



**District of Columbia Agencies' Compliance with  
Fiscal Year 2016 Small Business Enterprise  
Expenditure Goals**

June 28, 2017

**Audit Team:**

Lawrence Perry, Deputy Auditor

Lilai Gebreselassie, Supervisory Auditor

A Report by the Office of the District of Columbia Auditor  
Kathleen Patterson, District of Columbia Auditor

June 28, 2017

The Honorable Phil Mendelson, Chairman  
Council of the District of Columbia  
1350 Pennsylvania Ave., N.W.  
Suite 504  
Washington, DC 20004

**Letter Report: District of Columbia Agencies' Compliance with Fiscal Year 2016 Small Business Enterprise Expenditure Goals**

Dear Chairman Mendelson:

The Office of the District of Columbia Auditor (ODCA) examined and assessed District of Columbia government agencies' compliance with fiscal year (FY) 2016 Small Business Enterprise (SBE) expenditure goals (October 1, 2015 through September 30, 2016).<sup>1</sup>

**Objectives, Scope and Methodology**

**Objectives**

The objectives of this examination were to determine whether:

1. District agencies met the required goal of procuring 50 percent of their expendable budgets with SBEs in FY 2016.<sup>2</sup>
2. District agencies complied with SBE goal establishment and expenditure reporting requirements.<sup>3</sup>

**Scope**

The examination covered the period October 1, 2015, through September 30, 2016. We did not conduct the examination as an audit as defined by the Government Accountability Office's Government Auditing Standards.

**Methodology**

In conducting this examination, we reviewed 88 agencies, offices or programs<sup>4</sup> that the Department of Small and Local Business Development (DSLBD) determined were required to: 1) procure 50 percent of their expendable budget for goods and services from SBEs each fiscal year; and 2) submit quarterly SBE expenditure reports as well as an annual report to DSLBD and ODCA. We reviewed appropriated

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<sup>1</sup> See D.C. Official Code § 2-218.41.

<sup>2</sup> Ibid.

<sup>3</sup> See D.C. Official Code § 2-218.53(a) and (b).

<sup>4</sup> DSLBD Quick Base Data Tracking System as of April 20, 2017

budgets, expendable budgets, and reported SBE goals for each agency. We also verified vendors in DSLBD's CBE Online System and reviewed DSLBD's Quick Base data tracking system.

For 82 of the 88 agencies, we reviewed SBE expenditures using the District's System of Accounting and Reporting (SOAR) and the Office of Contracting and Procurement's Purchase Card (P-Card) data. Six of the 88 agencies do not record expenditures in SOAR, using financial systems independent of SOAR to record their expenditures. As a result, no quarterly expenditures could be retrieved from SOAR for these six agencies. Therefore, we were not able to independently verify the submitted expenditure data for the following agencies.

1. Events DC
2. Housing Finance Agency
3. Not-for-Profit Hospital Corporation (United Medical Center)
4. D.C. Public Charter School Board
5. D.C. Retirement Board
6. The University of the District of Columbia

For the agencies that submitted required quarterly reports, we verified that the submitted expenditures were spent with certified SBEs at the time of the expenditure.

## Background

The Small, Local, and Disadvantaged Business Enterprise Development and Assistance Act of 2005 (the Act), as amended, states that "it shall be the goal and responsibility of the Department [of Small and Local Business Development] to stimulate and foster the economic growth and development of businesses based in the District of Columbia, particularly certified business enterprises, with the intended goals of:

- (A) Stimulating and expanding the local tax base of the District of Columbia;
- (B) Increasing the number of viable employment opportunities for District residents; and
- (C) Extending economic prosperity to local business owners, their employees, and the communities they serve."

The Act tasked DSLBD with providing "advocacy, business development programs, and technical assistance offerings" that will "maximize opportunities for certified business enterprises to participate in:

- (A) The District's contracting and procurement process;
- (B) The District's economic development activities; and
- (C) Federal and private sector business opportunities."<sup>5</sup>

The Act also established the following categories of businesses: Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran-Owned Business Enterprises (VOBs).<sup>6</sup>

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<sup>5</sup> See D.C. Official Code §2-218.13(a).

<sup>6</sup> See D.C. Official Code §2-218.31 et seq.

The Act required each District agency to contract 50 percent of the dollar volume of its goods and services to SBEs each fiscal year.<sup>7</sup> Additionally, it required agencies to submit quarterly SBE expenditure reports to DSLBD and ODCA.<sup>8</sup> The Act contained no expenditure requirements related to LBEs, DBEs, DZEs, ROBs, LRBs, LMEs, or VOBs.

To establish FY 2016 SBE goals, DSLBD required each agency to access Quick Base, DSLBD's electronic database containing all budget, SBE goal, and agency-reported SBE expenditure data. DSLBD asked each agency to document, as an exclusion in Quick Base, the items in their appropriated budget that the agency could not reasonably be expected to obtain from SBEs. Upon DSLBD's approval, the Quick Base system subtracted the exclusions from the agency's appropriated budget to determine the agency's expendable budget. To determine the SBE goal, the Quick Base system multiplied the expendable budget by 50 percent.

### **Results of the Auditor's examination show a 40% increase in District expenditures with SBEs**

According to DSLBD's Quick Base database as of April 20, 2017, the 88 agencies we assessed had a total FY 2016 reported appropriated budget of \$11,271,883,359 and a total reported expendable budget for goods and services of \$1,212,714,494. Based on the expendable budget of \$1,212,714,494 the FY 2016 SBE expenditure goal for the 88 agencies was \$606,357,247.<sup>9</sup>

The 88 agencies' FY 2016 reported appropriated budgets, expendable budgets and reported SBE goals are listed in Appendix A.

### **FY 2016 expenditure results**

We found that the 88 agencies, as a whole, spent a total of \$257,103,467 with SBEs in FY 2016 or 42 percent towards the \$606,357,247 total FY 2016 SBE expenditure goal for all of the 88 agencies. The amount that these agencies spent with SBEs in FY 2016 at \$257,103,467, represents a 40% increase over the \$183,870,694 that the District spent with SBEs in FY 2015. The FY 2016 SBE expenditures for each of the 88 agencies are listed in Appendix A.

Total FY 2016 expenditures of the 88 agencies on Local Business Enterprises, Small Business Enterprises, Disadvantaged Business Enterprises, Local Business Enterprises with Principle Offices Located in an Enterprise Zone, Resident-Owned Businesses, Longtime Resident Businesses, Local Manufacturing Enterprises, and Veteran Owned Businesses are presented in Appendix B.

### **Expenditure totals as reported by DSLBD continue to differ from ODCA's calculation of each agency's expenditure totals**

Both ODCA and DSLBD track agency SBE expenditures throughout the fiscal year. During FY 2016 DSLBD made significant changes to its process for the collection and processing of expenditure data for each monitored agency. DSLBD updated its Quick Base application to accumulate SBE expenditures on behalf of each agency, with agencies now reviewing and approving their SBE expenditures directly in Quick

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<sup>7</sup> See D.C. Official Code § 2-218.41.

<sup>8</sup> See D.C. Official Code §2-218.53(a) and (b).

<sup>9</sup> This report reflects all changes to appropriated and expendable budgets, as well as SBE expenditure goals, as of April 20, 2017.

Base for each quarter. However, differences remain between expenditure totals as compiled by DSLBD and expenditure totals as verified through SOAR and P-Card data by ODCA.

For example, for the following two agencies, ODCA's analysis of how much each agency spent with SBEs in FY 2016 was higher than the total reported by DSLBD.

| Agency              | Spent (per DSLBD) | Spent (per ODCA) | Difference |
|---------------------|-------------------|------------------|------------|
| Office on Aging     | \$2,305,422       | \$2,813,680      | \$508,258  |
| D.C. National Guard | \$765,885         | \$1,163,951      | \$398,066  |

We also found that for some agencies, DSLBD reported higher total dollar amounts spent with SBEs than ODCA was able to confirm. For example, the totals included below for the D.C. Health Benefit Exchange Authority and The Department on Disability Services show significant differences between DSLBD's reported totals, and ODCA's calculation of those same totals.

| Agency                                 | Spent (per DSLBD) | Spent (per ODCA) | Difference    |
|----------------------------------------|-------------------|------------------|---------------|
| D.C. Health Benefit Exchange Authority | 28,817,150        | \$27,781,900     | (\$1,035,250) |
| Department on Disability Services      | 3,417,237         | \$1,491,953      | (\$1,925,284) |

Our full analysis of the differences between expenditures as reported by DSLBD and expenditures as calculated by ODCA are listed in Appendix C.<sup>10</sup>

### **DSLBD's goal-setting process is improving**

As previously stated, to establish FY 2016 SBE goals, DSLBD required each agency to access Quick Base, DSLBD's electronic database containing all budget, SBE goal, and agency-reported SBE expenditure data. DSLBD asked each agency to document, as an exclusion in Quick Base, the items in their appropriated budget that the agency could not reasonably be expected to obtain from SBEs. Upon DSLBD's approval, the Quick Base system subtracted the exclusions from the agency's appropriated budget to determine the agency's expendable budget. To determine the SBE goal, the Quick Base system multiplied the expendable budget by 50 percent.

We found that several agencies exceeded their FY 2016 SBE goal by excessively large amounts. The excessive amount by which some agencies exceeded their SBE goals raises questions about the validity of the declared expendable budgets of certain agencies. We found that seven agencies achieved over 200% of their SBE goal. This is a recurring issue that we have addressed in previous reports. This recurring finding indicates that there may be an ongoing issue with DSLBD's goal-setting process. We will continue to monitor DSLBD's goal-setting process in FY 2017.

<sup>10</sup> Six of the 88 agencies required by DSLBD to report SBE expenditures do not record expenditures in SOAR, using financial systems independent of SOAR to record their expenditures. As a result, no quarterly expenditures could be retrieved from SOAR for these six agencies. Therefore, we were not able to independently verify the submitted expenditure data submitted by these six agencies.

We did however find that DSLBD made some minor changes to the District's FY 2016 SBE expenditure goal during the fiscal year, indicating that the District appears to be improving its ability to realistically set each agency's SBE expenditure goal. In FY 2016 the goal was reduced from \$621,772,566, as noted in ODCA's analysis of the District's SBE Expenditures for the 3rd quarter, to the final total of \$606,357,247. This is an improvement over FY 2015, when the goal was adjusted downward by \$31 million, and a substantial improvement over the \$266 million downward adjustment recorded in the 4<sup>th</sup> quarter of FY 2014.

### **Recommendation**

Within 30 days of the release of this report, DSLBD should review all FY 2017 SBE expenditure goals to ensure that they are realistic.

### **Procurement Supplemental Information Analysis**

D.C. Official Code required each District agency to submit the following procurement supplemental information with their FY 2016 SBE expenditure report:

1. A description of the activities the agency engaged in to achieve their FY 2016 SBE expenditure goal.
2. A description of any changes the agency intends to make during FY 2017 to achieve their SBE expenditure goal.<sup>11</sup>

Of the 88 agencies, 39 provided DSLBD with a description of the changes, if any, that they would make during FY 2017 to achieve their expenditure goals, and 49 agencies did not submit this required procurement supplemental information in Quick Base<sup>12</sup>. None of the 88 agencies provided DSLBD with a description of the activities that they engaged in to achieve their FY 2016 goal as DSLBD is making changes to its Quick Base application and the application, as of June 8, 2017, does not yet allow for agencies to submit this information.

Appendix D summarizes (1) the supplemental procurement information from the 39 agencies that provided a description of the changes, if any, that they would make during FY 2017 to achieve their expenditure goals, and (2) our recommendation on whether the 39 agencies should meet with DSLBD to identify additional opportunities to spend funds with SBEs.

Appendix E lists the 49 agencies that did not submit any supplemental procurement information, all of which ODCA believes should meet with DSLBD to ensure that they are on track to meet their FY 2017 SBE expenditure goals.

### **Recommendation**

DSLBD should update its Quick Base application to allow for District agencies to submit a description of the activities that they engaged in to achieve their SBE expenditure goals.

### **FY 2017 Procurement Plan Analysis**

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<sup>11</sup> See D.C. Official Code §2-218.53(b). .

<sup>12</sup> This report reflects all changes to procurement supplemental information as of April 20, 2017.

