickBase Data Tracking System, as of August 14, 2014.

expenditures could be retrieved from SOAR for these six agencies. Therefore, we were not able to independently verify the submitted expenditure data for the six agencies. The six agencies are listed below:

1. Events DC
2. Housing Finance Agency
3. Not-for-Profit Hospital Corporation (United Medical Center)
4. D.C. Public Charter School Board
5. D.C. Retirement Board
6. The University of the District of Columbia

Background

The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005 (the Act), as amended, states that “it shall be the goal and responsibility of the Department [of Small and Local Business Development] to stimulate and foster the economic growth and development of businesses based in and serving the District of Columbia, particularly certified business enterprises, with the intended goals of:

- (A) Stimulating and expanding the local tax base of the District of Columbia;
- (B) Increasing the number of viable employment opportunities for District residents; and
- (C) Extending economic prosperity to local business owners, their employees, and the communities they serve.”

The Act tasks DSLBD with providing “advocacy, business development programs, and technical assistance offerings” that will “maximize opportunities for certified business enterprises to participate in:

- (A) The District's contracting and procurement process;
- (B) The District's economic development activities; and
- (C) Federal and private sector business opportunities that occur in the District of Columbia.”⁴

The Act also establishes the following categories of businesses: Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs).

The Act requires each District agency to contract 50 percent of the dollar volume of its goods and services to SBEs each fiscal year. Additionally, it requires agencies to submit quarterly SBE expenditure reports to DSLBD and ODCA. The Act contains no expenditure requirements for LBEs, DBEs, DZEs, ROBs, LRBs, LMEs, or VOBs.

To establish FY 2014 SBE goals, DSLBD required each agency to access QuickBase, DSLBD's electronic database containing all budget, SBE goal, and agency-reported SBE expenditure data. DSLBD asked each agency to document, as an exclusion in QuickBase, the items in their appropriated budget that the agency could not reasonably be expected to obtain from SBEs. Upon DSLBD's approval, the QuickBase system subtracted the exclusions from the agency's appropriated budget to determine the agency's expendable budget. To determine the SBE goal, the QuickBase system multiplied the expendable budget by 50 percent.

⁴ See D.C. Official Code §2-218.13(a).

Results of the Auditor's Examination

According to DSLBD's QuickBase database as of August 14, 2014, the 82 agencies we assessed had a total FY 2014 reported appropriated budget of \$10,409,072,337 and a total reported expendable budget for goods and services of \$990,204,474. Based on the expendable budget of \$990,204,474, the FY 2014 SBE expenditure goal for the 82 agencies was \$495,102,237.⁵

The 82 agencies' FY 2014 reported appropriated and expendable budgets and reported SBE goals are listed in Appendix I.

3rd Quarter Results

We found that the 82 agencies, as a whole, spent a total of \$83,884,615 with SBEs through the 3rd quarter of FY 2014 or 17% towards the \$495,102,237 total FY 2014 SBE expenditure goal for all of the 82 agencies. The FY 2014 3rd quarter SBE expenditures for each of the 82 agencies are listed in Appendix I.

Total FY 2014 expenditures of the 82 agencies, through the 3rd quarter of FY 2014, on Local Business Enterprises, Small Business Enterprises, Disadvantaged Business Enterprises, Local Business Enterprises with Principle Offices Located in an Enterprise Zone, Resident-Owned Businesses, Longtime Resident Businesses, Local Manufacturing Enterprises, and Veteran Owned Businesses are presented in Appendix II.

According to DSLBD's QuickBase database, as of August 14, 2014, six agencies did not submit the required quarterly expenditure report for the 3rd quarter of FY 2014. We are pleased to note that this represents a significant reduction in the typical number of noncompliant agencies. Recently, DSLBD has made efforts to contact, train, and work with noncompliant agencies and the results of their efforts are encouraging. All agencies that failed to submit the required expenditure report for the 1st, 2nd and 3rd quarters of FY 2014 are listed in Appendix III.

Two of the six agencies that did not submit the required quarterly expenditure report for the 1st, 2nd and 3rd quarters of FY 2014 (the Housing Finance Agency and the Not-for-Profit Hospital Corporation), do not report expenditures through SOAR, leaving the expenditures toward their combined SBE expenditure goal of \$59,844,500 unexamined by either ODCA or DSLBD. As a result, there is an incomplete presentation of the District's overall SBE goal attainment.

Of the 82 agencies, 19 agencies met or exceeded their reported annual FY 2014 SBE expenditure goals by the end of the 3rd quarter of FY 2014, raising questions about the validity of the reported expendable budgets of the agencies. The 19 agencies that exceeded their FY 2014 expenditure goal by the end of the 3rd quarter are presented in Figure 1.

⁵ All numbers are rounded to the nearest dollar.

Figure 1
Agencies that Exceeded their FY 2014 SBE Expenditure Goal by the 3rd Quarter

	Agency	1 st , 2 nd and 3 rd Quarter SBE Expenditures	Reported SBE Goal	% of SBE Goal Achieved
1	Chief Medical Examiner, Office of the	\$345,939	\$303,500	114%
2	Child and Family Services Agency	\$402,894	\$244,738	165%
3	Contracting and Procurement, Office of	\$440,383	\$410,387	107%
4	Disability Services, Department on	\$640,258	\$173,402	369%
5	Elections, Board of	\$311,560	\$255,088	122%
6	Employees' Compensation Fund	\$100,098	\$49,794	201%
7	Environment, District Department of the	\$275,505	\$42,488	648%
8	Health and Human Services, Deputy Mayor for	\$140,080	\$89,191	157%
9	Health, Department of	\$3,155,170	\$1,252,758	252%
10	Homeland Security and Emergency Management Agency	\$1,522,849	\$41,078	3707%
11	Human Rights, Office of	\$99,702	\$73,974	135%
12	Inspector General, Office of the	\$95,103	\$46,487	205%
13	Metropolitan Police Department	\$2,316,742	\$2,276,938	102%
14	Motor Vehicles, Department of	\$607,781	\$463,545	131%
15	Public Employee Relations Board	\$6,367	\$3,073	207%
16	Public Schools, D.C.	\$5,551,632	\$4,725,001	117%
17	Retirement Board, D.C.	\$430,299	\$398,348	108%
18	State Superintendent of Education, Office of the	\$2,267,823	\$884,056	257%
19	Zoning, Office of	\$186,378	\$179,779	104%

Recommendations:

Within 30 days of the release of this report:

1. DSLBD should notify (1) the Chairman of the Council Committee responsible for providing oversight of the non-compliant agency, (2) the Chairman of the Council Committee on Business, Consumer and Regulatory Affairs, and (3) the Mayor that the six agencies listed in this report did not submit the required expenditure report for the 3rd quarter of FY 2014.

2. DSLBD should review the status of the agencies that did not submit expenditure reports and do not record expenditures in SOAR to determine FY 2014 SBE expenditures. DSLBD should also develop and implement an accurate method to capture expenditures of the six agencies that do not record expenditures in SOAR.
3. DSLBD should review the SBE goals, procurement plans, and purchases of the 19 agencies that exceeded their FY 2014 goals by the end of the 3rd quarter of FY 2014.

Conclusion

Based on our examination, we found that 82 agencies collectively spent \$83,884,615 with SBEs through the 3rd quarter of FY 2014 or 17 percent of the cumulative reported SBE goal of \$495,102,237.

It should be noted that each of the recommendations cited herein have been included in our prior compliance reports. On August 12, 2014, we received written notification from DSLBD detailing the positive actions they are now taking to remedy the findings contained in this and prior reports. DSLBD's letter is attached to this conclusion.

We will continue working with DSLBD, while monitoring the implementation of our recommendations, in our combined effort to eliminate the issues cited in this report.

Sincerely,

A handwritten signature in black ink, appearing to read "Lawrence Perry", written in a cursive style.

Lawrence Perry
Acting District of Columbia Auditor

Attachment



MEMORANDUM

TO: Lawrence Perry, Acting District of Columbia Auditor, Office of the District of Columbia Auditor (ODCA)

FROM: Robert Summers, Director, Department of Small and Local Business Development (DSLBD) 

CC: Mayor, District of Columbia Government; Council of the District of Columbia; Chairman, Council Committee on Business, Consumer and Regulatory Affairs; Ronnie Edwards, Deputy Director, Department of Small and Local Business Development (DSLBD)

DATE: August 12, 2014

SUBJECT: **Update Re: ODCA June 18, 2014 Letter Report on DC Agencies' Compliance with FY 2014 SBE Goals through the 2nd Quarter of FY 2014**

This memorandum is to officially provide you with an update on agency compliance with SBE reporting requirements and actions taken by DSLBD following receipt of the ODCA June 18, 2014 Letter Report; the July 10, 2014 Council Hearing; and the July 24, 2014 progress meeting with ODCA.