District of Columbia Municipal Regulations (DCMR) requires agencies to submit procurement plans to DSLBD one month prior to the beginning of each fiscal year.<sup>13</sup>

Of the 88 agencies, 65 agencies submitted a FY 2017 procurement plan and 23 agencies did not submit a FY 2017 procurement plan. The 65 agencies that submitted a procurement plan are listed in Appendix F. The 23 agencies that did not submit a procurement plan are presented in Appendix G. However, it should be noted that in FY 2017, independent agencies were asked to submit their procurement plans to the Office of Contracting and Procurement (OCP). As of June 8, 2017, DSLBD had not yet obtained the procurement plans for those independent agencies and stored them in its Quick Base application.

### **Recommendation**

In accordance with DCMR requirements, the DSLBD Director should report agencies that do not submit procurement plans to the City Administrator within 30 days of the start of the fiscal year. For those agencies that submitted their procurement plans to OCP, DSLBD should obtain their procurement plans and store them in Quick Base.<sup>14</sup>

### **Conclusion**

Based on our examination, we found that 88 agencies collectively spent \$257,103,467 with SBEs in FY 2016 and achieved 42 percent of the cumulative reported SBE goal of \$606,357,247. Of the 88 agencies, 37 agencies met or exceeded their FY 2016 expenditure goal.

Sincerely yours,



Kathleen Patterson  
District of Columbia Auditor

cc: Betsy Cavendish, EOM

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<sup>13</sup> See DCMR Title 27, Section 832.1

<sup>14</sup> See DCMR Title 27, Section 832.2

## Appendices

## Appendix A

### FY 2016 Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

|    | Agency                                                       | Reported Appropriated Budget | Reported Expendable Budget | Reported SBE Goal | 1 <sup>st</sup> Quarter SBE Expenditures | 2 <sup>nd</sup> /3 <sup>rd</sup> Quarter SBE Expenditures | 4 <sup>th</sup> Quarter SBE Expenditures | Total FY 2016 SBE Expenditures | % of SBE Goal Achieved |
|----|--------------------------------------------------------------|------------------------------|----------------------------|-------------------|------------------------------------------|-----------------------------------------------------------|------------------------------------------|--------------------------------|------------------------|
| 1  | Administrative Hearings, Office of                           | \$10,670,075                 | \$503,781                  | \$251,891         | \$2,848                                  | \$84,461                                                  | \$65,769                                 | \$153,078                      | 61%                    |
| 2  | Advisory Neighborhood Commissions, Office of                 | \$924,616                    | \$2,667                    | \$1,334           | \$0                                      | \$0                                                       | \$0                                      | \$0                            | 0%                     |
| 3  | Aging, Office on                                             | \$43,641,837                 | \$3,413,510                | \$1,706,755       | \$450,230                                | \$1,122,690                                               | \$1,240,760                              | \$2,813,680                    | 165%                   |
| 4  | Alcoholic Beverage Regulation Administration                 | \$7,487,729                  | \$745,786                  | \$372,893         | \$4,890                                  | \$258,059                                                 | \$66,063                                 | \$329,012                      | 88%                    |
| 5  | Arts and Humanities, Commission on                           | \$15,744,069                 | \$686,003                  | \$343,001         | \$96,343                                 | \$322,322                                                 | \$334,724                                | \$753,389                      | 220%                   |
| 6  | Asian and Pacific Islander Affairs, Office on                | \$1,201,738                  | \$25,013                   | \$12,506          | \$1,137                                  | \$8,453                                                   | \$94,300                                 | \$103,890                      | 831%                   |
| 7  | Attorney General for the District of Columbia, Office of the | \$81,669,248                 | \$2,915,839                | \$1,457,919       | \$190,443                                | \$284,206                                                 | \$686,553                                | \$1,161,202                    | 80%                    |
| 8  | Auditor, Office of the D.C.                                  | \$4,137,011                  | \$142,694                  | \$71,347          | \$769                                    | \$924                                                     | \$72,429                                 | \$74,122                       | 104%                   |
| 9  | Behavioral Health, Department of                             | \$248,590,601                | \$24,870,371               | \$12,435,185      | \$960,700                                | \$3,416,960                                               | \$3,716,805                              | \$8,094,465                    | 65%                    |
| 10 | Campaign Finance, Office of                                  | \$2,669,735                  | \$138,119                  | \$69,059          | \$0                                      | \$35,602                                                  | \$49,946                                 | \$85,548                       | 124%                   |
| 11 | Captive Insurance Agency                                     | \$6,430,466                  | \$4,145                    | \$2,073           | \$0                                      | \$0                                                       | \$2,280                                  | \$2,280                        | 110%                   |
| 12 | Chief Financial Officer, Office of the                       | \$180,949,480                | \$27,982,307               | \$13,991,154      | \$1,092,067                              | \$4,520,532                                               | \$2,509,433                              | \$8,122,032                    | 58%                    |

## Appendix A

### FY 2016 Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

|    | Agency                                       | Reported Appropriated Budget | Reported Expendable Budget | Reported SBE Goal | 1 <sup>st</sup> Quarter SBE Expenditures | 2 <sup>nd</sup> /3 <sup>rd</sup> Quarter SBE Expenditures | 4 <sup>th</sup> Quarter SBE Expenditures | Total FY 2016 SBE Expenditures | % of SBE Goal Achieved |
|----|----------------------------------------------|------------------------------|----------------------------|-------------------|------------------------------------------|-----------------------------------------------------------|------------------------------------------|--------------------------------|------------------------|
| 13 | Chief Medical Examiner, Office of the        | \$11,365,517                 | \$834,868                  | \$417,434         | \$34,622                                 | \$259,716                                                 | \$266,483                                | \$560,821                      | 134%                   |
| 14 | Chief Technology Officer, Office of the      | \$133,029,719                | \$65,436,982               | \$32,718,491      | \$1,888,352                              | \$4,861,765                                               | \$9,014,918                              | \$15,765,035                   | 48%                    |
| 15 | Child and Family Services Agency             | \$222,980,061                | \$13,356,544               | \$6,678,272       | \$167,757                                | \$1,511,822                                               | \$1,800,340                              | \$3,479,919                    | 52%                    |
| 16 | City Administrator, Office of the            | \$6,984,384                  | \$326,972                  | \$163,486         | \$4,853                                  | \$13,118                                                  | \$43,109                                 | \$61,080                       | 37%                    |
| 17 | Consumer & Regulatory Affairs, Department of | \$48,887,823                 | \$12,060,042               | \$6,030,021       | \$264,539                                | \$1,531,296                                               | \$2,356,101                              | \$4,151,936                    | 69%                    |
| 18 | Contract Appeals Board                       | \$1,419,598                  | \$25,266                   | \$12,633          | \$0                                      | \$2,050                                                   | \$12,238                                 | \$14,288                       | 113%                   |
| 19 | Contracting and Procurement, Office of       | \$89,881,996                 | \$19,431,642               | \$9,715,821       | \$46,706                                 | \$4,568,937                                               | \$322,733                                | \$4,938,376                    | 51%                    |
| 20 | Corrections, Department of                   | \$150,942,011                | \$10,633,387               | \$5,316,694       | \$528,352                                | \$1,746,192                                               | \$2,009,751                              | \$4,284,295                    | 81%                    |
| 21 | Corrections Information Council              | \$472,427                    | \$6,845                    | \$3,423           | \$0                                      | \$0                                                       | \$0                                      | \$0                            | 0%                     |
| 22 | Criminal Justice Coordinating Council        | \$3,862,347                  | \$1,727,925                | \$863,962         | \$101,349                                | \$227,766                                                 | \$284,030                                | \$613,145                      | 71%                    |
| 23 | Disability Rights, Office of                 | \$1,837,106                  | \$100,100                  | \$50,050          | \$1,361                                  | \$1,942                                                   | \$111,762                                | \$115,065                      | 230%                   |
| 24 | Disability Services, Department on           | \$100,293,548                | \$5,974,207                | \$2,987,104       | \$68,190                                 | \$447,746                                                 | \$976,017                                | \$1,491,953                    | 50%                    |
| 25 | Education, Deputy Mayor for                  | \$3,612,262                  | \$576,940                  | \$288,470         | \$105,922                                | \$265,659                                                 | \$16,394                                 | \$387,975                      | 134%                   |
| 26 | Elections, Board of                          | \$11,943,516                 | \$821,370                  | \$410,685         | \$2,275                                  | \$415,238                                                 | \$213,233                                | \$630,746                      | 154%                   |

## Appendix A

### FY 2016 Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

|    | Agency                                              | Reported Appropriated Budget | Reported Expendable Budget | Reported SBE Goal | 1 <sup>st</sup> Quarter SBE Expenditures | 2 <sup>nd</sup> /3 <sup>rd</sup> Quarter SBE Expenditures | 4 <sup>th</sup> Quarter SBE Expenditures | Total FY 2016 SBE Expenditures | % of SBE Goal Achieved |
|----|-----------------------------------------------------|------------------------------|----------------------------|-------------------|------------------------------------------|-----------------------------------------------------------|------------------------------------------|--------------------------------|------------------------|
| 27 | Employee Appeals, Office of                         | \$1,663,175                  | \$53,842                   | \$26,921          | \$2,008                                  | \$352                                                     | \$330                                    | \$2,690                        | 10%                    |
| 28 | Employees' Compensation Fund                        | \$22,929,341                 | \$462,369                  | \$231,184         | \$43,289                                 | \$216,635                                                 | \$142,102                                | \$402,026                      | 174%                   |
| 29 | Employment Services, Department of                  | \$131,447,892                | \$15,830,497               | \$7,915,248       | \$181,039                                | \$1,103,850                                               | \$3,128,241                              | \$4,413,130                    | 56%                    |
| 30 | Energy and Environment, District Department of      | \$129,304,154                | \$5,160,837                | \$2,580,419       | \$64,991                                 | \$648,101                                                 | \$668,166                                | \$1,381,258                    | 54%                    |
| 31 | Ethics and Government Accountability, D.C. Board of | \$1,728,781                  | \$26,229                   | \$13,115          | \$0                                      | \$10,150                                                  | \$65,011                                 | \$75,161                       | 573%                   |
| 32 | Events DC                                           | \$134,920,491                | \$30,288,672               | \$15,144,336      | \$800,445                                | \$2,227,256                                               | \$74,176                                 | \$3,101,877                    | 20%                    |
| 33 | Film, Television & Entertainment, Office of         | \$17,051,624                 | \$1,006,935                | \$503,468         | \$0                                      | \$145,513                                                 | \$173,848                                | \$319,361                      | 63%                    |
| 34 | Finance and Resource Management, Office of          | \$31,282,740                 | \$208,339                  | \$104,169         | \$0                                      | \$7,523                                                   | \$107,406                                | \$114,929                      | 110%                   |
| 35 | Fire and Emergency Medical Services Department      | \$256,512,516                | \$3,592,643                | \$1,796,322       | \$487,856                                | \$1,177,452                                               | \$727,525                                | \$2,392,833                    | 133%                   |
| 36 | For-Hire Vehicles, Department of                    | \$13,633,556                 | \$6,911,089                | \$3,455,545       | \$6,043                                  | \$537,382                                                 | \$742,778                                | \$1,286,203                    | 37%                    |
| 37 | Forensic Sciences, Department of                    | \$25,320,179                 | \$1,291,260                | \$645,630         | \$27,177                                 | \$306,628                                                 | \$443,098                                | \$776,903                      | 120%                   |
| 38 | General Services, Department of                     | \$984,231,359                | \$483,048,806              | \$241,524,403     | \$844,180                                | \$6,059,880                                               | \$27,950,718                             | \$34,854,778                   | 14%                    |