As indicated in the ODCA June 18, 2014 Letter Report, all agencies identified as non-compliant have been contacted, informed of their obligation to report, and are currently working with DSLBD to address deficiencies in reporting.

On July 7, 2014 notifications were sent to the 16 non-compliant agencies (Attachment #1); mandatory training was conducted on July 22, 2014 (Attachment #2); and each agency has been afforded an opportunity to address deficiencies.

Of the 16 non-compliant agencies, 11 have updated their reporting and are now compliant with reporting requirements. DSLBD continues to work with the remaining five (5) to address questions and clarify reporting requirements.

The following additional steps have been taken:

- **Independent Verification of Payments to CBEs for Non-SOAR Reporting Agencies**
 - DSLBD is expanding its reporting requirements mandating that all Prime Contractors subject to SBE reporting submit Quarterly Reports containing

notarized Vendor Verification Forms (VVF) completed and signed by CBE Subcontractors (Attachment #3) listed as having been paid during a given quarter. Receipt of notarized VVFs will provide immediate confirmation from SBEs that payment has been received. SBE participation will not be credited unless and until VVFs are submitted.

- **Adjustments to FY 2014 SBE Expenditure Goal**
 - On July 7, 2014, Notices were also sent to all agencies with reported SBE expenditures of less than 30% of their overall expenditures as of the end of the 2nd quarter. These agencies were reminded of the requirement to comply with established goals; and encouraged to review existing goals and request adjustments if necessary (Attachment #4).
 - In accordance with established procedures, the previously established goal of \$775M is being adjusted to accurately reflect the overall FY 2014 SBE target.
- **Notification to the Mayor and Council of Non-Compliant Agencies**
 - On July 18, 2014 the Mayor and The Council of the District of Columbia were officially notified of all non-compliant agencies (Attachment #5)
- **Evaluation of Agencies that Exceeded their FY 2014 goals by the end of the 2nd Quarter**
 - As recommended, DSLBD has reviewed the goals of the agencies that had exceeded their FY 2014 goals by the end of the 2nd Quarter. Of the 82 reporting agencies, only 7 (8.5%) were identified. Our review reveals that goal setting is more difficult with these agencies because they have high levels of grant funding which generally are excluded due to the nature of services provided, i.e., human care, “maintenance of persons” and subcontracting is limited/restricted. Two (2) of the seven (7) agencies, CFSA and DDS deal with welfare, personal and social conditions generally provided by non-profits and other institutions wherein funds go directly to clients.
 - Of the \$324,213 1st and 2nd quarter expenditures reported by CFSA, \$125,000 (38.6%) appears to have been mistakenly reported as CSBE expenditure, in that the CBE Number does not match the vendor identified as having provided the service. These expenditures are under review.
 - DDS seems to have counted expenditures carried over from FY 2013; and
 - The Employees’ Compensation Fund subsequently added \$3,334,902 to their 2014 budget as a carry-over from FY 2013.

Conclusion

DSLBD's compliance process is verification driven and cannot be confirmed using self-reported, non-verified data. All self-reported data must first be verified before credit is allowed. Rather than taking information on face value, DSLBD compliance staff evaluates and cross-checks reported expenditures to ensure they are legitimate CBE expenditures. Once information has been verified, it is then confirmed and added to our final report as countable CBE participation.

To that end, DSLBD will continue to work with agencies in an effort to address deficiencies and ensure that 3rd Quarter Reports are more complete and are submitted in a timely manner.

Attachment(s)

ATTACHMENT #1

NOTIFICATION OF NON-COMPLIANCE



MEMORANDUM

TO: District of Columbia Government Agency Directors, CBE Compliance Officers and/or Agency Financial Officers

FROM: Robert Summers, Director, Department of Small and Local Business Development (DSLBD)

CC: Ronnie Edwards, Deputy Director, Department of Small and Local Business Development (DSLBD)

DATE: July 7, 2014

SUBJECT: **Notification of Non-Compliance-Failure to Report**

The Department of Small and Local Business Development (DSLBD), as mandated by DC Official Code 2-218.41, 2-218.53 and 2-218.54, and in conjunction with DCMR 27 Chapter 8, is responsible for monitoring agency compliance with meeting the annual goal of spending 50% of their expendable budgets with certified Small Business Enterprises (CSBEs). After a review of agency reporting, it appears that your agency has failed to comply with established reporting requirements. Specifically, your agency failed to submit a procurement plan as required by 27 DCMR 832 and has also failed to submit quarterly reports as required by Section 833. Your agency's failure to submit procurement plans and participate in the annual goal setting process negatively impacts the government's overall goals.

Following receipt of a mid-year review by the Office of the District of Columbia Auditor (ODCA), DSLBD has been scheduled to review agency compliance through the 2nd quarter with the Council of the District of Columbia on July 9, 2014. This review will include an explicit overview of agency overall compliance and accomplishments towards meeting established goals.

Accordingly, your agency will potentially be identified as not having complied with the aforementioned reporting requirements. Additionally, failure of your agency to meet the contracting requirements could result in the designation of a portion of your agency's contract and procurement being set aside for SBEs.

If your agency is experiencing difficulty finding adequate SBEs, or if any assistance/training is necessary, DSLBD will work with your CBE Compliance Officer (CO) /Agency Financial Officer (AFO) in order to help facilitate the process. Please feel free to contact Ronnie Edwards via email at ronnie.edwards2@dc.gov or telephone at 202-727-3900.

ATTACHMENT #2

INVITATION/TRAINING CONFIRMATION FOR NON-COMPLIANT AGENCIES

Edwards, Ronnie (DSLBD)

From: Martin, Vonetta (DSLBD)
Sent: Monday, July 21, 2014 2:48 PM
To: nbradley@dchfa.org; White, Annie (DHCF); Walker, Paula I. (DCHBX); mcompton@eventsdc.com; lfrey@eventsdc.com; Johnson, Monet (DYRS); Wasenko, Kali (EOM); Robinson, Sandra (DCHBX); Matthews, Nicole (DCHBX); Gomez, Sandra (EOM); Spencer, Debra (RPTAC); Laurent, Monita (DYRS); dnunez@dchfa.org; Krishnan, Pravin (DYRS); jreid@dchfa.org; paulette.saunder2@dc.gov; Shingler, Micheal V. (OCP)
Cc: Edwards, Ronnie (DSLBD); Melton, Jacarl (DSLBD)
Subject: CONFIRMATION NOTICE - TUESDAY, JULY 22nd --DSLBD Compliance Training for Newly Monitored Agencies and Non-Compliant Agencies
Importance: High

Good afternoon.

Please accept this correspondence as your confirmation notice for compliance training from the Department of Small and Local Business Development (DSLBD). The department is delighted to provide this training to seventeen (17) individuals that registered from nine (9) entities of the District government (i.e. agencies or government corporation). The best mode of transportation is by metro- Red Line (Judiciary Square), use the Court House exit. Make sure to have your ID. Snacks are permitted, but you must clean up after yourself. There are parking garages nearby the building, especially one that is located on Third and E streets. Note, the garage below the building (entrance I by 3rd & D Streets) may be full by the time of training due to limited spaces associated with renovations. Therefore, it is encouraged for attendees to take the metro rail or bus. Be on time, as we want everyone to gain as much knowledge and information out of the session, without delay. In addition, **bring a well charged laptop** as this session aims to be interactive.



**FY 2014 AGENCY CERTIFIED BUSINESS ENTERPRISE (CBE) COMPLIANCE TRAINING FOR
NEWLY MONITORED AGENCIES & NON-COMPLIANT AGENCIES**

Tuesday, July 22, 2014

10:00 AM to 12:30 PM

441 4th Street, NW, Room 805 S-Training Room

Sincerely,

VONETTA B. MARTIN, M.P.A., Senior Compliance Specialist

DC Department of Small and Local Business Development

441 4th Street, NW | Suite 850N | Washington, DC 20001

Phone: 202-727- 4976 | Cell: 202-701-8598 | vonetta.martin@dc.gov

<http://www.dslbd.dc.gov>

Twitter: @SmallBizDC

Grade.DC.gov has expanded again!