## Appendix A

### FY 2016 Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

|    | Agency                                            | Reported Appropriated Budget | Reported Expendable Budget | Reported SBE Goal | 1 <sup>st</sup> Quarter SBE Expenditures | 2 <sup>nd</sup> /3 <sup>rd</sup> Quarter SBE Expenditures | 4 <sup>th</sup> Quarter SBE Expenditures | Total FY 2016 SBE Expenditures | % of SBE Goal Achieved |
|----|---------------------------------------------------|------------------------------|----------------------------|-------------------|------------------------------------------|-----------------------------------------------------------|------------------------------------------|--------------------------------|------------------------|
| 39 | Greater Economic Opportunity, Deputy Mayor For    | \$672,724                    | \$77,000                   | \$38,500          | \$0                                      | \$0                                                       | \$10,000                                 | \$10,000                       | 26%                    |
| 40 | Health and Human Services, Deputy Mayor for       | \$2,049,612                  | \$291,578                  | \$145,789         | \$7,259                                  | \$35,659                                                  | \$137,239                                | \$180,157                      | 124%                   |
| 41 | Health Benefit Exchange Authority, D.C.           | \$90,493,144                 | \$39,844,838               | \$19,922,419      | \$13,975                                 | \$16,075,078                                              | \$11,692,847                             | \$27,781,900                   | 139%                   |
| 42 | Health Care Finance, Department of                | \$3,098,624,508              | \$105,928,170              | \$52,964,085      | \$2,451,273                              | \$4,966,567                                               | \$8,617,876                              | \$16,035,716                   | 30%                    |
| 43 | Health, Department of                             | \$273,974,742                | \$22,137,710               | \$11,068,855      | \$583,816                                | \$4,433,629                                               | \$3,602,464                              | \$8,619,909                    | 78%                    |
| 44 | Homeland Security and Emergency Management Agency | \$133,928,543                | \$1,930,315                | \$965,158         | \$143,268                                | \$487,697                                                 | \$309,880                                | \$940,845                      | 97%                    |
| 45 | Homeland Security Grants                          | \$9,508,533                  | \$2,286,894                | \$1,143,447       | \$25,409                                 | \$262,712                                                 | \$541,210                                | \$829,331                      | 73%                    |
| 46 | Housing Finance Agency                            | \$10,798,000                 | \$1,805,372                | \$902,686         | \$20,552                                 | \$89,967                                                  | \$71,785                                 | \$182,304                      | 20%                    |
| 47 | Housing and Community Development, Department of  | \$311,637,868                | \$10,049,453               | \$5,024,726       | \$84,430                                 | \$901,666                                                 | \$1,570,579                              | \$2,556,675                    | 51%                    |
| 48 | Human Resources, D.C. Department of               | \$17,498,864                 | \$1,927,701                | \$963,850         | \$51,660                                 | \$92,760                                                  | \$740,742                                | \$885,162                      | 92%                    |
| 49 | Human Rights, Office of                           | \$4,320,731                  | \$213,755                  | \$106,877         | \$1,009                                  | \$90,644                                                  | \$60,737                                 | \$152,390                      | 143%                   |
| 50 | Human Services, Department of                     | \$517,923,277                | \$49,899,850               | \$24,949,925      | \$198,762                                | \$2,378,786                                               | \$14,791,695                             | \$17,369,243                   | 70%                    |
| 51 | Inspector General, Office of the                  | \$16,893,701                 | \$943,971                  | \$471,986         | \$2,320                                  | \$78,802                                                  | \$661,299                                | \$742,421                      | 157%                   |

## Appendix A

### FY 2016 Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

|    | Agency                                                      | Reported Appropriated Budget | Reported Expendable Budget | Reported SBE Goal | 1 <sup>st</sup> Quarter SBE Expenditures | 2 <sup>nd</sup> /3 <sup>rd</sup> Quarter SBE Expenditures | 4 <sup>th</sup> Quarter SBE Expenditures | Total FY 2016 SBE Expenditures | % of SBE Goal Achieved |
|----|-------------------------------------------------------------|------------------------------|----------------------------|-------------------|------------------------------------------|-----------------------------------------------------------|------------------------------------------|--------------------------------|------------------------|
| 52 | Insurance, Securities and Banking, Department of            | \$25,025,050                 | \$1,306,766                | \$653,383         | \$101                                    | \$64,020                                                  | \$840,037                                | \$904,158                      | 138%                   |
| 53 | Judicial Nomination Commission                              | \$339,992                    | \$25,223                   | \$12,611          | \$0                                      | \$0                                                       | \$0                                      | \$0                            | 0%                     |
| 54 | Latino Affairs, Office on                                   | \$3,269,642                  | \$202,845                  | \$101,422         | \$1,339                                  | \$15,321                                                  | \$18,287                                 | \$34,947                       | 34%                    |
| 55 | Legal Counsel, Mayor's Office of                            | \$1,587,167                  | \$0                        | \$0               | \$0                                      | \$0                                                       | \$0                                      | \$0                            | N/A                    |
| 56 | Lottery & Charitable Games Control Board, D.C.              | \$217,299,529                | \$8,967,302                | \$4,483,651       | \$1,254,378                              | \$3,689,135                                               | \$2,429,155                              | \$7,372,668                    | 164%                   |
| 57 | Mayor, Executive Office of the                              | \$12,115,128                 | \$446,478                  | \$223,239         | \$7,596                                  | \$80,663                                                  | \$213,476                                | \$301,735                      | 135%                   |
| 58 | Metropolitan Police Department                              | \$553,491,929                | \$19,733,979               | \$9,866,990       | \$539,123                                | \$2,422,508                                               | \$2,007,904                              | \$4,969,535                    | 50%                    |
| 59 | Motor Vehicles, Department of                               | \$45,210,395                 | \$1,813,916                | \$906,958         | \$77,192                                 | \$651,494                                                 | \$849,150                                | \$1,577,836                    | 174%                   |
| 60 | National Guard, D.C.                                        | \$12,246,735                 | \$771,511                  | \$385,755         | \$13,829                                 | \$396,936                                                 | \$753,186                                | \$1,163,951                    | 302%                   |
| 61 | Not-for-Profit Hospital Corporation (United Medical Center) | \$129,000,000                | \$1,882,898                | \$941,449         | \$141,300                                | \$0                                                       | \$3,877                                  | \$145,177                      | 15%                    |
| 62 | Parks and Recreation, Department of                         | \$49,066,777                 | \$2,289,150                | \$1,144,575       | \$5,737                                  | \$219,471                                                 | \$1,227,652                              | \$1,452,860                    | 127%                   |
| 63 | People's Counsel, Office of the                             | \$9,265,344                  | \$3,123,213                | \$1,561,606       | \$45,069                                 | \$182,151                                                 | \$449,901                                | \$677,121                      | 43%                    |

## Appendix A

### FY 2016 Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

|    | Agency                                                            | Reported Appropriated Budget | Reported Expendable Budget | Reported SBE Goal | 1 <sup>st</sup> Quarter SBE Expenditures | 2 <sup>nd</sup> /3 <sup>rd</sup> Quarter SBE Expenditures | 4 <sup>th</sup> Quarter SBE Expenditures | Total FY 2016 SBE Expenditures | % of SBE Goal Achieved |
|----|-------------------------------------------------------------------|------------------------------|----------------------------|-------------------|------------------------------------------|-----------------------------------------------------------|------------------------------------------|--------------------------------|------------------------|
| 64 | Planning and Economic Development, Office of the Deputy Mayor for | \$100,481,872                | \$1,359,086                | \$679,543         | \$37,497                                 | \$537,025                                                 | \$1,181,145                              | \$1,755,667                    | 258%                   |
| 65 | Planning, Office of                                               | \$8,921,485                  | \$159,251                  | \$79,625          | \$7,910                                  | \$42,388                                                  | \$30,536                                 | \$80,834                       | 102%                   |
| 66 | Police Complaints, Office of                                      | \$2,171,499                  | \$41,376                   | \$20,688          | \$954                                    | \$15,924                                                  | \$15,885                                 | \$32,763                       | 158%                   |
| 67 | Public Charter School Board, District of Columbia                 | \$8,000,000                  | \$251,003                  | \$125,502         | \$34,725                                 | \$0                                                       | \$32,735                                 | \$67,460                       | 54%                    |
| 68 | Public Employee Relations Board                                   | \$1,222,942                  | \$56,646                   | \$28,323          | \$2,765                                  | \$6,649                                                   | \$7,677                                  | \$17,091                       | 60%                    |
| 69 | Public Library, District of Columbia                              | \$77,564,349                 | \$12,072,750               | \$6,036,375       | \$482,527                                | \$1,160,109                                               | \$1,206,546                              | \$2,849,182                    | 47%                    |
| 70 | Public Safety and Justice, Office of the Deputy Mayor for         | \$917,255                    | \$45,365                   | \$22,682          | \$6,346                                  | \$21,592                                                  | \$0                                      | \$27,938                       | 123%                   |
| 71 | Public Schools, D.C.                                              | \$831,504,375                | \$43,124,723               | \$21,562,362      | \$2,343,193                              | \$5,966,490                                               | \$6,401,403                              | \$14,711,086                   | 68%                    |
| 72 | Public Service Commission                                         | \$13,431,978                 | \$1,026,986                | \$513,493         | \$75,275                                 | \$196,156                                                 | \$454,687                                | \$726,118                      | 141%                   |
| 73 | Public Works, Department of                                       | \$164,431,332                | \$8,480,867                | \$4,240,434       | \$1,335,112                              | \$3,779,416                                               | \$2,972,577                              | \$8,087,105                    | 191%                   |
| 74 | Real Property Tax Appeals Commission                              | \$1,582,606                  | \$45,929                   | \$22,965          | \$752                                    | \$5,494                                                   | \$13,531                                 | \$19,777                       | 86%                    |
| 75 | Retirement Board, D.C.                                            | \$32,301,624                 | \$1,911,607                | \$955,804         | \$383,475                                | \$772,323                                                 | \$467,425                                | \$1,623,223                    | 170%                   |
| 76 | Risk Management, D.C. Office of                                   | \$3,332,487                  | \$243,089                  | \$121,544         | \$61,288                                 | \$31,015                                                  | \$43,192                                 | \$135,495                      | 111%                   |

## Appendix A

### FY 2016 Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

|    | Agency                                              | Reported Appropriated Budget | Reported Expendable Budget | Reported SBE Goal    | 1 <sup>st</sup> Quarter SBE Expenditures | 2 <sup>nd</sup> /3 <sup>rd</sup> Quarter SBE Expenditures | 4 <sup>th</sup> Quarter SBE Expenditures | Total FY 2016 SBE Expenditures | % of SBE Goal Achieved |
|----|-----------------------------------------------------|------------------------------|----------------------------|----------------------|------------------------------------------|-----------------------------------------------------------|------------------------------------------|--------------------------------|------------------------|
| 77 | Secretary, Office of the                            | \$3,953,986                  | \$153,696                  | \$76,848             | \$1,109                                  | \$3,844                                                   | \$44,022                                 | \$48,975                       | 64%                    |
| 78 | Small and Local Business Development, Department of | \$12,812,361                 | \$356,776                  | \$178,388            | \$4,887                                  | \$88,468                                                  | \$235,414                                | \$328,769                      | 184%                   |
| 79 | Special Education Transportation                    | \$109,034,167                | \$12,837,403               | \$6,418,701          | \$49,094                                 | \$922,714                                                 | \$1,644,540                              | \$2,616,348                    | 41%                    |
| 80 | State Board of Education, DC                        | \$1,108,726                  | \$25,649                   | \$12,825             | \$0                                      | \$3,725                                                   | \$2,235                                  | \$5,960                        | 46%                    |
| 81 | State Superintendent of Education, Office of the    | \$445,701,202                | \$23,088,085               | \$11,544,043         | \$832,492                                | \$2,665,254                                               | \$2,824,162                              | \$6,321,908                    | 55%                    |
| 82 | Tenant Advocate, Office of the                      | \$2,621,905                  | \$71,354                   | \$35,677             | \$18                                     | \$44,487                                                  | \$56,259                                 | \$100,764                      | 282%                   |
| 83 | Transportation, Department of                       | \$451,131,594                | \$50,678,340               | \$25,339,170         | \$280,530                                | \$761,202                                                 | \$3,452,831                              | \$4,494,563                    | 18%                    |
| 84 | Unified Communications, Office of                   | \$44,357,133                 | \$5,470,019                | \$2,735,010          | \$182,277                                | \$517,692                                                 | \$499,680                                | \$1,199,649                    | 44%                    |
| 85 | University of the District of Columbia              | \$161,498,271                | \$25,309,834               | \$12,654,917         | \$2,997,218                              | \$2,706,904                                               | \$516,988                                | \$6,221,110                    | 49%                    |
| 86 | Veterans' Affairs, Office of                        | \$397,212                    | \$2,798                    | \$1,399              | \$0                                      | \$1,046                                                   | \$0                                      | \$1,046                        | 75%                    |
| 87 | Youth Rehabilitation Services, Department of        | \$102,900,637                | \$7,217,893                | \$3,608,947          | \$307,525                                | \$1,304,740                                               | \$1,701,015                              | \$3,313,280                    | 92%                    |
| 88 | Zoning, Office of                                   | \$2,605,698                  | \$159,235                  | \$79,618             | \$9,874                                  | \$61,463                                                  | \$51,497                                 | \$122,834                      | 154%                   |
|    |                                                     | <b>\$11,271,883,359</b>      | <b>\$1,212,714,494</b>     | <b>\$606,357,247</b> | <b>\$23,576,973</b>                      | <b>\$97,579,964</b>                                       | <b>\$135,946,530</b>                     | <b>\$257,103,467</b>           | <b>42%</b>             |