Help us Help you! Visit www.grade.dc.gov today to log your feedback for our agency.

DC Public Schools' Beautification Day is on Saturday, August 23! Roll up your sleeves and help beautify our schools. [Sign up](#) to volunteer.

From: Martin, Vonetta (DSLBD)

Sent: Friday, July 18, 2014 12:42 PM

To: Spencer, Debra (RPTAC); 'angelique.rogers@dc.gov'; Austin, Franklin (DHCF); Dickerson, Pamela (DHCF); Chaudhuri, Sumita (DHCF); Bardaje, Fredalyn (DHCF); Salimi, Scheherazade (EOM); Lynch, Tara (EOM); Quinones, Ariana (EOM); Jeter, Joyce (DBH); Gomez, Sandra (EOM); Norman, Anthony (OCFO); Booth, Quincy (EOM); McCray, Tykisha (EOM); Ashley, Gary (OCFO); Fletcher, Janine (DYRS); Scalise, Krista (DYRS); Holden, Waverly (DYRS); 'asimpson@eventsdc.com'; 'tdottellis@eventsdc.com'; 'aladd@dchfa.org'; 'swhitehorn@dchfa.org'; 'aneal@united-medicalcenter.com'; 'kblissett@united-medicalcenter.com'; Dorsey, Chante (OCP); Toppin, Gina (OCP); Manassa, Marvin (OCP); Richey, Noel (OCP); Alao, Yinka (OCP); 'christina.mitchell@dc.gov'; McCain, Denice (EOM); Orr, Derek K. (EOM); Smith-Barrow, Gabrielle (OEA); Waller, Pat (PERB); Proctor, Williams (OSSE)

Subject: REMINDER NOTICE -- TUESDAY- JULY 22nd --DSLBD Compliance Training for Newly Monitored Agencies and Non-Compliant Agencies

Importance: High

Good afternoon,

Please follow up to confirm attendance by at least one representative from your agency to the below training. It is mandatory for those entities that are new to being monitored or are still non-compliant. Below is the link for registration.

Regards,

VONETTA B. MARTIN, M.P.A., Senior Compliance Specialist

DC Department of Small and Local Business Development

441 4th Street, NW | Suite 850N | Washington, DC 20001

Phone: 202-727- 4976 | Cell: 202-701-8598 | vonetta.martin@dc.gov

<http://www.dslbd.dc.gov>

Twitter: @SmallBizDC

Grade.DC.gov has expanded again!

Help us Help you! Visit www.grade.dc.gov today to log your feedback for our agency.

From: Martin, Vonetta (DSLBD)

Sent: Wednesday, July 16, 2014 1:17 PM

To: Chaudhuri, Sumita (DHCF); Lynch, Tara (EOM); Quinones, Ariana (EOM); McCray, Tykisha (EOM); 'aladd@dchfa.org'; 'aneal@united-medicalcenter.com'; Orr, Derek K. (EOM); Bell, Melanie (EOM); Waller, Pat (PERB); Spencer, Debra (RPTAC); 'Alvin.stith2@dc.gov'; Smith-Barrow, Gabrielle (OEA); Ashley, Gary (OCFO); Manassa, Marvin (OCP); 'asimpson@eventsdc.com'; Dickerson, Pamela (DHCF); Salimi, Scheherazade (EOM); Jeter, Joyce (DBH); Booth, Quincy (EOM); 'nbradley@dchfa.org'; 'kblissett@united-medicalcenter.com'; 'christina.mitchell@dc.gov'; Proctor, Williams (OSSE); Scalise, Krista (DYRS); Toppin, Gina (OCP); 'lfrey@eventsdc.com'; 'angelique.rogers@dc.gov'; Gomez, Sandra (EOM); Norman, Anthony (OCFO); 'swhitehorn@dchfa.org'; McCain, Denise (EOM); Bridges, Cathy (OSSE); Holden, Waverly (DYRS); Dorsey, Chante (OCP); 'tdottellis@eventsdc.com'; Bardaje, Fredalyn (DHCF); Kim, Jason (DHS); Alao, Yinka (OCP); Austin, Franklin (DHCF); Fletcher, Janine (DYRS); Richey, Noel (OCP); 'helena.barboura@dc.gov'; White, Annie (DHCF)

Cc: Summers, Robert (DSLBD); Edwards, Ronnie (DSLBD); Melton, Jacarl (ODCA) (jacarl.melton@dc.gov); McCall-Matey, Monica (DSLBD); Jefferson, Cory (DSLBD); Buchanan, Audrey (DSLBD); Herrman, Dian (DSLBD); Martin, Vonetta (DSLBD)

Subject: DSLBD- Compliance & Enforcement Division ---Training for Newly Monitored Agencies and Non-Compliant Agencies

Importance: High



FY 2014 AGENCY CERTIFIED BUSINESS ENTERPRISE (CBE) COMPLIANCE TRAINING FOR NEWLY MONITORED AGENCIES & NON-COMPLIANT AGENCIES

The Department of Small and Local Business Development (DSLBD) Compliance and Enforcement Division will provide compliance training to **newly monitored and non-compliant agencies as of FY 2014 quarter two**. You are receiving this notice for one of the following reasons: 1) your agency is new to being monitored by DSLBD, 2) your agency was found non-compliant in reporting during FY14, or 3) a representative of your agency designated to conduct reporting, requested training as they are new to this process.

Training will take place on the following date:

Tuesday, July 22, 2014
10:00 AM to 12:30 PM
441 4th Street, NW, Room 805 S-Training Room

Click the link below to register.

<http://bizdc.ecenterdirect.com/ConferenceDetail.action?ID=38869>

Registration Process:

- Choose sign-up.
- An email will be sent allowing you to register for one of the above trainings.
- Log in to the system to register at the top of the website's page where you signed-up.

This training is also for DC Government Contracting Officers, Contracting Administrators, Contracting Specialists, all agency representatives designated as a Certified Business Enterprise (CBE) Compliance Officer or their subordinate personnel and Financial Officers. Since this is a hands-on training session, participants are encouraged to bring their laptops for easy accessibility to the Quick-Base System.

DSLBD monitors district agencies under the following mandates of D.C. Official Code § 2-218. Training will focus on reporting under the following codes to DSLBD:

- § 2-218.41 (CSBE Goal Setting)
- § 2-218.50 (Government Corporation Reporting)
- § 2-218.53 (Agency Reporting)

We look forward to working with your agencies.

Regards,

VONETTA B. MARTIN, M.P.A., Senior Compliance Specialist
DC Department of Small and Local Business Development
441 4th Street, NW | **Suite 850N** | Washington, DC 20001
Phone: 202-727- 4976 | Cell: 202-701-8598 | vonetta.martin@dc.gov
<http://www.dslbd.dc.gov>
Twitter: @SmallBizDC

Grade.DC.gov has expanded again!

Help us Help you! Visit www.grade.dc.gov today to log your feedback for our agency.

ATTACHMENT #3
VENDOR VERIFICATION FORM

VENDOR VERIFICATION FORM ("VVF")

Calendar Year: Select

Quarter: Select

PART I. Private Development Project and Agency Contract ("Project") Details:

Name: _____ (✓ one) is the ☐ Prime Contractor or ☐ Developer or ☐ General Contractor

Project: (✓ one)

☐ District Agency Contract: District Agency _____ & Contract # _____

☐ Private Development Project (Project Name): _____

Subcontract # / Name: _____

(✓ one) ☐ CBE Subcontractor or ☐ CBE Lower Tier Subcontractor

PART II. CBE Subcontractor & Lower Tier Subcontractor Details:

Company _____ is a (✓ all that apply) ☐ Small Business Enterprise (SBE) ☐ Certified Business Enterprise (CBE) ☐ Disadvantaged Business Enterprise (DBE) ("CBE Company"), subcontractor that performed services or provided products to _____, which is Select on the Select tier for the Project. The CBE Company's CBE certification is active and the number is _____.

PART III. CBE Company's Subcontracts to Lower Tier CBE or Non-CBE Companies: (✓ one)

☐ a. CBE Company provided 100% of all services and/or products provided for the Entire Project using its own organization and resources, and did not subcontract any portion to a lower tier subcontractor. (Skip to Part V.)

☐ b. CBE Company provided 100% of all services and/or products provided for the Entire Subcontract using its own organization and resources, and did not subcontract any portion to a lower tier subcontractor. (Skip to Part V.)

☐ c. CBE Company subcontracted a portion of the Subcontract to a lower tier subcontractor. (List every CBE and non-CBE lower tier subcontractor. *Credit will only be given for the portion of the contract/ subcontract performed by a CBE using their own organization and resources.*)

Lower Tier Subcontractor	Lower Tier Subcontractor is: SBE, CBE, DBE or Non-CBE	Total Amount of Lower Tier Subcontract	Amount Paid to Lower Tier Subcontractor This Quarter	Detailed Description of lower tier subcontractor's scope of work	CBE Certification Number	Did Lower Tier Subcontractor listed further Subcontract (if yes, must have VVF for all other lower tier CBE subcontracts)
1.	Select	\$	\$			Select
2.	Select	\$	\$			Select
3.	Select	\$	\$			Select
4.	Select	\$	\$			Select

PART IV: CBE Subcontracting CREDIT:

A VVF for each CBE listed in Part III c. is provided with this VVF: (✓ one)

☐ YES or ☐ Previously Provided on _____ Date - Proceed;

☐ NO – **STOP THIS VVF WILL NOT BE ACCEPTED, AND NO CREDIT GIVEN, UNTIL VVFs FOR ALL CBEs LISTED IN PART III c. ARE PROVIDED!**

CBE Subcontracting Credit will only be assessed for the portion of services & goods provided by each CBE Company AND each CBE Lower Tier Subcontractor **USING ITS OWN ORGANIZATION AND RESOURCES.**

PART V: Provide DETAILED Description of Scope of Work Provided by CBE Company:

The CBE Company provided the following scope of work/ products **using its own organization and resources** : _____. The subcontract work began on _____ date and is scheduled to be completed on _____ date. The total amount of the subcontract = \$ _____ (amount should include all change orders); the total amount subcontracted to CBE lower tier subcontractors = \$ _____ (amount should include all change orders). CBE Company paid total of \$ _____ to date for portion of subcontract performed with its own organization and resources; remaining amount to be paid to the CBE Company for portion of subcontract performed with its own organization and resources is \$ _____.

ACKNOWLEDGEMENT

I declare, certify, verify, attest or state under penalty of perjury that the information contained in this Vendor Verification Form, and any supporting documents submitted, are true and correct to the best of my knowledge and belief. I further declare, certify, verify, attest or state under penalty of perjury that I have the authority and specific knowledge of the goods and services provided under each subcontract contained in this Vendor Verification Form. I understand that pursuant to D.C. Official Code § 22-2402, any person convicted of perjury shall be fined not more than \$5,000 or imprisoned for not more than 10 years, or both. I understand that any false or fraudulent statement contained in this Vendor Verification Form may be grounds for revocation of my CBE registration pursuant to D.C. Official Code § 2-218.63. I also understand that failure to complete this Vendor Verification Form properly will result in no credit towards the SBE and CBE Subcontracting Requirements. Further, a Prime Contractor, General Contractor, Developer, CBE, or Certified Joint Venture that fails to comply with the requirements of the Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005 (D.C. Law 16-33) (the "Act") and of the CBE Agreement, shall be subject to penalties as outlined in the Act and the CBE Agreement.

NOTARIZATION

The undersigned, as a duly authorized representative of _____, CBE Company, swears or affirms that the statements made herein are true and correct.

Signature: _____ Title: _____

Print Name: _____ Date: _____

District of Columbia (or State/Commonwealth of _____); to wit:

Signed and sworn to or affirmed before me on this _____ day of _____,

, by _____, who is well known to me or has been sufficiently verified as the person who executed the foregoing affidavit and who acknowledged the same to be his/her free act and deed.

Notary signature: _____

(Seal)

My commission expires: _____

ATTACHMENT #4

**REMINDER NOTICES TO
AGENCIES W/LESS THAN 30% FY 2014 SBE GOAL
AS OF 2ND QUARTER**



MEMORANDUM

TO: District of Columbia Government Agency Directors, CBE Compliance Officers and/or Agency Financial Officers

FROM: Robert Summers, Director, Department of Small and Local Business Development (DSLBD)

CC: Ronnie Edwards, Deputy Director, Department of Small and Local Business Development (DSLBD)

DATE: July 7, 2014

SUBJECT: **Agency SBE Expenditure Goals - Less Than 30% Achieved**

The Department of Small and Local Business Development (DSLBD), as mandated by DC Official Code 2-218.41, 2-218.53 and 2-218.54, and in conjunction with DCMR 27 Chapter 8, is responsible for monitoring agency compliance with meeting the annual goal of spending 50% of their expendable budgets with certified Small Business Enterprises (CSBEs). After a review of agency expenditure reports, it appears that **less than 30% of your agency's fiscal year 2014 SBE goal has been achieved.**

Following receipt of a mid-year review by the Office of the District of Columbia Auditor (ODCA), DSLBD has been scheduled to review and discuss agency SBE expenditures through the 2nd quarter with the Council of the District of Columbia on July 9, 2014. This review will include an explicit overview of agency accomplishments towards meeting established goals including focusing on those agencies that have achieved less than 30% of their 2014 projected goal. While we understand that the third and fourth quarter expenditures have not yet been calculated, DSLBD is taking this opportunity to remind agencies of their obligations to comply with the 50% subcontracting requirement and to see if conditions have changed that may impact your agency's ability to meet its approved goal, or if adjustments to the approved goal are needed.

If your agency is experiencing difficulty finding adequate SBEs, or any assistance/training is necessary, DSLBD will work with your CBE Compliance Officer (CO) /Agency Financial Officer (AFO) in order to help facilitate the process. Please feel free to contact Ronnie Edwards via email at ronnie.edwards2@dc.gov or telephone at 202-727-3900.



MEMORANDUM

TO: Mayor, District of Columbia Government; Council of the District of Columbia; Chairman, Council Committee on Business, Consumer and Regulatory Affairs

FROM: Robert Summers, Director, Department of Small and Local Business Development (DSLBD) *Robert M. Summers*

CC: Ronnie Edwards, Deputy Director, Department of Small and Local Business Development (DSLBD); Auditor, Office of the District of Columbia Auditor

DATE: July 18, 2014

SUBJECT: **Notification of Non-Compliance with CBE Expenditure Reporting through 2nd Quarter of FY14**

This memorandum is to officially inform you that the agencies identified on the attached chart have been notified of their failure to comply with established reporting requirements and are working with DSLBD to correct deficiencies. Responses received thus far are indicated on the attached chart.

In accordance with DC Official Code 2-218.54, and procedures outlined in 27 DCMR Chapter 8, government agencies are required to submit procurement plans and coordinate with DSLBD to establish annual expendable budgets; and are required to submit quarterly SBE Expenditure reports.

The agencies on the attached chart were identified as delinquent in the June 18, 2014 Mid-Year SBE Expenditure Report prepared by Office of the District of Columbia Auditor (ODCA). Each agency has been notified of their delinquent status; reminded about the negative impact non-reporting has on the government's overall goal setting, and the potential implications of continued non-compliance. Agencies were reminded of their obligation to request budget exclusions, submit quarterly reports and coordinate with DSLBD. The responses received thus far from each agency are indicated on the attached chart. Additionally, a special training session has been scheduled for Tuesday, July 22, 2014 in order to answer questions and finalize plans for correcting deficiencies.

DSLBD will continue to work with these agencies in an effort to correct all deficiencies and ensure that 3rd Quarter Reports are submitted in a timely manner.