## **Appendix A**

FY 2016 Reported Appropriated Budgets, Reported Expendable Budgets, Reported SBE Goals, and SBE Expenditures

**Source: Quick Base, SOAR, P-Card, and CBE Online data as of April 20, 2016. All numbers are rounded to the nearest dollar.**

## Appendix B

FY 2016 Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

|    | Agency                                                       | LBE          | SBE         | DBE         | DZE         | ROB         | LRB         | LME | VOB       |
|----|--------------------------------------------------------------|--------------|-------------|-------------|-------------|-------------|-------------|-----|-----------|
| 1  | Administrative Hearings, Office of                           | \$153,078    | \$153,078   | \$87,774    | \$116,254   | \$153,078   | \$38,521    | \$0 | \$71,706  |
| 2  | Advisory Neighborhood Commissions, Office of                 | \$0          | \$0         | \$0         | \$0         | \$0         | \$0         | \$0 | \$0       |
| 3  | Aging, Office on                                             | \$2,813,680  | \$2,813,680 | \$2,788,381 | \$2,656,850 | \$167,138   | \$18,185    | \$0 | \$0       |
| 4  | Alcoholic Beverage Regulation Administration                 | \$329,012    | \$329,012   | \$20,639    | \$34,836    | \$36,222    | \$95,021    | \$0 | \$4,781   |
| 5  | Arts and Humanities, Commission on                           | \$753,389    | \$753,389   | \$590,345   | \$344,297   | \$610,339   | \$84,058    | \$0 | \$0       |
| 6  | Asian and Pacific Islander Affairs, Office on                | \$103,890    | \$103,890   | \$30,700    | \$78,500    | \$63,372    | \$0         | \$0 | \$0       |
| 7  | Attorney General for the District of Columbia, Office of the | \$1,161,202  | \$1,161,202 | \$658,725   | \$930,278   | \$442,651   | \$465,541   | \$0 | \$122,674 |
| 8  | Auditor, Office of the D.C.                                  | \$74,122     | \$74,122    | \$67,656    | \$72,617    | \$3,887     | \$47,235    | \$0 | \$1,080   |
| 9  | Behavioral Health, Department of                             | \$8,094,465  | \$8,094,465 | \$4,608,521 | \$5,749,531 | \$2,201,026 | \$2,006,557 | \$0 | \$177,195 |
| 10 | Campaign Finance, Office of                                  | \$85,548     | \$85,548    | \$84,503    | \$72,423    | \$33,803    | \$0         | \$0 | \$1,045   |
| 11 | Captive Insurance Agency                                     | \$2,280      | \$2,280     | \$2,280     | \$2,280     | \$0         | \$0         | \$0 | \$0       |
| 12 | Chief Financial Officer, Office of the                       | \$12,628,631 | \$8,122,032 | \$5,202,370 | \$6,682,983 | \$4,541,620 | \$2,149,802 | \$0 | \$99,129  |
| 13 | Chief Medical Examiner, Office of the                        | \$581,999    | \$560,821   | \$516,514   | \$468,417   | \$110,905   | \$441,574   | \$0 | \$19,600  |

## Appendix B

FY 2016 Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

|    | Agency                                       | LBE          | SBE          | DBE          | DZE          | ROB         | LRB         | LME         | VOB       |
|----|----------------------------------------------|--------------|--------------|--------------|--------------|-------------|-------------|-------------|-----------|
| 14 | Chief Technology Officer, Office of the      | \$15,765,035 | \$15,765,035 | \$10,558,406 | \$11,812,285 | \$6,303,129 | \$4,136,582 | \$0         | \$51,098  |
| 15 | Child and Family Services Agency             | \$3,479,919  | \$3,479,919  | \$1,927,207  | \$2,287,230  | \$1,669,411 | \$689,259   | \$0         | \$142,790 |
| 16 | City Administrator, Office of the            | \$61,080     | \$61,080     | \$39,881     | \$52,554     | \$26,776    | \$0         | \$0         | \$0       |
| 17 | Consumer & Regulatory Affairs, Department of | \$4,151,936  | \$4,151,936  | \$3,080,374  | \$2,629,733  | \$2,361,898 | \$1,523,531 | \$0         | \$39,093  |
| 18 | Contract Appeals Board                       | \$14,288     | \$14,288     | \$14,288     | \$14,288     | \$14,288    | \$3,998     | \$0         | \$0       |
| 19 | Contracting and Procurement, Office of       | \$10,849,740 | \$4,938,376  | \$1,899,198  | \$9,804,470  | \$1,484,492 | \$8,389,558 | \$5,911,364 | \$816     |
| 20 | Corrections, Department of                   | \$4,346,021  | \$4,284,295  | \$1,705,857  | \$2,564,876  | \$1,286,103 | \$670,405   | \$0         | \$24,286  |
| 21 | Corrections Information Council              | \$0          | \$0          | \$0          | \$0          | \$0         | \$0         | \$0         | \$0       |
| 22 | Criminal Justice Coordinating Council        | \$613,145    | \$613,145    | \$522,484    | \$607,737    | \$516,123   | \$0         | \$0         | \$435     |
| 23 | Disability Rights, Office of                 | \$115,065    | \$115,065    | \$110,810    | \$104,154    | \$99,983    | \$1,277     | \$0         | \$0       |
| 24 | Disability Services, Department on           | \$1,491,953  | \$1,491,953  | \$741,589    | \$1,250,427  | \$715,845   | \$552,383   | \$0         | \$334,243 |
| 25 | Education, Deputy Mayor for                  | \$387,975    | \$387,975    | \$289,171    | \$387,975    | \$256,640   | \$0         | \$0         | \$0       |
| 26 | Elections, Board of                          | \$630,746    | \$630,746    | \$311,897    | \$585,630    | \$240,677   | \$74,709    | \$0         | \$40,910  |

## Appendix B

FY 2016 Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

|    | Agency                                              | LBE          | SBE          | DBE          | DZE          | ROB          | LRB         | LME      | VOB       |
|----|-----------------------------------------------------|--------------|--------------|--------------|--------------|--------------|-------------|----------|-----------|
| 27 | Employee Appeals, Office of                         | \$2,690      | \$2,690      | \$0          | \$814        | \$814        | \$0         | \$0      | \$0       |
| 28 | Employees' Compensation Fund                        | \$402,026    | \$402,026    | \$345,516    | \$139,054    | \$388,080    | \$28,782    | \$0      | \$0       |
| 29 | Employment Services, Department of                  | \$4,413,130  | \$4,413,130  | \$3,076,494  | \$2,439,808  | \$2,647,762  | \$672,624   | \$0      | \$100,267 |
| 30 | Energy and Environment, District Department of      | \$1,381,258  | \$1,381,258  | \$635,465    | \$1,114,135  | \$721,522    | \$238,867   | \$0      | \$71,303  |
| 31 | Ethics and Government Accountability, D.C. Board of | \$75,161     | \$75,161     | \$39,333     | \$44,744     | \$67,119     | \$0         | \$0      | \$0       |
| 32 | Events DC                                           | \$3,802,088  | \$3,101,877  | \$2,784,982  | \$975,489    | \$1,364,945  | \$514,959   | \$0      | \$20,064  |
| 33 | Film, Television & Entertainment, Office of         | \$319,361    | \$319,361    | \$290,520    | \$270,805    | \$196,097    | \$66,388    | \$27,550 | \$0       |
| 34 | Finance and Resource Management, Office of          | \$114,929    | \$114,929    | \$114,929    | \$114,929    | \$76,098     | \$0         | \$0      | \$0       |
| 35 | Fire and Emergency Medical Services Department      | \$2,413,434  | \$2,392,833  | \$1,346,752  | \$2,194,159  | \$632,411    | \$1,390,003 | \$0      | \$0       |
| 36 | For-Hire Vehicles, Department of                    | \$1,286,203  | \$1,286,203  | \$653,889    | \$466,832    | \$390,121    | \$544,760   | \$0      | \$0       |
| 37 | Forensic Sciences, Department of                    | \$781,312    | \$776,903    | \$482,068    | \$493,486    | \$288,769    | \$335,577   | \$0      | \$0       |
| 38 | General Services, Department of                     | \$35,231,458 | \$34,854,778 | \$25,166,513 | \$28,883,547 | \$20,014,886 | \$5,871,113 | \$0      | \$174,277 |
| 39 | Greater Economic Opportunity, Deputy Mayor For      | \$10,000     | \$10,000     | \$10,000     | \$10,000     | \$10,000     | \$0         | \$0      | \$10,000  |

## Appendix B

FY 2016 Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

|    | Agency                                            | LBE          | SBE          | DBE          | DZE          | ROB          | LRB         | LME | VOB      |
|----|---------------------------------------------------|--------------|--------------|--------------|--------------|--------------|-------------|-----|----------|
| 40 | Health and Human Services, Deputy Mayor for       | \$180,157    | \$180,157    | \$159,102    | \$66,937     | \$142,212    | \$0         | \$0 | \$0      |
| 41 | Health Benefit Exchange Authority, D.C.           | \$27,781,900 | \$27,781,900 | \$26,565,495 | \$11,207,726 | \$12,775,774 | \$1,512,756 | \$0 | \$0      |
| 42 | Health Care Finance, Department of                | \$16,035,716 | \$16,035,716 | \$3,186,758  | \$14,900,366 | \$2,766,108  | \$1,422,364 | \$0 | \$0      |
| 43 | Health, Department of                             | \$8,619,909  | \$8,619,909  | \$6,352,999  | \$5,545,223  | \$3,036,051  | \$3,243,921 | \$0 | \$79,224 |
| 44 | Homeland Security and Emergency Management Agency | \$940,845    | \$940,845    | \$901,087    | \$933,304    | \$729,221    | \$39,440    | \$0 | \$9,999  |
| 45 | Homeland Security Grants                          | \$829,331    | \$829,331    | \$774,928    | \$453,877    | \$677,694    | \$43,867    | \$0 | \$0      |
| 46 | Housing Finance Agency                            | \$182,304    | \$182,304    | \$133,799    | \$59,099     | \$38,902     | \$45,771    | \$0 | \$0      |
| 47 | Housing and Community Development, Department of  | \$2,556,675  | \$2,556,675  | \$1,542,236  | \$2,327,696  | \$342,051    | \$878,494   | \$0 | \$0      |
| 48 | Human Resources, D.C. Department of               | \$885,162    | \$885,162    | \$729,963    | \$762,649    | \$180,096    | \$238,688   | \$0 | \$0      |
| 49 | Human Rights, Office of                           | \$152,390    | \$152,390    | \$111,267    | \$115,776    | \$91,982     | \$49,333    | \$0 | \$0      |
| 50 | Human Services, Department of                     | \$17,369,243 | \$17,369,243 | \$16,713,247 | \$8,346,051  | \$12,106,832 | \$391,435   | \$0 | \$57,302 |
| 51 | Inspector General, Office of the                  | \$742,421    | \$742,421    | \$722,515    | \$566,738    | \$364,000    | \$35,088    | \$0 | \$0      |
| 52 | Insurance, Securities and Banking, Department of  | \$955,073    | \$904,158    | \$527,890    | \$845,401    | \$261,506    | \$50,915    | \$0 | \$2,468  |