Attachment



GOVERNMENT OF THE DISTRICT OF COLUMBIA

441 4th Street, NW, Suite 850N ■ Washington, DC 20001 ■ Ph: 202.727.3900 ■ Fax: 202.724.3786
www.dslbd.dc.gov

Agencies Delinquent in SBE Goal Reporting through 2nd Quarter FY14
(According to May 28, 2014 Data Snapshot by DC Auditor)

AGENCY	AGENCY RESPONSE	Council Oversight Committee
Advisory Neighborhood Commission	The Director has contacted DSLBD and is taking necessary steps to comply	Government Operations
City Administrator, Office of the	OCA is now up-to-date with reporting and on target to meet SBE spending goals	Government Operations
Disability Rights, Office of	working with DSLBD to file reports	Committee on Human Services
Education, Deputy Mayor for	working with DSLBD to file reports	Education Committee
Employee Appeals, Office of	working with DSLBD to file reports	Government Operations
Health & Human Services, Deputy Mayor for	A general cluster meeting has been scheduled	Committee on Health
Health Benefit Exchange Authority, D.C.	A meeting has been scheduled for Wednesday, July 16, 2014 to initiate steps to become compliant	Committee on Health
Housing Finance Agency	The Associate Executive Director has contacted DSLBD and is taking necessary steps to comply	Committee on Economic Development
Human Rights, Office of	Delinquent Reports have been filed	Committee on Judiciary and Public Safety
Latino Affairs, Office of	Delinquent Reports have been filed	Committee on Government Operations
Not-for-Profit Hospital Corporation (United Medical Center)	The Interim Chief Executive Officer has contacted DSLBD and is taking necessary steps to comply	Committee on Health
Planning, Office of	Delinquent Reports have been filed	Committee of the Whole
Public Employee Relations Board	working with DSLBD to file reports	Committee on Government Operations
Public Safety and Justice, Office of the Deputy Mayor	working with DSLBD to file reports	Committee on Judiciary and Public Safety
Real Property Tax Appeals Commission	A meeting has been scheduled for Wednesday, July 15, 2014 to initiate steps to become compliant	Committee on Finance
Special Education Transportation	working with DSLBD to file reports	Committee on Education

Edwards, Ronnie (DSLBD)

From: Archer, Ted (DSLBD)
Sent: Friday, July 18, 2014 10:55 AM
To: Gray, Vincent (EOM); Mendelson, Phil (COUNCIL); vorange@dccouncil.us; Bowser, Muriel (COUNCIL); Catania, David A. (COUNCIL); Evans, Jack (COUNCIL); kmcduffie@dccouncil.us; Alexander, Yvette (COUNCIL); Graham, Jim (COUNCIL); Wells, Thomas (COUNCIL); Cheh, Mary (COUNCIL)
Cc: Summers, Robert (DSLBD); Edwards, Ronnie (DSLBD); Perry, Lawrence (ODCA); Branche, Yolanda (ODCA); Lew, Allen (EOM)
Subject: Notification of Non-Compliance with CBE Expenditure Reporting through 2nd Quarter of FY14
Attachments: Council Notice of Non-ComplianceReporting 7-18-14.pdf; FY14 Q2 Agency Report - ODCA Callout.pdf

Sincere Regards,

TED ARCHER, Chief of Staff

DC Department of Small and Local Business Development

441 4th Street, NW | Suite 850N (NEW OFFICE LOCATION) | Washington, DC 20001

Phone: 202-727-3900 | Cell: 202-674-2252 | ted.archer@dc.gov

url: <http://www.dslbd.dc.gov>

twitter: @smallbizdc

Help us Help you! [Provide feedback](#) on DSLBD now at Grade.DC.gov!

DC Public Schools' Beautification Day is on Saturday, August 23! Roll up your sleeves and help beautify our schools. [Sign up](#) to volunteer.

Appendices

Appendix I

Fiscal Year 2014 3rd Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	Total 1 st , 2 nd and 3 rd Quarter SBE Expenditures	% of SBE Goal Achieved
1	Administrative Hearings, Office of	\$9,517,000	\$560,606	\$280,303	\$29,863	\$60,109	\$58,613	\$148,585	53%
2	Advisory Neighborhood Commissions, Office of	\$902,000	\$5,312	\$2,656	\$0	\$0	\$0	\$0	0%
3	Aging, Office on	\$35,006,000	\$2,277,461	\$1,138,731	\$14,217	\$52,721	\$23,460	\$90,398	8%
4	Alcoholic Beverage Regulation Administration	\$7,565,000	\$314,018	\$157,009	\$25,811	\$38,586	\$73,116	\$137,513	88%
5	Arts and Humanities, Commission on	\$13,253,000	\$502,825	\$251,412	\$39,466	\$85,433	\$79,495	\$204,394	81%
6	Asian and Pacific Islander Affairs, Office on	\$1,070,182.31	\$99,341	\$49,671	\$2,528	\$2,991	\$15,473	\$20,992	42%
7	Attorney General for the District of Columbia, Office of the	\$101,248,000	\$1,452,487	\$726,244	\$90,908	\$182,912	\$123,970	\$397,790	55%
8	Auditor, Office of the D.C.	\$4,276,000	\$40,277	\$20,139	\$0	\$871	\$18,014	\$18,885	94%
9	Behavioral Health, Department of	\$240,593,000	\$95,681,456	\$47,840,728	\$601,317	\$722,707	\$1,051,207	\$2,375,231	5%
10	Cable Television, Office of	\$8,464,000	\$372,801	\$186,401	\$0	\$89,651	\$31,662	\$121,313	65%
11	Campaign Finance, Office of	\$2,629,000	\$99,000	\$49,500	\$0	\$7,784	\$14,074	\$21,858	44%
12	Captive Insurance Agency	\$1,802,000	\$9,929	\$4,965	\$0	\$0	\$0	\$0	0%
13	Chief Financial Officer, Office of the	\$145,780,000	\$21,724,857	\$10,862,429	\$1,411,190	\$1,462,646	\$1,570,666	\$4,444,502	41%

Appendix I

Fiscal Year 2014 3rd Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	Total 1 st , 2 nd and 3 rd Quarter SBE Expenditures	% of SBE Goal Achieved
14	Chief Medical Examiner, Office of the	\$8,790,000	\$607,000	\$303,500	\$64,654	\$159,218	\$122,067	\$345,939	114%
15	Chief Technology Officer, Office of the	\$108,865,000	\$50,441,289	\$25,220,645	\$552,250	\$1,053,608	\$696,907	\$2,302,765	9%
16	Child and Family Services Agency	\$237,644,000	\$489,475	\$244,738	\$61,552	\$262,661	\$78,681	\$402,894	165%
17	City Administrator, Office of the	\$5,523,000	\$39,198	\$19,599	\$1,073	\$2,390	\$5,318	\$8,781	45%
18	Consumer & Regulatory Affairs, Department of	\$40,946,000	\$7,860,032	\$3,930,016	\$439,213	\$751,349	\$523,881	\$1,714,443	44%
19	Contract Appeals Board	\$1,059,000	\$11,616	\$5,808	\$0	\$79	\$0	\$79	1%
20	Contracting and Procurement, Office of	\$11,731,000	\$820,774	\$410,387	\$33,672	\$149,823	\$256,888	\$440,383	107%
21	Corrections, Department of	\$151,454,000	\$16,453,165	\$8,226,583	\$284,836	\$636,380	\$755,356	\$1,676,572	20%
22	Disability Rights, Office of	\$1,842,000	\$44,107	\$22,053	\$0	\$424	\$0	\$424	2%
23	Disability Services, Department on	\$95,596,000	\$346,803	\$173,402	\$138,349	\$177,234	\$324,675	\$640,258	369%
24	Education, Deputy Mayor for	\$7,826,000	\$228,763	\$114,381	\$0	\$0	\$0	\$0	0%
25	Elections, Board of	\$6,615,000	\$510,175	\$255,088	\$40,389	\$118,910	\$152,261	\$311,560	122%
26	Employee Appeals, Office of	\$1,480,000	\$128,000	\$64,000	\$4,324	\$8,412	\$12,814	\$25,550	40%
27	Employees' Compensation Fund	\$20,021,000	\$99,588	\$49,794	\$43,551	\$56,547	\$0	\$100,098	201%

Appendix I

Fiscal Year 2014 3rd Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	Total 1 st , 2 nd and 3 rd Quarter SBE Expenditures	% of SBE Goal Achieved
28	Employment Services, Department of	\$156,412,000	\$16,097,354	\$8,048,677	\$73,824	\$176,235	\$363,859	\$613,918	8%
29	Environment, District Department of the	\$102,946,000	\$84,977	\$42,488	\$33,834	\$114,352	\$127,319	\$275,505	648%
30	Ethics and Government Accountability, D.C. Board of	\$1,315,000	\$47,222	\$23,611	\$718	\$16,349	\$1,842	\$18,909	80%
31	Events DC ⁶	\$114,585,000	\$24,594,647	\$12,297,324	\$1,979,892	\$574,930	\$854,552	\$3,409,374	28%
32	Finance and Resource Management, Office of	\$33,591,000	\$117,846	\$58,923	\$3,182	\$4,013	\$4,754	\$11,949	20%
33	Fire and Emergency Medical Services Department	\$227,228,000	\$4,381,288	\$2,190,644	\$252,644	\$1,015,895	\$391,192	\$1,659,731	76%
34	Forensic Sciences, Department of	\$13,268,000	\$1,164,315	\$582,157	\$0	\$6,424	\$44,498	\$50,922	9%
35	General Services, Department of	\$414,605,000	\$105,929,168	\$52,964,584	\$1,763,237	\$9,393,196	\$8,238,479	\$19,394,912	37%
36	Health and Human Services, Deputy Mayor for	\$1,945,000	\$178,382	\$89,191	\$0	\$95,316	\$44,764	\$140,080	157%
37	Health Benefit Exchange Authority, D.C.	\$66,140,000	\$25,656,731	\$12,828,365	\$563,360	\$1,313,410	\$1,581,535	\$3,458,305	27%

⁶ Events DC has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for Events DC.

Appendix I

Fiscal Year 2014 3rd Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	Total 1 st , 2 nd and 3 rd Quarter SBE Expenditures	% of SBE Goal Achieved
38	Health Care Finance, Department of	\$2,759,465,000	\$85,313,429	\$42,656,715	\$47,532	\$450,563	\$978,284	\$1,476,379	3%
39	Health, Department of	\$264,983,000	\$2,505,516	\$1,252,758	\$159,929	\$900,315	\$2,094,926	\$3,155,170	252%
40	Homeland Security and Emergency Management Agency	\$93,893,000	\$82,156	\$41,078	\$119,638	\$574,882	\$828,329	\$1,522,849	3707%
41	Housing Finance Agency ⁷	\$9,689,000	\$3,597,000	\$1,798,500	N/A	N/A	N/A	N/A	N/A
42	Housing and Community Development, Department of	\$207,703,000	\$190,152,332	\$95,076,166	\$29,890	\$168,981	\$289,964	\$488,835	1%
43	Human Resources, D.C. Department of	\$11,724,000	\$1,443,805	\$721,902	\$306,095	\$250,744	\$142,822	\$699,661	97%
44	Human Rights, Office of	\$2,902,000	\$147,948	\$73,974	\$10,245	\$25,434	\$64,023	\$99,702	135%
45	Human Services, Department of	\$396,669,000	\$6,658,750	\$3,329,375	\$71,414	\$330,381	\$296,502	\$698,297	21%
46	Inspector General, Office of the	\$15,948,000	\$92,973	\$46,487	\$20,055	\$38,893	\$36,155	\$95,103	205%
47	Insurance, Securities and Banking, Department of	\$21,662,000	\$321,652	\$160,826	\$24,712	\$4,639	\$14,813	\$44,164	27%
48	Latino Affairs, Office on	\$2,895,000	\$303,884	\$151,942	\$7,332	\$35,102	\$12,936	\$55,370	36%

⁷ The Housing Finance Agency has independent procurement authority and does not record expenditures in SOAR. The Housing Finance Agency did not submit FY 2014 expenditure reports for quarters 1, 2 and 3. Therefore, we were not able to obtain expenditure data for the Housing Finance Agency.

Appendix I

Fiscal Year 2014 3rd Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	Total 1 st , 2 nd and 3 rd Quarter SBE Expenditures	% of SBE Goal Achieved
49	Lottery & Charitable Games Control Board, D.C.	\$253,000,000	\$10,012,911	\$5,006,456	\$563,069	\$1,674,266	\$1,675,847	\$3,913,182	78%
50	Mayor, Executive Office of the	\$12,100,000	\$542,346	\$271,173	\$26,873	\$28,030	\$39,279	\$94,182	35%
51	Metropolitan Police Department	\$526,978,000	\$4,553,876	\$2,276,938	\$659,348	\$914,222	\$743,172	\$2,316,742	102%
52	Motion Picture and Television Development, Office of	\$5,177,154.85	\$235,748	\$117,874	\$4,180	\$20,738	\$24,013	\$48,931	42%
53	Motor Vehicles, Department of	\$42,825,000	\$927,091	\$463,545	\$50,022	\$169,989	\$387,770	\$607,781	131%
54	National Guard, D.C.	\$10,690,000	\$892,394	\$446,197	\$0	\$8,858	\$39,151	\$48,009	11%
55	Not-for-Profit Hospital Corporation (United Medical Center) ⁸	\$110,000,000	\$110,000,000	\$55,000,000	N/A	N/A	N/A	N/A	N/A
56	Parks and Recreation, Department of	\$90,816,000	\$2,534,727	\$1,267,363	\$3,000	\$56,517	\$124,181	\$183,698	14%
57	People's Counsel, Office of the	\$6,566,000	\$744,701	\$372,350	\$20,858	\$40,287	\$70,915	\$132,060	35%
58	Planning and Economic Development, Office of the Deputy Mayor for	\$166,567,000	\$943,770	\$471,885	\$120,415	\$55,034	\$110,035	\$285,484	60%

⁸ The Not-for-Profit Hospital Corporation (United Medical Center) has independent procurement authority and does not record expenditures in SOAR. The Not-for-Profit Hospital Corporation (United Medical Center) did not submit FY 2014 expenditure reports for quarters 1, 2 and 3. Therefore, we were not able to obtain expenditure data for the Not-for-Profit Hospital Corporation (United Medical Center).

Appendix I

Fiscal Year 2014 3rd Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	Total 1 st , 2 nd and 3 rd Quarter SBE Expenditures	% of SBE Goal Achieved
59	Planning, Office of	\$14,465,000	\$135,218	\$67,609	\$13,075	\$19,736	\$18,564	\$51,375	76%
60	Police Complaints, Office of	\$2,110,000	\$34,646	\$17,323	\$0	\$3,291	\$5,857	\$9,148	53%
61	Public Charter School Board, District of Columbia ⁹	\$4,209,000	\$244,074	\$122,037	\$22,972	\$15,550	\$6,254	\$44,776	37%
62	Public Employee Relations Board	\$1,162,000	\$6,145	\$3,073	\$1,207	\$4,091	\$1,069	\$6,367	207%
63	Public Library, District of Columbia	\$66,430,000	\$15,016,679	\$7,508,339	\$222,302	\$677,542	\$991,149	\$1,890,993	25%
64	Public Safety and Justice, Office of the Deputy Mayor for	\$25,501,000	\$10,367,567	\$5,183,784	\$1,329	\$18,743	\$14,480	\$34,552	1%
65	Public Schools, D.C.	\$1,285,573,000	\$9,450,002	\$4,725,001	\$2,491,339	\$1,929,094	\$1,131,199	\$5,551,632	117%
66	Public Service Commission	\$11,951,000	\$692,023	\$346,012	\$55,795	\$100,832	\$122,210	\$278,837	81%
67	Public Works, Department of	\$149,949,000	\$33,458,351	\$16,729,176	\$1,044,534	\$4,320,720	\$1,322,314	\$6,687,568	40%
68	Real Property Tax Appeals Commission	\$1,684,000	\$203,729	\$101,864	\$1,611	\$1,695	\$3,331	\$6,637	7%
69	Retirement Board, D.C. ¹⁰	\$30,338,000	\$796,695	\$398,348	\$71,573	\$213,120	\$145,606	\$430,299	108%
70	Risk Management, D.C. Office of	\$2,946,000	\$23,789	\$11,895	\$0	\$2,028	\$1,105	\$3,133	26%

⁹ The District of Columbia Public Charter School Board has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for the District of Columbia Public Charter School Board.

¹⁰ The D.C. Retirement Board has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for the D.C. Retirement Board.