## Appendix B

FY 2016 Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

|    | Agency                                                            | LBE          | SBE         | DBE         | DZE         | ROB         | LRB         | LME | VOB      |
|----|-------------------------------------------------------------------|--------------|-------------|-------------|-------------|-------------|-------------|-----|----------|
| 53 | Judicial Nomination Commission                                    | \$0          | \$0         | \$0         | \$0         | \$0         | \$0         | \$0 | \$0      |
| 54 | Latino Affairs, Office on                                         | \$34,947     | \$34,947    | \$34,947    | \$30,424    | \$34,947    | \$0         | \$0 | \$0      |
| 55 | Legal Counsel, Mayor's Office of                                  | \$0          | \$0         | \$0         | \$0         | \$0         | \$0         | \$0 | \$0      |
| 56 | Lottery & Charitable Games Control Board, D.C.                    | \$7,372,668  | \$7,372,668 | \$693,235   | \$704,291   | \$691,683   | \$6,373,001 | \$0 | \$0      |
| 57 | Mayor, Executive Office of the                                    | \$301,735    | \$301,735   | \$233,308   | \$166,332   | \$181,421   | \$28,038    | \$0 | \$27,500 |
| 58 | Metropolitan Police Department                                    | \$11,633,238 | \$4,969,535 | \$1,743,632 | \$3,573,806 | \$1,832,072 | \$2,927,510 | \$0 | \$0      |
| 59 | Motor Vehicles, Department of                                     | \$1,589,990  | \$1,577,836 | \$1,127,309 | \$1,063,134 | \$966,542   | \$370,035   | \$0 | \$0      |
| 60 | National Guard, D.C.                                              | \$1,163,951  | \$1,163,951 | \$702,751   | \$1,066,720 | \$175,224   | \$73,831    | \$0 | \$0      |
| 61 | Not-for-Profit Hospital Corporation (United Medical Center)       | \$160,780    | \$145,177   | \$115,710   | \$152,330   | \$13,830    | \$77,561    | \$0 | \$30,902 |
| 62 | Parks and Recreation, Department of                               | \$1,461,146  | \$1,452,860 | \$684,216   | \$1,307,196 | \$559,394   | \$222,101   | \$0 | \$23,141 |
| 63 | People's Counsel, Office of the                                   | \$683,331    | \$677,121   | \$486,544   | \$484,180   | \$295,305   | \$52,851    | \$0 | \$0      |
| 64 | Planning and Economic Development, Office of the Deputy Mayor for | \$1,794,195  | \$1,755,667 | \$1,078,065 | \$1,296,933 | \$371,040   | \$37,179    | \$0 | \$0      |
| 65 | Planning, Office of                                               | \$80,834     | \$80,834    | \$55,312    | \$57,813    | \$57,218    | \$15,328    | \$0 | \$10,262 |

## Appendix B

FY 2016 Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

|    | Agency                                                    | LBE          | SBE          | DBE         | DZE         | ROB         | LRB         | LME       | VOB         |
|----|-----------------------------------------------------------|--------------|--------------|-------------|-------------|-------------|-------------|-----------|-------------|
| 66 | Police Complaints, Office of                              | \$34,863     | \$32,763     | \$27,796    | \$22,275    | \$20,683    | \$1,967     | \$0       | \$0         |
| 67 | Public Charter School Board, District of Columbia         | \$67,460     | \$67,460     | \$2,663     | \$3,477     | \$64,725    | \$886       | \$0       | \$0         |
| 68 | Public Employee Relations Board                           | \$17,091     | \$17,091     | \$0         | \$447       | \$447       | \$16,644    | \$0       | \$0         |
| 69 | Public Library, District of Columbia                      | \$2,849,182  | \$2,849,182  | \$2,303,409 | \$2,550,869 | \$788,218   | \$461,263   | \$0       | \$113,097   |
| 70 | Public Safety and Justice, Office of the Deputy Mayor for | \$27,938     | \$27,938     | \$22,371    | \$23,797    | \$806       | \$0         | \$0       | \$0         |
| 71 | Public Schools, D.C.                                      | \$14,723,155 | \$14,711,086 | \$9,346,761 | \$9,800,084 | \$6,738,416 | \$3,457,271 | \$8,375   | \$4,028,436 |
| 72 | Public Service Commission                                 | \$726,118    | \$726,118    | \$522,167   | \$653,690   | \$342,489   | \$146,655   | \$0       | \$0         |
| 73 | Public Works, Department of                               | \$8,726,106  | \$8,087,105  | \$6,313,220 | \$7,912,519 | \$4,977,296 | \$2,966,209 | \$543,660 | \$53,242    |
| 74 | Real Property Tax Appeals Commission                      | \$19,777     | \$19,777     | \$10,144    | \$18,878    | \$12,699    | \$0         | \$0       | \$0         |
| 75 | Retirement Board, D.C.                                    | \$1,623,223  | \$1,623,223  | \$320,620   | \$1,462,114 | \$146,860   | \$435,632   | \$0       | \$24,220    |
| 76 | Risk Management, D.C. Office of                           | \$135,495    | \$135,495    | \$117,764   | \$111,465   | \$106,817   | \$60,123    | \$0       | \$0         |
| 77 | Secretary, Office of the                                  | \$48,975     | \$48,975     | \$36,680    | \$33,466    | \$7,175     | \$3,214     | \$0       | \$0         |
| 78 | Small and Local Business Development, Department of       | \$328,769    | \$328,769    | \$138,454   | \$190,408   | \$150,664   | \$87,316    | \$0       | \$4,341     |

## Appendix B

FY 2016 Expenditures on Local Business Enterprises (LBEs), Small Business Enterprises (SBEs), Disadvantaged Business Enterprises (DBEs), Local Business Enterprises with Principle Offices Located in an Enterprise Zone (DZEs), Resident-Owned Businesses (ROBs), Longtime Resident Businesses (LRBs), Local Manufacturing Enterprises (LMEs), and Veteran Owned Businesses (VOBs)

|    | Agency                                           | LBE                  | SBE                  | DBE                  | DZE                  | ROB                  | LRB                 | LME                | VOB                |
|----|--------------------------------------------------|----------------------|----------------------|----------------------|----------------------|----------------------|---------------------|--------------------|--------------------|
| 79 | Special Education Transportation                 | \$2,618,208          | \$2,616,348          | \$1,563,146          | \$1,646,914          | \$1,100,653          | \$701,452           | \$0                | \$35,356           |
| 80 | State Board of Education, DC                     | \$5,960              | \$5,960              | \$0                  | \$5,960              | \$5,960              | \$5,960             | \$0                | \$0                |
| 81 | State Superintendent of Education, Office of the | \$6,321,908          | \$6,321,908          | \$5,721,375          | \$2,360,168          | \$3,822,223          | \$816,871           | \$0                | \$41,636           |
| 82 | Tenant Advocate, Office of the                   | \$100,764            | \$100,764            | \$40,522             | \$60,242             | \$24,091             | \$76,673            | \$0                | \$0                |
| 83 | Transportation, Department of                    | \$4,540,175          | \$4,494,563          | \$1,607,123          | \$4,059,137          | \$541,249            | \$2,652,438         | \$54,837           | \$24,875           |
| 84 | Unified Communications, Office of                | \$1,199,649          | \$1,199,649          | \$272,888            | \$945,743            | \$979,382            | \$29,309            | \$0                | \$0                |
| 85 | University of the District of Columbia           | \$6,409,739          | \$6,221,110          | \$4,161,355          | \$4,887,007          | \$1,183,080          | \$3,917,102         | \$0                | \$490,952          |
| 86 | Veterans' Affairs, Office of                     | \$1,046              | \$1,046              | \$1,046              | \$1,046              | \$1,046              | \$0                 | \$0                | \$0                |
| 87 | Youth Rehabilitation Services, Department of     | \$3,313,280          | \$3,313,280          | \$2,259,902          | \$2,483,224          | \$942,297            | \$989,988           | \$0                | \$6,059            |
| 88 | Zoning, Office of                                | \$122,834            | \$122,834            | \$29,623             | \$40,883             | \$30,754             | \$77,451            | \$0                | \$0                |
|    |                                                  | <b>\$276,390,905</b> | <b>\$257,103,467</b> | <b>\$171,001,375</b> | <b>\$181,766,242</b> | <b>\$110,817,165</b> | <b>\$66,085,200</b> | <b>\$6,545,786</b> | <b>\$6,569,804</b> |

Source: SOAR, P-Card, and CBE Online data as of April 20, 2016. All numbers are rounded to the nearest dollar

## Appendix C

FY 2016 Verified SBE Expenditures vs. Agency Self-Reported SBE Expenditures in DSLBD's Quick Base database, as of April 20, 2017

|    | Agency                                                       | DSLBD-<br>Reported FY<br>2016 SBE<br>Expenditures | Reported %<br>of SBE Goal<br>Achieved | Verified FY<br>2016 SBE<br>Expenditures | Verified %<br>of SBE<br>Goal<br>Achieved | Difference<br>(Reported<br>Minus Verified) |
|----|--------------------------------------------------------------|---------------------------------------------------|---------------------------------------|-----------------------------------------|------------------------------------------|--------------------------------------------|
| 1  | Administrative Hearings, Office of                           | \$218,958                                         | 87%                                   | \$153,078                               | 61%                                      | (\$65,880)                                 |
| 2  | Advisory Neighborhood Commissions, Office of                 | \$2,210                                           | 166%                                  | \$0                                     | 0%                                       | (\$2,210)                                  |
| 3  | Aging, Office on                                             | \$2,305,422                                       | 135%                                  | \$2,813,680                             | 165%                                     | \$508,258                                  |
| 4  | Alcoholic Beverage Regulation Administration                 | \$867,186                                         | 233%                                  | \$329,012                               | 88%                                      | (\$538,174)                                |
| 5  | Arts and Humanities, Commission on                           | \$389,331                                         | 114%                                  | \$753,389                               | 220%                                     | \$364,058                                  |
| 6  | Asian and Pacific Islander Affairs, Office on                | \$88,562                                          | 708%                                  | \$103,890                               | 831%                                     | \$15,328                                   |
| 7  | Attorney General for the District of Columbia, Office of the | \$1,904,668                                       | 131%                                  | \$1,161,202                             | 80%                                      | (\$743,466)                                |
| 8  | Auditor, Office of the D.C.                                  | \$95,983                                          | 135%                                  | \$74,122                                | 104%                                     | (\$21,861)                                 |
| 9  | Behavioral Health, Department of                             | \$12,595,269                                      | 101%                                  | \$8,094,465                             | 65%                                      | (\$4,500,804)                              |
| 10 | Campaign Finance, Office of                                  | \$63,752                                          | 92%                                   | \$85,548                                | 124%                                     | \$21,796                                   |
| 11 | Captive Insurance Agency                                     | \$5,588                                           | 270%                                  | \$2,280                                 | 110%                                     | (\$3,308)                                  |
| 12 | Chief Financial Officer, Office of the                       | \$14,616,149                                      | 104%                                  | \$8,122,032                             | 58%                                      | (\$6,494,117)                              |
| 13 | Chief Medical Examiner, Office of the                        | \$604,496                                         | 145%                                  | \$560,821                               | 134%                                     | (\$43,675)                                 |
| 14 | Chief Technology Officer, Office of the                      | \$46,665,245                                      | 143%                                  | \$15,765,035                            | 48%                                      | (\$30,900,210)                             |
| 15 | Child and Family Services Agency                             | \$6,184,660                                       | 93%                                   | \$3,479,919                             | 52%                                      | (\$2,704,741)                              |
| 16 | City Administrator, Office of the                            | \$133,194                                         | 81%                                   | \$61,080                                | 37%                                      | (\$72,114)                                 |
| 17 | Consumer & Regulatory Affairs, Department of                 | \$9,321,724                                       | 155%                                  | \$4,151,936                             | 69%                                      | (\$5,169,788)                              |
| 18 | Contract Appeals Board                                       | \$22,843                                          | 181%                                  | \$14,288                                | 113%                                     | (\$8,555)                                  |