Appendix I

Fiscal Year 2014 3rd Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	Total 1 st , 2 nd and 3 rd Quarter SBE Expenditures	% of SBE Goal Achieved
71	Secretary, Office of the	\$7,266,000	\$4,151,422	\$2,075,711	\$14,365	\$17,083	\$18,499	\$49,947	2%
72	Small and Local Business Development, Department of	\$8,155,000	\$74,321	\$37,161	\$5,170	\$272	\$9,491	\$14,933	40%
73	Special Education Transportation	\$94,029,000	\$19,580,929	\$9,790,464	\$22,036	\$467,871	\$396,790	\$886,697	9%
74	State Superintendent of Education, Office of the	\$438,685,000	\$1,768,112	\$884,056	\$443,357	\$742,496	\$1,081,970	\$2,267,823	257%
75	Taxicab Commission, D.C.	\$4,200,000	\$1,350,000	\$675,000	\$22,905	\$2,342	\$24,520	\$49,767	7%
76	Tenant Advocate, Office of the	\$2,132,000	\$92,528	\$46,264	\$0	\$727	\$8,875	\$9,602	21%
77	Transportation, Department of	\$497,702,000	\$11,488,616	\$5,744,308	\$34,083	\$358,760	\$449,333	\$842,176	15%
78	Unified Communications, Office of	\$75,014,000	\$9,896,085	\$4,948,042	\$36,088	\$89,228	\$87,662	\$212,978	4%
79	University of the District of Columbia ¹¹	\$159,343,000	\$66,870,000	\$33,435,000	\$315,129	\$70,796	\$1,895,687	\$2,281,612	7%
80	Veterans' Affairs, Office of	\$391,000	\$13,465	\$6,733	\$0	\$0	\$348	\$348	5%
81	Youth Rehabilitation Services, Department of	\$107,226,000	\$2,651,231	\$1,325,616	\$265,701	\$412,035	\$458,890	\$1,136,626	86%
82	Zoning, Office of	\$2,827,000	\$359,557	\$179,779	\$42,794	\$93,466	\$50,118	\$186,378	104%

¹¹ The University of the District of Columbia has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for the University of the District of Columbia.

Appendix I

Fiscal Year 2014 3rd Quarter Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

	Agency	Reported Appropriated Budget	Reported Expendable Budget	Reported SBE Goal	1 st Quarter SBE Expenditures	2 nd Quarter SBE Expenditures	3 rd Quarter SBE Expenditures	Total 1 st , 2 nd and 3 rd Quarter SBE Expenditures	% of SBE Goal Achieved
	TOTAL	\$10,409,072,337	\$990,204,474	\$495,102,237	\$15,921,826	\$34,102,959	\$33,859,830	\$83,884,615	17%

SOURCE: QuickBase data as of August 14, 2014. SOAR, P-Card and CBE Online data as of August 5, 2014. All numbers are rounded to the nearest dollar.

Appendix II

Fiscal Year 2014 3rd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
1	Administrative Hearings, Office of	\$148,585	\$148,585	\$48,372	\$114,199	\$87,564	\$100,213	\$0	\$0
2	Advisory Neighborhood Commissions, Office of	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
3	Aging, Office on	\$90,398	\$90,398	\$34,917	\$5,253	\$60,729	\$51,244	\$0	\$0
4	Alcoholic Beverage Regulation Administration	\$137,513	\$137,513	\$729	\$137,513	\$126,931	\$136,784	\$0	\$0
5	Arts and Humanities, Commission on	\$206,010	\$204,394	\$0	\$204,394	\$3,920	\$202,090	\$0	\$0
6	Asian and Pacific Islander Affairs, Office on	\$20,992	\$20,992	\$11,573	\$13,623	\$1,060	\$0	\$0	\$0
7	Attorney General for the District of Columbia, Office of the	\$417,192	\$397,790	\$228,463	\$256,888	\$188,352	\$101,433	\$0	\$41,718
8	Auditor, Office of the D.C.	\$18,885	\$18,885	\$17,600	\$1,208	\$1,208	\$17,600	\$0	\$0
9	Behavioral Health, Department of	\$2,380,138	\$2,375,231	\$357,926	\$931,144	\$593,465	\$427,488	\$0	\$2,019
10	Cable Television, Office of	\$121,313	\$121,313	\$118,551	\$118,852	\$118,317	\$885	\$0	\$0

Appendix II

Fiscal Year 2014 3rd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
11	Campaign Finance, Office of	\$21,858	\$21,858	\$21,858	\$21,858	\$7,784	\$0	\$0	\$0
12	Captive Insurance Agency	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
13	Chief Financial Officer, Office of the	\$5,056,386	\$4,444,502	\$1,573,438	\$2,326,246	\$1,157,544	\$559,679	\$0	\$0
14	Chief Medical Examiner, Office of the	\$345,939	\$345,939	\$170,486	\$330,189	\$183,705	\$161,440	\$0	\$14,482
15	Chief Technology Officer, Office of the	\$13,945,098	\$2,302,765	\$2,243,611	\$12,870,656	\$2,020,772	\$88,347	\$0	\$29,147
16	Child and Family Services Agency	\$732,276	\$402,894	\$178,839	\$550,892	\$125,178	\$68,169	\$0	\$8,200
17	City Administrator, Office of the	\$8,781	\$8,781	\$3,890	\$1,631	\$3,890	\$4,891	\$0	\$0
18	Consumer & Regulatory Affairs, Department of	\$1,736,034	\$1,714,443	\$1,516,731	\$1,357,351	\$1,461,974	\$1,228,221	\$0	\$9,500
19	Contract Appeals Board	\$79	\$79	\$0	\$79	\$0	\$79	\$0	\$0
20	Contracting and Procurement, Office of	\$440,383	\$440,383	\$317,171	\$87,587	\$320,540	\$260,963	\$0	\$0
21	Corrections, Department of	\$1,681,404	\$1,676,572	\$667,171	\$1,261,540	\$785,725	\$657,258	\$0	\$4,979

Appendix II

Fiscal Year 2014 3rd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
22	Disability Rights, Office of	\$424	\$424	\$0	\$424	\$0	\$424	\$0	\$0
23	Disability Services, Department on	\$664,610	\$640,258	\$178,976	\$286,201	\$583,926	\$9,867	\$0	\$344,074
24	Education, Deputy Mayor for	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0
25	Elections, Board of	\$311,560	\$311,560	\$141,336	\$238,079	\$143,221	\$39,170	\$0	\$74,999
26	Employee Appeals, Office of	\$25,550	\$25,550	\$0	\$468	\$468	\$3,545	\$0	\$7,041
27	Employees' Compensation Fund	\$100,098	\$100,098	\$0	\$79,084	\$100,000	\$98	\$0	\$0
28	Employment Services, Department of	\$1,606,352	\$613,918	\$404,799	\$1,351,581	\$262,347	\$154,298	\$0	\$120,614
29	Environment, District Department of the	\$457,207	\$275,505	\$93,680	\$332,477	\$73,766	\$116,156	\$0	\$1,257
30	Ethics and Government Accountability, D.C. Board of	\$18,909	\$18,909	\$16,620	\$17,698	\$0	\$0	\$0	\$1,211
31	Events DC ¹²	\$3,410,664	\$3,409,374	\$2,295,927	\$1,660,300	\$709,423	\$493,540	\$0	\$1,186

¹² Events DC has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for Events DC.

Appendix II

Fiscal Year 2014 3rd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
32	Finance and Resource Management, Office of	\$11,949	\$11,949	\$2,539	\$2,539	\$2,539	\$9,410	\$0	\$0
33	Fire and Emergency Medical Services Department	\$1,659,731	\$1,659,731	\$760,560	\$1,528,829	\$581,922	\$901,302	\$0	\$99,565
34	Forensic Sciences, Department of	\$50,922	\$50,922	\$27,967	\$50,922	\$23,168	\$22,955	\$0	\$0
35	General Services, Department of	\$23,207,873	\$19,394,912	\$14,795,334	\$17,764,142	\$8,295,360	\$6,844,292	\$193,418	\$333,804
36	Health and Human Services, Deputy Mayor for	\$140,080	\$140,080	\$136,524	\$140,080	\$136,524	\$3,556	\$0	\$0
37	Health Benefit Exchange Authority, D.C.	\$3,939,664	\$3,458,305	\$3,404,078	\$3,917,274	\$2,348,595	\$49,582	\$0	\$0
38	Health Care Finance, Department of	\$2,193,073	\$1,476,379	\$597,809	\$1,754,459	\$202,890	\$878,570	\$0	\$0
39	Health, Department of	\$3,972,899	\$3,155,170	\$2,395,057	\$3,476,681	\$955,194	\$1,194,324	\$0	\$83,020
40	Homeland Security and Emergency Management Agency	\$2,018,624	\$1,522,849	\$1,400,161	\$1,891,362	\$1,502,551	\$18,729	\$0	\$105,157

Appendix II

Fiscal Year 2014 3rd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
41	Housing Finance Agency ¹³	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
42	Housing and Community Development, Department of	\$499,335	\$488,835	\$309,803	\$354,827	\$381,895	\$57,175	\$0	\$5,418
43	Human Resources, D.C. Department of	\$699,661	\$699,661	\$417,027	\$666,128	\$62,295	\$30,775	\$0	\$0
44	Human Rights, Office of	\$99,702	\$99,702	\$56,657	\$28,156	\$37,325	\$62,297	\$0	\$0
45	Human Services, Department of	\$851,048	\$698,297	\$380,447	\$619,568	\$307,461	\$301,555	\$0	\$44,977
46	Inspector General, Office of the	\$95,103	\$95,103	\$80,494	\$87,840	\$21,032	\$18,785	\$0	\$0
47	Insurance, Securities and Banking, Department of	\$44,164	\$44,164	\$29,568	\$29,140	\$26,953	\$8,103	\$0	\$0
48	Latino Affairs, Office on	\$55,370	\$55,370	\$55,370	\$55,370	\$55,370	\$0	\$0	\$0
49	Lottery & Charitable Games Control Board, D.C.	\$4,306,381	\$3,913,182	\$147,959	\$422,100	\$10,009	\$3,889,444	\$0	\$0
50	Mayor, Executive Office of the	\$94,182	\$94,182	\$22,639	\$47,082	\$22,358	\$63,283	\$0	\$1,415

¹³ The Housing Finance Agency has independent procurement authority and does not record expenditures in SOAR. The Housing Finance Agency did not submit FY 2014 expenditure reports for quarters 1, 2 and 3. Therefore, we were not able to obtain expenditure data for the Housing Finance Agency.

Appendix II

Fiscal Year 2014 3rd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
51	Metropolitan Police Department	\$3,876,689	\$2,316,742	\$640,749	\$3,539,522	\$674,381	\$1,152,731	\$0	\$2,413
52	Motion Picture and Television Development, Office of	\$48,931	\$48,931	\$0	\$288	\$48,931	\$0	\$0	\$48,643
53	Motor Vehicles, Department of	\$607,781	\$607,781	\$221,703	\$86,497	\$417,021	\$121,155	\$0	\$30,986
54	National Guard, D.C.	\$48,009	\$48,009	\$8,678	\$47,932	\$7,458	\$31,873	\$0	\$0
55	Not-for-Profit Hospital Corporation (United Medical Center) ¹⁴	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
56	Parks and Recreation, Department of	\$215,618	\$183,698	\$172,752	\$185,844	\$95,413	\$48,112	\$0	\$0
57	People's Counsel, Office of the	\$132,060	\$132,060	\$36,309	\$83,942	\$38,379	\$39,776	\$0	\$0
58	Planning and Economic Development, Office of the Deputy Mayor for	\$326,617	\$285,484	\$201,620	\$230,217	\$133,169	\$29,547	\$0	\$0

¹⁴ The Not-for-Profit Hospital Corporation (United Medical Center) has independent procurement authority and does not record expenditures in SOAR. The Not-for-Profit Hospital Corporation (United Medical Center) did not submit FY 2014 expenditure reports for quarters 1, 2 and 3. Therefore, we were not able to obtain expenditure data for the Not-for-Profit Hospital Corporation (United Medical Center).

Appendix II

Fiscal Year 2014 3rd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
59	Planning, Office of	\$51,375	\$51,375	\$0	\$34,518	\$0	\$34,518	\$0	\$16,857
60	Police Complaints, Office of	\$9,948	\$9,148	\$4,143	\$4,143	\$0	\$0	\$0	\$0
61	Public Charter School Board, District of Columbia ¹⁵	\$44,776	\$44,776	\$0	\$0	\$0	\$0	\$0	\$0
62	Public Employee Relations Board	\$6,367	\$6,367	\$0	\$342	\$342	\$1,853	\$0	\$4,172
63	Public Library, District of Columbia	\$1,958,393	\$1,890,993	\$1,082,808	\$1,840,412	\$524,749	\$608,279	\$0	\$5,797
64	Public Safety and Justice, Office of the Deputy Mayor for	\$34,552	\$34,552	\$27,998	\$18,648	\$22,705	\$6,554	\$0	\$0
65	Public Schools, D.C.	\$5,551,632	\$5,551,632	\$2,445,701	\$3,243,941	\$1,683,138	\$2,713,187	\$770	\$10,191
66	Public Service Commission	\$278,837	\$278,837	\$90,229	\$248,014	\$123,547	\$111,488	\$0	\$0
67	Public Works, Department of	\$6,953,019	\$6,687,568	\$3,378,920	\$6,400,865	\$2,442,076	\$3,826,537	\$0	\$0
68	Real Property Tax Appeals Commission	\$6,637	\$6,637	\$0	\$2,078	\$2,078	\$4,559	\$0	\$0

¹⁵ The District of Columbia Public Charter School Board has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for the District of Columbia Public Charter School Board.

Appendix II

Fiscal Year 2014 3rd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
69	Retirement Board, D.C. ¹⁶	\$430,299	\$430,299	\$357,636	\$277,564	\$154,656	\$27,048	\$0	\$45,615
70	Risk Management, D.C. Office of	\$3,133	\$3,133	\$0	\$0	\$0	\$1,378	\$0	\$0
71	Secretary, Office of the	\$49,947	\$49,947	\$13,165	\$13,786	\$0	\$16,510	\$0	\$0
72	Small and Local Business Development, Department of	\$14,933	\$14,933	\$9,491	\$9,491	\$10,244	\$5,216	\$0	\$0
73	Special Education Transportation	\$1,026,023	\$886,697	\$404,543	\$536,784	\$362,997	\$475,462	\$0	\$0
74	State Superintendent of Education, Office of the	\$3,267,916	\$2,267,823	\$1,962,529	\$1,514,526	\$1,413,537	\$628,103	\$0	\$0
75	Taxicab Commission, D.C.	\$49,767	\$49,767	\$42,525	\$49,599	\$17,263	\$6,479	\$0	\$0
76	Tenant Advocate, Office of the	\$9,602	\$9,602	\$3,094	\$9,602	\$3,094	\$6,508	\$0	\$0
77	Transportation, Department of	\$1,074,329	\$842,176	\$576,439	\$552,695	\$169,437	\$74,746	\$0	\$39,288
78	Unified Communications, Office of	\$1,409,450	\$212,978	\$189,082	\$1,303,425	\$163,068	\$27,277	\$0	\$603

¹⁶ The D.C. Retirement Board has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for the D.C. Retirement Board.

Appendix II

Fiscal Year 2014 3rd Quarter Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

	Agency	LBE	SBE	DBE	DZE	ROB	LRB	LME	VOB
79	University of the District of Columbia ¹⁷	\$2,350,290	\$2,281,612	\$1,404,048	\$1,982,369	\$877,976	\$194,506	\$0	\$205,407
80	Veterans' Affairs, Office of	\$348	\$348	\$348	\$348	\$348	\$0	\$0	\$0
81	Youth Rehabilitation Services, Department of	\$1,136,626	\$1,136,626	\$753,793	\$949,963	\$258,240	\$340,889	\$0	\$6,305
82	Zoning, Office of	\$206,717	\$186,378	\$57,180	\$178,834	\$162,809	\$107,329	\$0	\$0
	TOTAL	\$109,225,020	\$83,884,615	\$49,748,140	\$80,740,103	\$33,902,306	\$29,879,639	\$194,188	\$1,750,060

SOURCE: SOAR, P-Card and CBE Online data as of August 5, 2014. All numbers are rounded to the nearest dollar.

¹⁷ The University of the District of Columbia has independent procurement authority and does not record expenditures in SOAR. Therefore, we were not able to independently verify the submitted expenditure data for the University of the District of Columbia.

Appendix III

Agencies That Failed to Submit 1st, 2nd and/or 3rd Quarter FY 2014 Expenditure Reports, as of August 14, 2014

	Agency	1 st Quarter Report Received	2 nd Quarter Report Received	3 rd Quarter Report Received
1	Disability Rights, Office of	No	No	No
2	Employee Appeals, Office of	No	No	No
3	Housing Finance Agency	No	No	No
4	Not-for-Profit Hospital Corporation (United Medical Center)	No	No	No
5	Taxicab Commission, D.C.	Yes	Yes	No
6	Tenant Advocate, Office of	Yes	Yes	No