## Appendix C

FY 2016 Verified SBE Expenditures vs. Agency Self-Reported SBE Expenditures in DSLBD's Quick Base database, as of April 20, 2017

|    | Agency                                              | DSLBD-Reported FY 2016 SBE Expenditures | Reported % of SBE Goal Achieved | Verified FY 2016 SBE Expenditures | Verified % of SBE Goal Achieved | Difference (Reported Minus Verified) |
|----|-----------------------------------------------------|-----------------------------------------|---------------------------------|-----------------------------------|---------------------------------|--------------------------------------|
| 19 | Contracting and Procurement, Office of              | \$8,943,047                             | 92%                             | \$4,938,376                       | 51%                             | (\$4,004,671)                        |
| 20 | Corrections, Department of                          | \$4,655,133                             | 88%                             | \$4,284,295                       | 81%                             | (\$370,838)                          |
| 21 | Corrections Information Council                     | \$6,453                                 | 189%                            | \$0                               | 0%                              | (\$6,453)                            |
| 22 | Criminal Justice Coordinating Council               | \$830,957                               | 96%                             | \$613,145                         | 71%                             | (\$217,812)                          |
| 23 | Disability Rights, Office of                        | \$99,210                                | 198%                            | \$115,065                         | 230%                            | \$15,855                             |
| 24 | Disability Services, Department on                  | \$3,417,237                             | 114%                            | \$1,491,953                       | 50%                             | (\$1,925,284)                        |
| 25 | Education, Deputy Mayor for                         | \$306,893                               | 106%                            | \$387,975                         | 134%                            | \$81,082                             |
| 26 | Elections, Board of                                 | \$531,873                               | 130%                            | \$630,746                         | 154%                            | \$98,873                             |
| 27 | Employee Appeals, Office of                         | \$12,459                                | 46%                             | \$2,690                           | 10%                             | (\$9,769)                            |
| 28 | Employees' Compensation Fund                        | \$341,271                               | 148%                            | \$402,026                         | 174%                            | \$60,755                             |
| 29 | Employment Services, Department of                  | \$6,860,516                             | 87%                             | \$4,413,130                       | 56%                             | (\$2,447,386)                        |
| 30 | Energy and Environment, District Department of      | \$5,431,099                             | 210%                            | \$1,381,258                       | 54%                             | (\$4,049,841)                        |
| 31 | Ethics and Government Accountability, D.C. Board of | \$76,967                                | 587%                            | \$75,161                          | 573%                            | (\$1,806)                            |
| 32 | Events DC                                           | N/A                                     |                                 |                                   |                                 |                                      |
| 33 | Film, Television & Entertainment, Office of         | \$319,404                               | 63%                             | \$319,361                         | 63%                             | (\$43)                               |
| 34 | Finance and Resource Management, Office of          | \$255,237                               | 245%                            | \$114,929                         | 110%                            | (\$140,308)                          |
| 35 | Fire and Emergency Medical Services Department      | \$2,026,342                             | 113%                            | \$2,392,833                       | 133%                            | \$366,491                            |
| 36 | For-Hire Vehicles, Department of                    | \$4,926,147                             | 143%                            | \$1,286,203                       | 37%                             | (\$3,639,944)                        |

## Appendix C

FY 2016 Verified SBE Expenditures vs. Agency Self-Reported SBE Expenditures in DSLBD's Quick Base database, as of April 20, 2017

|    | Agency                                            | DSLBD-Reported FY 2016 SBE Expenditures | Reported % of SBE Goal Achieved | Verified FY 2016 SBE Expenditures | Verified % of SBE Goal Achieved | Difference (Reported Minus Verified) |
|----|---------------------------------------------------|-----------------------------------------|---------------------------------|-----------------------------------|---------------------------------|--------------------------------------|
| 37 | Forensic Sciences, Department of                  | \$1,053,978                             | 163%                            | \$776,903                         | 120%                            | (\$277,075)                          |
| 38 | General Services, Department of                   | \$245,414,294                           | 102%                            | \$34,854,778                      | 14%                             | (\$210,559,516)                      |
| 39 | Greater Economic Opportunity, Deputy Mayor For    | \$50,508                                | 131%                            | \$10,000                          | 26%                             | (\$40,508)                           |
| 40 | Health and Human Services, Deputy Mayor for       | \$213,441                               | 146%                            | \$180,157                         | 124%                            | (\$33,284)                           |
| 41 | Health Benefit Exchange Authority, D.C.           | \$28,817,150                            | 145%                            | \$27,781,900                      | 139%                            | (\$1,035,250)                        |
| 42 | Health Care Finance, Department of                | \$46,859,724                            | 88%                             | \$16,035,716                      | 30%                             | (\$30,824,008)                       |
| 43 | Health, Department of                             | \$11,445,478                            | 103%                            | \$8,619,909                       | 78%                             | (\$2,825,569)                        |
| 44 | Homeland Security and Emergency Management Agency | \$1,553,985                             | 161%                            | \$940,845                         | 97%                             | (\$613,140)                          |
| 45 | Homeland Security Grants                          | \$484,660                               | 42%                             | \$829,331                         | 73%                             | \$344,671                            |
| 46 | Housing Finance Agency                            | N/A                                     |                                 |                                   |                                 |                                      |
| 47 | Housing and Community Development, Department of  | \$4,934,433                             | 98%                             | \$2,556,675                       | 51%                             | (\$2,377,758)                        |
| 48 | Human Resources, D.C. Department of               | \$1,888,972                             | 196%                            | \$885,162                         | 92%                             | (\$1,003,810)                        |
| 49 | Human Rights, Office of                           | \$121,375                               | 114%                            | \$152,390                         | 143%                            | \$31,015                             |
| 50 | Human Services, Department of                     | \$23,954,300                            | 96%                             | \$17,369,243                      | 70%                             | (\$6,585,057)                        |
| 51 | Inspector General, Office of the                  | \$757,790                               | 161%                            | \$742,421                         | 157%                            | (\$15,369)                           |
| 52 | Insurance, Securities and Banking, Department of  | \$548,152                               | 84%                             | \$904,158                         | 138%                            | \$356,006                            |
| 53 | Judicial Nomination Commission                    | \$0                                     | 0%                              | \$0                               | 0%                              | \$0                                  |
| 54 | Latino Affairs, Office on                         | \$26,412                                | 26%                             | \$34,947                          | 34%                             | \$8,535                              |

## Appendix C

FY 2016 Verified SBE Expenditures vs. Agency Self-Reported SBE Expenditures in DSLBD's Quick Base database, as of April 20, 2017

|    | Agency                                                            | DSLBD-Reported FY 2016 SBE Expenditures | Reported % of SBE Goal Achieved | Verified FY 2016 SBE Expenditures | Verified % of SBE Goal Achieved | Difference (Reported Minus Verified) |
|----|-------------------------------------------------------------------|-----------------------------------------|---------------------------------|-----------------------------------|---------------------------------|--------------------------------------|
| 55 | Legal Counsel, Mayor's Office of                                  | \$0                                     | N/A                             | \$0                               | N/A                             | N/A                                  |
| 56 | Lottery & Charitable Games Control Board, D.C.                    | \$7,303,092                             | 163%                            | \$7,372,668                       | 164%                            | \$69,576                             |
| 57 | Mayor, Executive Office of the                                    | \$466,073                               | 209%                            | \$301,735                         | 135%                            | (\$164,338)                          |
| 58 | Metropolitan Police Department                                    | \$11,517,520                            | 117%                            | \$4,969,535                       | 50%                             | (\$6,547,985)                        |
| 59 | Motor Vehicles, Department of                                     | \$2,209,172                             | 244%                            | \$1,577,836                       | 174%                            | (\$631,336)                          |
| 60 | National Guard, D.C.                                              | \$765,885                               | 199%                            | \$1,163,951                       | 302%                            | \$398,066                            |
| 61 | Not-for-Profit Hospital Corporation (United Medical Center)       | N/A                                     |                                 |                                   |                                 |                                      |
| 62 | Parks and Recreation, Department of                               | \$1,485,751                             | 130%                            | \$1,452,860                       | 127%                            | (\$32,891)                           |
| 63 | People's Counsel, Office of the                                   | \$455,487                               | 29%                             | \$677,121                         | 43%                             | \$221,634                            |
| 64 | Planning and Economic Development, Office of the Deputy Mayor for | \$2,428,705                             | 357%                            | \$1,755,667                       | 258%                            | (\$673,038)                          |
| 65 | Planning, Office of                                               | \$129,042                               | 162%                            | \$80,834                          | 102%                            | (\$48,208)                           |
| 66 | Police Complaints, Office of                                      | \$71,109                                | 344%                            | \$32,763                          | 158%                            | (\$38,346)                           |
| 67 | Public Charter School Board, District of Columbia                 | N/A                                     |                                 |                                   |                                 |                                      |
| 68 | Public Employee Relations Board                                   | \$15,144                                | 53%                             | \$17,091                          | 60%                             | \$1,947                              |
| 69 | Public Library, District of Columbia                              | \$9,797,593                             | 162%                            | \$2,849,182                       | 47%                             | (\$6,948,411)                        |
| 70 | Public Safety and Justice, Office of the Deputy Mayor for         | \$24,800                                | 109%                            | \$27,938                          | 123%                            | \$3,138                              |
| 71 | Public Schools, D.C.                                              | \$20,744,052                            | 96%                             | \$14,711,086                      | 68%                             | (\$6,032,966)                        |
| 72 | Public Service Commission                                         | \$609,960                               | 119%                            | \$726,118                         | 141%                            | \$116,158                            |

## Appendix C

FY 2016 Verified SBE Expenditures vs. Agency Self-Reported SBE Expenditures in DSLBD's Quick Base database, as of April 20, 2017

|    | Agency                                                       | DSLBD-<br>Reported FY<br>2016 SBE<br>Expenditures | Reported %<br>of SBE Goal<br>Achieved | Verified FY<br>2016 SBE<br>Expenditures | Verified %<br>of SBE<br>Goal<br>Achieved | Difference<br>(Reported<br>Minus Verified) |
|----|--------------------------------------------------------------|---------------------------------------------------|---------------------------------------|-----------------------------------------|------------------------------------------|--------------------------------------------|
| 73 | Public Works,<br>Department of                               | \$7,874,709                                       | 186%                                  | \$8,087,105                             | 191%                                     | \$212,396                                  |
| 74 | Real Property Tax<br>Appeals Commission                      | \$36,660                                          | 160%                                  | \$19,777                                | 86%                                      | (\$16,883)                                 |
| 75 | Retirement Board,<br>D.C.                                    | N/A                                               |                                       |                                         |                                          |                                            |
| 76 | Risk Management,<br>D.C. Office of                           | \$187,290                                         | 154%                                  | \$135,495                               | 111%                                     | (\$51,795)                                 |
| 77 | Secretary, Office of the                                     | \$82,791                                          | 108%                                  | \$48,975                                | 64%                                      | (\$33,816)                                 |
| 78 | Small and Local<br>Business<br>Development,<br>Department of | \$307,144                                         | 172%                                  | \$328,769                               | 184%                                     | \$21,625                                   |
| 79 | Special Education<br>Transportation                          | \$4,406,017                                       | 69%                                   | \$2,616,348                             | 41%                                      | (\$1,789,669)                              |
| 80 | State Board of<br>Education, DC                              | \$19,784                                          | 154%                                  | \$5,960                                 | 46%                                      | (\$13,824)                                 |
| 81 | State Superintendent<br>of Education, Office of<br>the       | \$13,049,392                                      | 113%                                  | \$6,321,908                             | 55%                                      | (\$6,727,484)                              |
| 82 | Tenant Advocate,<br>Office of the                            | \$78,394                                          | 220%                                  | \$100,764                               | 282%                                     | \$22,370                                   |
| 83 | Transportation,<br>Department of                             | \$62,118,958                                      | 245%                                  | \$4,494,563                             | 18%                                      | (\$57,624,395)                             |
| 84 | Unified<br>Communications,<br>Office of                      | \$4,496,426                                       | 164%                                  | \$1,199,649                             | 44%                                      | (\$3,296,777)                              |
| 85 | University of the<br>District of Columbia                    | N/A                                               |                                       |                                         |                                          |                                            |
| 86 | Veterans' Affairs,<br>Office of                              | \$1,046                                           | 75%                                   | \$1,046                                 | 75%                                      | (\$0)                                      |
| 87 | Youth Rehabilitation<br>Services, Department<br>of           | \$6,192,642                                       | 172%                                  | \$3,313,280                             | 92%                                      | (\$2,879,362)                              |
| 88 | Zoning, Office of                                            | \$116,936                                         | 147%                                  | \$122,834                               | 154%                                     | \$5,898                                    |
|    | <b>TOTAL</b>                                                 |                                                   |                                       |                                         |                                          | (\$414,479,392)                            |

## Appendix D

### Procurement Supplemental Information Analysis

|   | Agency                                                       | Changes the agency intends to make in FY 2017 to achieve the SBE expenditure goal                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities. |
|---|--------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| 1 | Administrative Hearings, Office of                           | The Office of Administrative Hearings (OAH) will work to clear backlogged cases (DPW, DOES-UI, DHCF, Taxi Cab, DCRA, DOH) that require transcription; utilizing experienced and knowledgeable staff who can manage current and prior period workload. OAH is currently developing a mitigation plan to manage these backlogged cases and process all outstanding transcription invoices tied to each backlogged case. Additionally, OAH will ensure that staff will be trained in handling case workload. OAH will ensure that outstanding invoices related to backlogged cases are processed in accordance with the Prompt Payment Act; as with the standard for all invoices received by the agency. OAH will conduct periodic meetings on case progress. | Yes                                                                                   |
| 2 | Alcoholic Beverage Regulation Administration                 | The Alcoholic Beverage Regulation Administration intends to continue its strong usage of certified business enterprises to meet its DSLBD goal for FY 2017 as we did in FY 2016. Our spending thus far in FY 2017 reflects an ambitious start to the year and we will continue to strive to meet our goal as we do annually.                                                                                                                                                                                                                                                                                                                                                                                                                                | Yes                                                                                   |
| 3 | Attorney General for the District of Columbia, Office of the | The Office of the Attorney General ("OAG") met its FY 2016 CSBE goal by doing business, whenever possible, with CSBEs. OAG's non-personal services expendable budget primarily funds litigation support, child support functions, information technology and office operations. OAG routinely utilizes CSBEs for information technology and office operations, as there is a ready supply of CSBE vendors providing those services. This includes equipment purchase and maintenance, furniture purchase, office supplies, computer supplies and relocation                                                                                                                                                                                                 | Yes                                                                                   |

## Appendix D

### Procurement Supplemental Information Analysis

|   | Agency                      | Changes the agency intends to make in FY 2017 to achieve the SBE expenditure goal                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                          | ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities. |
|---|-----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
|   |                             | <p>services. Similarly, if there are available CSBE vendors that can provide the services necessary for OAG's child support functions, OAG attempts to procure their services.</p> <p>When procuring litigation support services where OAG selects the deposition transcription company, OAG always uses CSBE vendors. Each year, OAG's contracting officer contacts all CSBE deposition transcription services vendors and offers them the opportunity to respond to OAG's request for proposals. Each litigating unit within OAG is assigned one or more CSBE transcription vendors to ensure that only CSBE vendors are used when OAG requires a court reporter.</p> <p>OAG values the CSBE program and understands the importance of providing opportunities for CSBE's to effectively compete for District procurements. OAG has consistently met its CSBE goal and intends to do so next year. Specifically, OAG will continue the excellent work that it has been doing in this regard by: (1) preparing an annual procurement plan which CSBE vendors can access to learn of OAG's procurement needs; (2) seeking CSBE vendor services for equipment purchase and maintenance, information technology services and supplies, office supplies and deposition transcription services; (3) closely scrutinizing employee requests for purchase orders to ensure that CSBE vendors are used whenever possible and (4) actively reviewing OAG's programs to determine whether CSBE vendor services can be utilized.</p> |                                                                                       |
| 4 | Auditor, Office of the D.C. | Office of the District of Columbia Auditor (ODCA) will continue to give preferences and priority to the approved SBE and CBE                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                               | No                                                                                    |

## Appendix D

### Procurement Supplemental Information Analysis

|   | Agency                                | Changes the agency intends to make in FY 2017 to achieve the SBE expenditure goal                                                                                                                                                                                                                                                                                                                                                                                                                                                                                         | ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities. |
|---|---------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
|   |                                       | vendors. The agency will utilize DSLBD's CBE data to recruit certified vendors who can provide services and goods that the agency need.                                                                                                                                                                                                                                                                                                                                                                                                                                   |                                                                                       |
| 5 | Captive Insurance Agency              | This budget met its CBE goal for FY 16, but is no longer require to report expenditures due to changes in legislation in the at the end of FY 16 and going forward.                                                                                                                                                                                                                                                                                                                                                                                                       | No                                                                                    |
| 6 | Chief Medical Examiner, Office of the | The OCME shall continue to work diligently with local vendors who are willing to meet the specific needs and time constraint delivery of services and goods to assist with accomplishing the mission of the agency without liability to the District and her residents.                                                                                                                                                                                                                                                                                                   | No                                                                                    |
| 7 | Contract Appeals Board                | As confirmed by DSLBD's report, the Board's FY 2016 SBE expenditures totaled \$22,842.71, exceeding our FY 2016 SBE expenditure goal of \$12,475 by \$10,367.71 or approximately 83%. The Board is required to spend \$16,886.44 with SBEs in FY2017 and, through the first quarter of FY2017, has spent \$5,548.38 or approximately 33% towards that goal. The Board's Spending Plan for its FY2017 expendable budget has been submitted to DSLBD and emphasizes anticipated SBE expenditures relating to Office Supplies, Contractual Services and Equipment/Machinery. | No                                                                                    |
| 8 | Corrections, Department of            | Currently DOC has 83.89% towards their goal. DOC has not received credit for their subcontractors or for the discrepancies in the amount of \$332,672.55 that was pointed out to the DOC's DSLDB Compliance Specialist. The DOC's Compliance Specialist made the Lead Senior Compliance Specialist aware                                                                                                                                                                                                                                                                  | Yes                                                                                   |

## Appendix D

### Procurement Supplemental Information Analysis

|    | Agency                                         | Changes the agency intends to make in FY 2017 to achieve the SBE expenditure goal                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                              | ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities. |
|----|------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
|    |                                                | that they needed to add the value of the subcontractors and to add the discrepancies that we found and this would have allowed us to make our goal or put us very close to making our goal. I would like to know where the Subcontractor's numbers are tracked so that we can monitor them. DOC sent several e-mails referencing this situation to the Compliance Specialist and she in turn sent several requests to Senior Compliance Specialist. However, they were still not added in our total for FY 16. DOC's DSLBD Compliance Specialist does an excellent job in keeping us informed. |                                                                                       |
| 9  | Disability Services, Department on             | DDS works closely with OCP to identify SBE/CBE vendors for contracts, small procurement and Pcard expenditures. We have exceeded our SBE goal in FY16 and as a result have increased our FY17 SBE goal.                                                                                                                                                                                                                                                                                                                                                                                        | Yes                                                                                   |
| 10 | Employees' Compensation Fund                   | For FY18, the Employee Compensation Fund intends to meet our goal through existing CBE awarded contracts.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                      | No                                                                                    |
| 11 | Energy and Environment, District Department of | In FY 2017, DOEE will continue to work with DSLBD and the Office of Contracting and Procurement (OCP) to identify CSBEs that can provide specialized environmental goods and services required by DOEE programs. DOEE has developed a procurement projection plan for goods and services in FY17. The agency is also monitoring the agency's expenditures on a quarterly basis to ensure that CSBE expenditures remain on track. DOEE will also continue to work closely with the DSLBD and OCP to help ensure that the agency meets its year-end CSBE compliance goal for FY 2017.            | Yes                                                                                   |

## Appendix D

### Procurement Supplemental Information Analysis

|    | Agency                                         | Changes the agency intends to make in FY 2017 to achieve the SBE expenditure goal                                                                                                                                                                                                                                                                                        | ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities. |
|----|------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| 12 | Events DC                                      | This year we are working with all of our vendors to ensure everyone understands what is required to be compliant with the DSLBD CBE expenditure report.                                                                                                                                                                                                                  | Yes                                                                                   |
| 13 | Fire and Emergency Medical Services Department | The DCFEMS will ensure we are in compliance with DSLBD subcontracting requirements throughout the year.                                                                                                                                                                                                                                                                  | No                                                                                    |
| 14 | For-Hire Vehicles, Department of               | DFHV continues to ensure that CBEs are considered for procurement and has exceeded the 50% CBE goal for FY 2016 at 143.09%.                                                                                                                                                                                                                                              | Yes                                                                                   |
| 15 | Forensic Sciences, Department of               | DFS purchases of office and laboratory supplies, chemicals and reagents, and crime scene respondent uniforms are procured from and/or by DC Supply Schedule vendors.<br><br>We also staff contractors for our Forensic Technology Unit from ITSA and from the DCSS for our Public Health Laboratory.                                                                     | No                                                                                    |
| 16 | General Services, Department of                | The Agency will continue to utilizes SBEs.                                                                                                                                                                                                                                                                                                                               | Yes                                                                                   |
| 17 | Health Care Finance, Department of             | DHCF continues to work with OCP and DSLBD to ensure that our Providers are meeting their Sub contracting requirement with CBE's. We monitor the activity on a uarterly basis. Due to the fact that 96% of our budget is provider payments for Medicaid services; we are unable to make this a requirement of participation. However, we have a handful of Providers that | Yes                                                                                   |

## Appendix D

### Procurement Supplemental Information Analysis

|    | Agency                                            | Changes the agency intends to make in FY 2017 to achieve the SBE expenditure goal                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities. |
|----|---------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
|    |                                                   | are CBE's We also require that all purchases made in DHCF under \$250 are supported by a CBE. All agency supplies are purchased through CBE's to ensure that we are providing opportunity to small businesses when we can. Due to strict Medicaid regulations and certifications, it is difficult to find a CBE that has the qualifications that CMS required to support our CMS required contracts.                                                                                                                                                                                                                                                                                                                          |                                                                                       |
| 18 | Health, Department of                             | <p>In FY16 as in previous years, the DOH with the assistance of OCP selected CBE's to provide the goods and services needed to facilitate meeting the Department's program goals. The OCP/DOH procurement staff has been diligent in adhering to the laws and procedures that require priority selection of vendors from the DCSS and CBE registry. We also consistently emphasize to our program staff the importance of utilizing local businesses, as well as explaining the process so that they have a clear understanding of the purchasing process and requirements.</p> <p>Pcard holders are also strongly encouraged in every ART meeting to use CBE's for the purchase of goods and services whenever possible.</p> | Yes                                                                                   |
| 19 | Homeland Security and Emergency Management Agency | The Homeland Security and Emergency Management Agency achieve the approved SBE goal by working as a team with program managers, OCP and DSLBD.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                | No                                                                                    |

## Appendix D

### Procurement Supplemental Information Analysis

|    | Agency                                           | Changes the agency intends to make in FY 2017 to achieve the SBE expenditure goal                                                                                                                                                                                                                                                                                                                                                                                   | ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities. |
|----|--------------------------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| 20 | Housing Finance Agency                           | The Agency issued 10 new RFPs near the end of 2016 and the beginning of 2017 to help satisfy the CDE requirement. The new Contracts start in 2017 so the expenditures for FY 2017 will better reflect the changes. We also changed two additional vendors without RFPs to assist in meeting the Goal.                                                                                                                                                               | Yes                                                                                   |
| 21 | Housing and Community Development, Department of | DHCD will work very closely with the Department of Small and Local Business Development (DSLBD) and the Office of Contracting and Procurement (OCP), to identify Certified Small Business Enterprises for goods and services.                                                                                                                                                                                                                                       | Yes                                                                                   |
| 22 | Insurance, Securities and Banking, Department of | The Department of Insurance, Securities and Banking will continue to be successful in in this initiative by making every effort to seek bids from current CBE vendors that are listed on the DSLBD website. This will continue to be our standard practice-as in past years-to secure goods and services who are certified and/or small business enterprises. Only after this effort is exhausted will we seek alternative vendors to supply our goods and services | No                                                                                    |
| 23 | Legal Counsel, Mayor's Office of                 | MOLC should be reviewed for exemption from SBE Compliance as its budget is managed by the Mayor's Office.                                                                                                                                                                                                                                                                                                                                                           | Yes                                                                                   |
| 24 | Metropolitan Police Department                   | Many of the contractors that the agency use are outside of the District of Columbia; however, MPD has made contracting with small and certified business entities a procurement priority. By partnering with key vendors MPD spent over \$17 million with Certified Business Entities in fiscal year 2016. MPD will continue to work with our current vendors and with the help of                                                                                  | Yes                                                                                   |

## Appendix D

### Procurement Supplemental Information Analysis

|    | Agency                          | Changes the agency intends to make in FY 2017 to achieve the SBE expenditure goal                                                                                                                                                                                                                                                                                                                                                                                                             | ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities. |
|----|---------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
|    |                                 | DSLBD, will seek more contracts with our small/local businesses in 2017.                                                                                                                                                                                                                                                                                                                                                                                                                      |                                                                                       |
| 25 | Motor Vehicles, Department of   | DMV plans to make and exceed the agency goals next year by utilizing the expertise of their Contracting Officer, in utilizing more re-seller agreements in purchasing software and technology. Additionally, DMV leadership has fully informed Purchase Card Holders and Contract Administration Staff of procurement regulations, as it pertains to SBE purchasing as well. Also, DMV will rely on the cooperation from DSLBD in adjusting our expendable budgets based on Agency Transfers. | No                                                                                    |
| 26 | National Guard, D.C.            | The District of Columbia National Guard Government of the District of Columbia District Government Operation is committed to continuing our relationship with CBE vendors. Our goal every year is to meet and supersede our targeted goal. We appreciate the work that the DSLBD does in taking care of the small businesses.                                                                                                                                                                 | No                                                                                    |
| 27 | People's Counsel, Office of the | The goal for this year has been reached. The agency will attempt to continue 100% or better in FY17.                                                                                                                                                                                                                                                                                                                                                                                          | Yes                                                                                   |
| 28 | Planning, Office of             | In FY 2016, OP exceeded its CSBE goal. OP reported expenditures with CSBEs of \$129,042.47, vs. a CSBE goal of \$79,125.36. OP coordinates its procurement activity with its own Edward Giefer, who also serves as OP's liaison to DSLBD. Mr. Giefer works to ensure that procurements made via PASS and OCP, as well as those made via OP's purchase cards give first consideration to District companies certified as                                                                       | No                                                                                    |

## Appendix D

### Procurement Supplemental Information Analysis

|    | Agency                          | Changes the agency intends to make in FY 2017 to achieve the SBE expenditure goal                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                 | ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities. |
|----|---------------------------------|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
|    |                                 | small business enterprises.<br>Mr. Giefer uses DSLBD's CBE Contractors searchable database to find and suggest to OP staff CBE firms that can satisfy the agency's requirements.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                  |                                                                                       |
| 29 | Police Complaints, Office of    | <p>The Office of Police Complaints met our goal for Fiscal Year 2016. As we strive to use SBE vendors for office supplies and equipment whenever possible we also do our best to use our budget wisely and that can lead us, at times to use a vendor that provides a better price that may fall outside the SBE guidelines. As a small agency, the bulk of our NPS spending needs fall outside of current SBE vendors so it remains a challenge to meet our SBE goals but every effort is made to do so. We will continue to search every year to find vendors that will meet the District Government standards for SBE/CBE.</p> <p>Description of Changes the Agency Intends to Make to Achieve Goal Next Year.<br/>The Office of Police Complaints will not make any major changes to our goal of using SBE in FY 17 but will continue to seek out and use those vendors whenever possible and continue to talk those District vendors that have city-wide contracts to also become CBE's.</p> | No                                                                                    |
| 30 | Public Employee Relations Board | PERB records show that we made over our SBE goal. Our total SBE expenditure amount should be \$18,713.96. I have documentation that I will be forwarding from our Finance Office to show we paid Olender Reporting Inc. \$14,676.85 and not the \$11,106.40 that you are showing on this page.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | Yes                                                                                   |

## Appendix D

### Procurement Supplemental Information Analysis

|    | Agency                      | Changes the agency intends to make in FY 2017 to achieve the SBE expenditure goal                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                    | ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities. |
|----|-----------------------------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| 31 | Public Schools, D.C.        | <p>Description of Activities Performed to Achieve Goal<br/>The District of Columbia Public Schools (DCPS) achieved its CSBE goal with a total CSBE expenditure amount of \$19,005,348.00. On a percentage basis this represents a 197.16% goal attainment. During this period DCPS has demonstrated its commitment to maximizing business opportunities for CBEs. Working in tandem with DSLBD, District of Columbia Public Schools will continue to communicate to our school administrators the importance of utilizing local certified businesses.</p> <p>Description of Changes the Agency Intends to Make to Achieve Goal Next Year<br/>For the past six years DCPS has exceeded the approved CSBE goals, we plan to continue our review of procurement practices with a goal of developing strategic sourcing techniques to improve high volume low value purchases. Through the AMEX Buydown Program we engage our CBE vendors via purchases of educational, office and custodial supplies. Increase the micro purchases from CBE vendors via the P-card program. We will continue to adhere to the DC code regarding utilizing the DCSS and CBE's and the 35% mandatory subcontracting where applicable.</p> | Yes                                                                                   |
| 32 | Public Works, Department of | Agency goal met for this year. The agency will continue to seek out opportunities to utilize SBEs.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                   | No                                                                                    |
| 33 | Retirement Board, D.C.      | DCRB has exceeded its goal in fiscal year 2016 and will continue to work with the current strategy of awarding best value procurements to contractors that best meet the agency's needs.                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                             | No                                                                                    |

## Appendix D

### Procurement Supplemental Information Analysis

|    | Agency                                           | Changes the agency intends to make in FY 2017 to achieve the SBE expenditure goal                                                                                                                                                                                                                                                                                                                          | ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities. |
|----|--------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| 34 | Risk Management, D.C. Office of                  | ORM will continue its efforts to comply with set goals in the coming year.                                                                                                                                                                                                                                                                                                                                 | No                                                                                    |
| 35 | State Superintendent of Education, Office of the | We have technically achieved our goal every year but continue to be concerned with the unclear guidance from DSLBD regarding what counts as a special exception and thus want counts as a valid expenditure. We urge DSLBD to make the process more clear in the future - we are committed to being good partners in this exercise but will need more expertise and guidance from DSLBD in order to do so. | Yes                                                                                   |
| 36 | Transportation, Department of                    | For FY-16 DDOT have met approved goal by 247.27%. I have worked with very closely with Dian Herrman and Deputy Director to provide Justification for Requested Exclusion of Federal-Aid Projects from DDOT's Expendable Budget & Expenditures when calculating its annual CSBE goal. , this effect was lead by DSLBD's Director and DDOT Director.<br><br>Mohammed Kabir                                   | Yes                                                                                   |
| 37 | Unified Communications, Office of                | With every purchase, particularly those within our span of control such as purchases the agency intends to make via credit card, the OUC gives first preference to the CBE community. With larger purchases, the agency defers to the Office of Contracting and Procurement with the understanding that CBEs receive preference points during the bid evaluation process.                                  | Yes                                                                                   |

## Appendix D

### Procurement Supplemental Information Analysis

|    | Agency                                       | Changes the agency intends to make in FY 2017 to achieve the SBE expenditure goal                                                                                                                                                                                                                                                                                                                                                                                                                                     | ODCA recommends that agency meet with DSLBD to identify additional SBE opportunities. |
|----|----------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|
| 38 | Youth Rehabilitation Services, Department of | DYRS met its goal in FY16 and plans to do so in FY17 as well.                                                                                                                                                                                                                                                                                                                                                                                                                                                         | Yes                                                                                   |
| 39 | Zoning, Office of                            | In FY 2017, OZ maintains its pledge to spend all expendable dollars with CSBEs before contracting with non-CSBE entities. However, as the award of contracts is not solely at the discretion of OZ, but primarily at the discretion of the Office of Contracting and Procurement, OZ can request contracts be awarded to CSBEs, but ultimately the request may be denied. In an effort to ensure compliance this fiscal year, OZ has made a concerted effort to encourage non-CSBE awardees to become CSBE-certified. | No                                                                                    |

## **Appendix E**

### **Agencies That Did Not Submit Procurement Supplemental Information**

1. Advisory Neighborhood Commissions, Office of
2. Aging, Office on
3. Arts and Humanities, Commission on
4. Asian and Pacific Islander Affairs, Office on
5. Behavioral Health, Department of
6. Campaign Finance, Office of
7. Chief Financial Officer, Office of the
8. Chief Technology Officer, Office of the
9. Child and Family Services Agency
10. City Administrator, Office of the
11. Consumer & Regulatory Affairs, Department of
12. Contracting and Procurement, Office of
13. Corrections Information Council
14. Criminal Justice Coordinating Council
15. Disability Rights, Office of
16. Education, Deputy Mayor for
17. Elections, Board of
18. Employee Appeals, Office of
19. Employment Services, Department of
20. Ethics and Government Accountability, D.C. Board of
21. Film, Television & Entertainment, Office of
22. Finance and Resource Management, Office of
23. Greater Economic Opportunity, Deputy Mayor For
24. Health and Human Services, Deputy Mayor for
25. Health Benefit Exchange Authority, D.C.
26. Homeland Security Grants
27. Human Resources, D.C. Department of
28. Human Rights, Office of
29. Human Services, Department of
30. Inspector General, Office of the

## **Appendix E**

### **Agencies That Did Not Submit Procurement Supplemental Information**

31. Judicial Nomination Commission
32. Latino Affairs, Office on
33. Lottery & Charitable Games Control Board, D.C.
34. Mayor, Executive Office of the
35. Not-for-Profit Hospital Corporation (United Medical Center)
36. Parks and Recreation, Department of
37. Planning and Economic Development, Office of the Deputy Mayor for
38. Public Charter School Board, District of Columbia
39. Public Library, District of Columbia
40. Public Safety and Justice, Office of the Deputy Mayor for
41. Public Service Commission
42. Real Property Tax Appeals Commission
43. Secretary, Office of the
44. Small and Local Business Development, Department of
45. Special Education Transportation
46. State Board of Education, DC
47. Tenant Advocate, Office of the
48. University of the District of Columbia
49. Veterans' Affairs, Office of

## **Appendix F**

### Agencies That Submitted a Procurement Plan

1. Administrative Hearings, Office of
2. Aging, Office on
3. Alcoholic Beverage Regulation Administration
4. Arts and Humanities, Commission on
5. Asian and Pacific Islander Affairs, Office on
6. Attorney General for the District of Columbia, Office of the
7. Behavioral Health, Department of
8. Campaign Finance, Office of
9. Chief Medical Examiner, Office of the
10. Chief Technology Officer, Office of the
11. Child and Family Services Agency
12. City Administrator, Office of the
13. Consumer & Regulatory Affairs, Department of
14. Contract Appeals Board
15. Contracting and Procurement, Office of
16. Corrections, Department of
17. Criminal Justice Coordinating Council
18. Disability Rights, Office of
19. Disability Services, Department on
20. Education, Deputy Mayor for
21. Elections, Board of
22. Employee Appeals, Office of
23. Employment Services, Department of
24. Energy and Environment, District Department of
25. Ethics and Government Accountability, D.C. Board of
26. Events DC
27. Film, Television & Entertainment, Office of
28. Fire and Emergency Medical Services Department
29. For-Hire Vehicles, Department of
30. Forensic Sciences, Department of

## **Appendix F**

### Agencies That Submitted a Procurement Plan

31. Health and Human Services, Deputy Mayor for
32. Health Care Finance, Department of
33. Health, Department of
34. Homeland Security and Emergency Management Agency
35. Homeland Security Grants
36. Housing Finance Agency
37. Housing and Community Development, Department of
38. Human Resources, D.C. Department of
39. Human Rights, Office of
40. Human Services, Department of
41. Inspector General, Office of the
42. Insurance, Securities and Banking, Department of
43. Lottery & Charitable Games Control Board, D.C.
44. Mayor, Executive Office of the
45. Metropolitan Police Department
46. Motor Vehicles, Department of
47. Parks and Recreation, Department of
48. Planning and Economic Development, Office of the Deputy Mayor for
49. Planning, Office of
50. Police Complaints, Office of
51. Public Employee Relations Board
52. Public Schools, D.C.
53. Public Works, Department of
54. Real Property Tax Appeals Commission
55. Risk Management, D.C. Office of
56. Secretary, Office of the
57. Small and Local Business Development, Department of
58. Special Education Transportation
59. State Board of Education, DC
60. State Superintendent of Education, Office of the

## **Appendix F**

### Agencies That Submitted a Procurement Plan

- 61. Tenant Advocate, Office of the
- 62. Transportation, Department of
- 63. Unified Communications, Office of
- 64. Youth Rehabilitation Services, Department of
- 65. Zoning, Office of

## **Appendix G**

### **Agencies That Did Not Submit a Procurement Plan**

1. Advisory Neighborhood Commissions, Office of
2. Auditor, Office of the D.C.
3. Captive Insurance Agency
4. Chief Financial Officer, Office of the
5. Corrections Information Council
6. Employees' Compensation Fund
7. Finance and Resource Management, Office of
8. General Services, Department of
9. Greater Economic Opportunity, Deputy Mayor For
10. Health Benefit Exchange Authority, D.C.
11. Judicial Nomination Commission
12. Latino Affairs, Office on
13. Legal Counsel, Mayor's Office of
14. National Guard, D.C.
15. Not-for-Profit Hospital Corporation (United Medical Center)
16. People's Counsel, Office of the
17. Public Charter School Board, District of Columbia
18. Public Library, District of Columbia
19. Public Safety and Justice, Office of the Deputy Mayor for
20. Public Service Commission
21. Retirement Board, D.C.
22. University of the District of Columbia
23. Veterans' Affairs, Office of